

NORTH YORKSHIRE COUNCIL  
CHILDREN AND YOUNG PEOPLE'S SERVICE

CORPORATE DIRECTOR'S MEETING WITH EXECUTIVE MEMBERS

17 October 2023

**Proposal to amalgamate Wavell Community Junior School and  
Catterick Garrison, Wavell Community Infant School to create a  
single 3-11 primary school across both school sites**

**1 PURPOSE OF THE REPORT**

- 1.1 To ask the Executive Member for Education, Learning and Skills to determine the proposal published by North Yorkshire Council to amalgamate Catterick Garrison, Wavell Community Infant School and Wavell Community Junior School.
- 1.2 To ask the Executive Member to consider the outcome of the statutory consultation and to determine the proposal, which would result in the technical closure of Wavell Community Junior School as a separate entity and the enlargement and change of age range of Catterick Garrison, Wavell Infant School (to be known as Wavell Community Primary School), with effect from 8 April 2024.
- 1.3 Decisions on school organisation proposals, for which the decision-maker is the Local Authority, are taken by the Council's Executive. However, if there are no objections to the statutory notice, the decision is delegated to the Executive Member for Education, Learning and Skills. As there have been no objections to this proposal, this decision is delegated to the Executive Member.

**2 EXECUTIVE SUMMARY**

- 2.1 The proposal is to amalgamate Catterick Garrison, Wavell Community Infant School and Wavell Community Junior School, through the technical closure of Wavell Community Junior School as a separate entity and the enlargement and change of age range of Catterick Garrison, Wavell Infant School (to be known as Wavell Community Primary School), with effect from 8 April 2024.
- 2.2 The objective of the proposal is to create a 3-11 school which will deliver an all-through primary education across the existing Wavell Infant and Junior sites. The removal of transition at age 7 would allow an uninterrupted learning experience from age 3 to 11.
- 2.3 It is considered that learning and progress can be even better if the two schools come together as a single school. It is considered that an amalgamated school

would deliver a smoother transition between Key Stages and help to ensure that the good progress made by children at Key Stage 1 is maintained and built upon at Key Stage 2.

- 2.4 On 30 May 2023 the Executive Member for Education, Learning and Skills gave approval for a public consultation on the proposal. The consultation period ran from 8 June 2023 to 20 July 2023. A consultation document (Appendix 1) was distributed to the consultees and also published on the NYC website. A public meeting was held at Wavell Community Junior School on 22 June 2023.
- 2.5 The Executive met on 22 August 2023 and considered the outcome of the public consultation. They agreed to publish a Statutory Notice (Appendix 4). The Statutory Notice was published on 4 September and gave 4 weeks until 2 October for representations to be made. There have been no objections or responses to the Statutory Notice.
- 2.6 School organisation regulations and guidance<sup>1</sup> apply to the Executive and to the Executive Member when acting as the decision-maker on these proposals. Careful consideration has been given to the school organisation regulations and 'Guidance for Decision Makers' (Appendix 7) in this process.
- 2.7 This report is supported by a number of appendices as listed below:  
Appendix 1 – Consultation document  
Appendix 2 – Consultation responses  
Appendix 3 – Statutory proposals  
Appendix 4 – Statutory notice  
Appendix 5 – Equality Impact Assessment  
Appendix 6 – Climate Change Impact Assessment  
Appendix 7 – School Organisation Guidance for Decision Makers

### **3 BACKGROUND**

- 3.1 Wavell Community Infant School was judged to be Good when recently inspected by Ofsted in July 2022. Wavell Community Junior School was judged Requires Improvement (RI) by Ofsted in 2018 and again following their inspection in 2021. As a consequence of being judged RI on two successive occasions, and the Department for Education's new powers to intervene in schools that are deemed as 'not making the necessary improvements' the DfE's Regional Director indicated their intention to issue Wavell Community Junior school with a Directive Academy Order (DAO).

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<sup>1</sup> The School Organisation (Establishment and Discontinuance of Schools) Regulations 2013, The School Organisation (Prescribed Alterations to Maintained Schools) (England) Regulations 2013 and Department for Education statutory guidance Opening and closing maintained schools (January 2023) and Making significant changes ('prescribed alterations') to maintained schools Statutory guidance for proposers and decision makers (January 2023).

- 3.2 Currently each school has a separate Ofsted inspection. The current Ofsted judgement for the Junior School, and the DfE’s powers of intervention attached to it, would no longer be applicable after amalgamation. The single school would have a single Ofsted inspection which would allow a judgement to be made on the provision for all children through the primary age range.
- 3.3 The Wavell Community Schools Federation brings together the governance of two schools. If the amalgamation went ahead, the Federation would be disestablished from 7 April 2024 and a newly constituted governing board would be set up for the single school from 8 April 2024.
- 3.4 The two schools are separate institutions, albeit serving the same area. Should the amalgamation proceed, the school’s catchment area around Hipswell would remain the same, as would eligibility for home to school transport. Most pupils in both schools come from service families and the single primary school would continue to have strong ties to the military community on Catterick Garrison.

#### **4 THE PROPOSAL**

- 4.1 The proposal is to amalgamate Catterick Garrison, Wavell Community Infant School and Wavell Community Junior School.
- 4.2 Legally, two statutory proposals would be required for the amalgamation:
- i) Catterick Garrison, Wavell Community Infant School – enlargement and change of age range, and
  - ii) Wavell Community Junior School – technical closure of the school as a separate entity
- 4.3 These two proposals, which would be linked, will follow the DfE’s statutory guidance for proposers and decision makers.<sup>1</sup>
- 4.4 The two statutory proposals are set out in full in Appendix 3.
- 4.5 The two statutory proposals will follow the same timescales. Key dates are shown below:

Final decision by the Executive (or Executive Member).	17 October 2023
Proposed date the Junior School would technically close and the amalgamated school would begin to operate	7 April 2024 & 8 April 2024

- 4.6 The governing body would also take the opportunity to rename the continuing school.

- 4.7 As of May 2023 there are 105 pupils in the Infant School (excluding nursery pupils) and 165 pupils in the Junior School. Following DfE statutory guidance, the amalgamated 3-11 school would have a net capacity of 420 places and, as such, would have sufficient space to accommodate the combined 3-11 cohort.
- 4.8 Although Catterick Garrison accommodates the MoD's proposed new Service Families Accommodation and new housing in the Richmondshire Local Plan, the capacity of the amalgamated school will be sufficient to provide pupil places for the foreseeable future, including any pupils generated by Service Families Accommodation or open-market housing.
- 4.9 It is proposed to reduce the Published Admission Number (PAN) of the newly amalgamated school from 72 to 60. As the PAN would reduce slightly, there will be a small overall reduction in school places across Catterick Garrison. There are however surplus places in local schools, and this is largely expected to remain the case given the army's cancellation of their plans to significantly expand the number of troops on the Garrison.

## **5 CONSULTATION UNDERTAKEN AND ANALYSIS OF RESPONSES**

- 5.1 On 30 May 2023, at a meeting of the Corporate Director with the Executive Members for the Children and Young People's Service, the Executive Member for Education, Learning and Skills gave approval for a public consultation on the proposal to amalgamate the Infant School and Junior School to create a single 3-11 primary school across both school sites.
- 5.2 A consultation paper setting out the proposal was sent to parents of pupils on roll, staff at the two schools as well as other interested parties and individuals. A copy of the consultation paper is attached as Appendix 1. The public consultation period ran from 8 June to 20 July 2023. A public meeting was held at the Junior School on 22 June 2023. There were 17, predominantly favourable, responses received (Appendix 2) to the public consultation.
- 5.3 The Executive met on 22 August 2023, considered the consultation responses, and resolved to proceed with publication of the Statutory Notice. A copy of the Statutory Notice is enclosed as Appendix 4 of this report.

## **6 STATUTORY NOTICES AND PROPOSALS**

- 6.1 The Statutory Notice was published on 4 September 2023 and a representation period of 4 weeks has been observed.
- 6.2 At the end of the representation period there have been no objections received to this Statutory Notice.

- 6.3 A copy of the complete Statutory Proposals, including all the information required in the school organisation regulations and guidance, was published on the Council’s website. A copy of the Statutory Proposals is attached as Appendix 3.

## 7 FINANCIAL IMPLICATIONS

- 7.1 The financial implications were set out in the report to the Executive on 22 August 2023.

### Capital Implications

- 7.2 No capital works are needed for this proposal since the buildings are already connected for pupils.

### Revenue Implications

- 7.3 School budgets are funded largely by pupil numbers so amalgamating the schools would not lead to an increase in revenue funding. The combined revenue funding would be smaller because the lump-sum would cease for the Junior School on amalgamation, although transitional funding protection arrangements are in place for two financial years.

- 7.4 Based on the amalgamation taking effect on 8 April 2024, the table below shows the retention of lump sums for that financial year and the amalgamated school retaining 85% of their lump sums for the financial year 2025/26.

Financial Year	Infant School Lump-sum (£k)	Junior School Lump-sum (£k)	Amalgamated School Lump-sum (£k)^	Total Lump-sum (£k)
2023-24	128	128		
2024-25	128	128	-	256
2025-26			217.6	217.6
2026-27			128	128

Figures may change as a consequence of any changes in DfE’s National Funding Formula.

- 7.5 Transport costs

There are no transport costs related to this proposal.

## 8 LEGAL IMPLICATIONS

### REGULATIONS AND GUIDANCE

- 8.1 The consideration and determination of school organisation proposals by the Local Authority is set out in regulations and in guidance produced by the Department for Education. These include the School Organisation (Prescribed Alterations to Maintained Schools) (England) Regulations 2013 and the statutory guidance ‘Making Prescribed Alterations to Maintained Schools’

updated in January 2023.<sup>2</sup> Careful attention has been paid to this guidance throughout the process.

## PRELIMINARY CHECKS

- 8.2 The guidance requires that the Decision Maker must consider, on receipt of each proposal, whether any information is missing; whether the published notice of the proposal complies with statutory requirements; whether the statutory consultation has been carried out prior to the publication of the notice; and whether the proposal is related to other published proposals.
- 8.3 Having undertaken an audit of these preliminary checks, the Assistant Chief Executive (Legal and Democratic Services) advises that:
- all information required has been supplied;
  - the published notice complies with statutory requirements;
  - statutory consultation has been carried out prior to publication of the notice;
  - and that the preliminary points for consideration have been dealt with sufficiently to permit Executive Members to proceed to determine this proposal.

## TYPES OF DECISION THAT CAN BE MADE

- 8.4 In considering proposals for making changes to school provision, the Executive Members, as Decision Maker can decide to:
- reject the proposals;
  - approve the proposals;
  - approve the proposals with a modification;
  - approve the proposals subject to them meeting a specific condition (these conditions are set out in paragraph 8 of Schedule 3 to the Prescribed Alterations Regulations, and are not considered applicable to this proposal).

## 9 PROCEDURE FOR THE MEETING

- 9.1 The Executive agreed on 25 September 2007 that in making a decision on school organisation proposals:

(a) The Executive must have regard to decision makers' guidance published by the DfE and to the Executive Procedure Rules laid down in the North Yorkshire Council Constitution.

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<sup>2</sup> DfE, School Organisation (Making Prescribed Alterations to Maintained Schools) Regulations 2013 and Department for Education statutory guidance for proposers and decision makers, Making Prescribed Alterations to Maintained Schools, January 2023.

(b) All decisions must give reasons for the decision, indicating the main factors/criteria for the decision.

- 9.2 Where the decision-maker is the Local Authority, decisions will be taken by the Council's Executive, or if there are no objections to the statutory notice, the decision is delegated to the Executive Members for Education and Skills and for Children's Services. As there have been no objections to this proposal, this decision is delegated to the Executive Members.

## **10 REASONS FOR RECOMMENDATIONS**

### Learning and Progress

- 10.1 Learning and progress can be better if the schools come together as a single school. The expertise of staff could be better targeted across the full age range and across all key stages to raise standards, and the single school would have fully integrated arrangements for assessment of learning, including recording of progress.

### Transition

- 10.2 Children who transfer between the infant and junior schools and then a secondary school (such as Risedale) experience two transition points. It is recognised that a transition can interrupt and slow down children's progress, and so multiple transfers are not to the advantage of children. Creating an all-through primary school would reduce the number of school transfers.

### Views of Interested Parties.

- 10.3 The consultation has demonstrated there is clear support for the proposal.

### Conclusion

- 10.4 As set out in the report to the Executive Members of 30 May 2023 and analysed further in the report to Executive of 22 August, the proposal to amalgamate Wavell Infant and Junior Schools offers the opportunity to both remove the additional point of transfer, and secure the best education and curriculum by ensuring that the progress made at Key Stage 1 is built upon at Key Stage 2.

## **11 HUMAN RIGHTS IMPLICATIONS**

- 11.1 There are no Human Rights issues in relation to this decision.

## **12 OTHER IMPLICATIONS**

- 12.1 An Equality Impact Assessment has been undertaken in respect of this change and is attached at Appendix 5. A Climate Change Impact Assessment has been undertaken in respect of this change and is attached at Appendix 6.

## 13.0 RECOMMENDATIONS

(a) That having undertaken the required preliminary checks, the Executive Members resolve that the four key issues listed above in paragraph 8.3 have been satisfied and there can be a determination of the proposals.

(b) that the following proposal be determined:

- To amalgamate Catterick Garrison, Wavell Community Infant School and Wavell Community Junior School through the technical closure of Wavell Community Junior School as a separate entity and the enlargement and change of age range of Catterick Garrison, Wavell Community Infant School to create a single 3-11 primary school across both school sites, with effect from 8 April 2024.
- To reduce the PAN applicable to the Reception Year of the newly amalgamated school to 60 for the 2024/25 academic year and beyond.

Stuart Carlton

Corporate Director – Children and Young People’s Service

Report prepared by Mark Ashton – Strategic Planning Officer

### Appendices

Appendix 1 – Consultation document

Appendix 2 – Consultation responses

Appendix 3 – Statutory proposal

Appendix 4 – Statutory notice

Appendix 5 – Equalities Impact Assessment

Appendix 6 – Climate Change Impact Assessment

Appendix 7 – School Organisation Guidance for Decision Makers

### Background documents

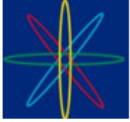
Report of Corporate Director Meeting with Executive Members – 30 May 2023

Report of the Executive Committee, 22 August 2023

DfE Schools causing concern guidance October 2022 - [Schools Causing Concern Guidance Update \(publishing.service.gov.uk\)](#)



Appendix 1

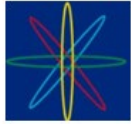


**Wavell Community Schools' Federation**

# ***Consultation Document***

**Proposal to amalgamate Wavell Infant and  
Junior Schools in Catterick to create a single 3-  
11 primary school on both school sites  
(to be known as Wavell Community Primary School)**

**through the technical closure of Wavell Community Junior School and  
the enlargement and change of age range of Catterick Garrison, Wavell  
Community Infant School with effect from 8 April 2024**



## Wavell Community Schools' Federation

June 2023

### Proposal to amalgamate Wavell Community Infant and Junior Schools from 8 April 2024

Wavell Community Infant and Wavell Community Junior Schools are currently separate schools. Most children progress from the Infant School to the Junior School at the end of Key Stage 1. This creates a transition point which can have an effect on children's progress.

The schools work together in a Federation and this arrangement has ensured that good practice in both schools is shared. Wavell Federation's Governors have agreed that amalgamating the schools to create an all-through primary school is the next logical step to take. The single primary school would continue to work across both school buildings.

Wavell Federation's Governing Body and North Yorkshire Council have agreed to consult stakeholders on a proposal to create a single primary school serving children aged 3 to 11, from 8 April 2024. This amalgamated school would be created by the enlargement and change of age range of Wavell Community Infant School and the associated technical closure of Wavell Community Junior School.

It is proposed that the two schools will amalgamate from 8 April 2024 and that the newly amalgamated school will be called Wavell Community Primary School.

Please take time to look at the proposals, and then send us your views by the closing date on 21 July 2023. We look forward to hearing from you.

There will be a public meeting on:

**Thursday 22 June 2023 at 6pm**

at Wavell Community Infant School, Wavell Road, Catterick Garrison, DL9 3BJ

## **Current Position**

The Wavell Community Schools Federation brings together the governance of two schools in Catterick Garrison, Wavell Community Infant and Wavell Community Junior Schools, as a single governing body responsible for both schools. Wavell Infant is an age 3-7 school, and Wavell Junior is a 7-11 school, and an Executive Headteacher works across both schools.

The schools share the same catchment area and serve families living in the northern side of Catterick Garrison, around Hipswell. Both schools are on one site and their buildings are now physically connected by a recently constructed corridor

Most pupils transfer from the infant to the junior school at age 7, and parents are required to make a school admissions application in advance of this transfer.

## **The Proposal**

It is proposed that an all-through primary school, serving children aged 3-11, would be created by the enlargement and change of age range of Wavell Community Infant School and the associated technical closure of Wavell Community Junior School. The all-through primary school would continue to operate over the same school site and buildings and serve the same catchment area as the Infant and Junior Schools.

The proposed name for the single school is Wavell Community Primary School.

This change would take place from 8 April 2024.

## **Why are we proposing change now?**

We believe that the proposed change will benefit children by building on the work of the Federation which is already in place, and address issues that we have described below:

### **The Current Concerns**

Wavell Community Infant School was judged to be Good in 2017 and again when recently inspected by Ofsted in July 2022. The inspectors said staff 'have high expectations of what pupils can achieve' and pupils in the Infant School are keen to meet those expectations.

Wavell Community Junior School was judged Requires Improvement (RI) by Ofsted both in 2018 and again following their inspection in 2021. As a consequence of been judged as RI at two consecutive inspections, the Department for Education (DfE) is able to apply new powers to intervene in schools that are deemed as 'not making necessary improvements'. The DfE has indicated their intention to issue the Junior School with an order which would direct the school to become an academy.

If the proposed amalgamation proceeds, enabling the two schools to become one school, there would be a single Ofsted inspection in future and the Ofsted judgement for the expanded Infant School would be retained. The current Ofsted judgement for the Junior School, and the DfE's powers of intervention attached to it, would no longer be applicable after amalgamation.

Pupils transfer from the infant to the junior school between Key Stages 1 and 2. It is recognised there can be a drop in children's progress at times of transition between Key Stages. This is even more marked when the transition coincides with a transfer between two schools.

Under the current Ofsted framework, where performance is a critical factor, the drop in performance following transition makes it harder for the junior school to represent itself positively in an Ofsted inspection and, partly because of these concerns, a number of other infant and junior schools have amalgamated in recent years.

It is considered that an amalgamated school would deliver a smoother transition between Key Stages and help to ensure that the good progress made by children at Key Stage 1 is maintained and built upon at Key Stage 2.

### **Transition**

Children who transfer between the infant and junior schools and then a secondary school (such as Risedale) experience two transition points. It is recognised that a transition can interrupt and slow down children's progress, and so multiple transfers are not to the advantage of children. Creating an all-through primary school would reduce the number of school transfers.

The schools already work together very closely to minimise the impact on pupils of this transfer between schools but creating an all-through primary school would deliver a smoother transition between Key Stages. Pupils would remain within the same overall school structure. Teachers would also be better able to plan for the change and develop a better understanding of the needs of pupils in different key stages.

### **Learning and Progress**

It is felt that learning and progress can be even better if the two schools come together as a single school. The expertise of staff currently in the schools could be better targeted across the full age range and across all key stages to raise standards, and the single school would have fully integrated arrangements for the assessment of learning, including recording of progress. A future single school would have one Ofsted inspection which would allow a judgement to be made on the provision for all children through the primary age range.

The career opportunities offered in a 3-11 school would be more attractive to applicants when staffing vacancies arise in the future and would therefore attract the best applicants.

Parents would be able to see the whole school offer through to age 11 when they are making their decision to apply for a primary school place for their child, and so be able to make a more informed decision. Parents could also apply for a place at any alternative primary school.

### **What would the amalgamated school look like?**

The single school would continue to operate in the same buildings and in the short term it would appear to be much the same for pupils. However, in the future the pupils and staff would come together and operate from a single curriculum.

The amalgamated school would be a community school and would continue to be funded and supported by the local authority with staff employed by the local authority. Admissions to the school would continue to be managed by the local authority in accordance with its admission policy for community schools.

The school's catchment area around Hipswell would remain the same as would eligibility for home to school transport under the Council's policy. Most pupils in both schools come from service families and the single primary school would continue to have strong ties to the military community on Catterick Garrison and to serve service families.

Should amalgamation proceed it would no longer be necessary for parents to apply for a transfer from key stage 1 to key stage 2. Children currently attending Wavell Junior School would automatically be provided with a place in the amalgamated school.

A re-constituted governing body together with the existing Executive Headteacher would lead the amalgamated school.

### **Buildings**

The two schools already share the same site and car park, with a school kitchen serving both schools. A corridor connects the infant and junior school buildings and there is a common reception point for parents.

### **Finance**

School budgets are funded largely by pupil numbers so amalgamating the schools would not lead to an increase in revenue funding. The combined revenue funding would be smaller because the lump-sum would cease for the Junior School following amalgamation, although transitional funding will be provided during the initial period of the amalgamation. Transitional funding protection arrangements allow for both lump-sums to be retained from the point of amalgamation for the remainder of the financial year that amalgamation takes place and provide 85% of the two lump-sums received in the next financial year.

Based on the amalgamation taking effect on 8 April 2024 (Easter 2024), the table below seeks to show, other things being equal, the retention of lump sums for that financial year and the amalgamated school retaining 85% of their lump sums for the financial year 2025/26.

Financial Year	Infant School Lump-sum (£k)	Junior School Lump-sum (£k)	Amalgamated School Lump-sum (£k)^	Total Lump-sum (£k)
2023-24	128	128		256
2024-25	128	128	-	256
2025-26			217.6	217.6
2026-27			128	128

Figures may change as a consequence of any changes in DfE's National Funding Formula.

Wavell Federation's combined accounts would need to be split so that that the Infant school's budget is retained and the Junior school's accounts would be closed-down and deficit withdrawn. Separately, and in addition to this school organisation proposal, the Wavell Community Schools Federation are considering options to improve their financial position.

### **Pupil Numbers**

There are 105 pupils of mainstream school age at Wavell Infant Community Primary School (excluding nursery pupils) and 165 pupils at Wavell Community Junior School. The pupil numbers in each year group are set out below:

<b>Year</b>	<b>Wavell Infant</b>	<b>Wavell Junior</b>	<b>Total</b>
Reception	44		
Year 1	22		
Year 2	39		
Year 3		34	
Year 4		46	
Year 5		42	
Year 6		43	
<b>Total</b>	<b>105*</b>	<b>165</b>	<b>270^</b>

\*Not including 31 fte nursery pupils.

^ As at May 2023

The amalgamated school has a net capacity of 420 places and as such, it would have space to accommodate the combined 3-11 cohort. It is considered, as described below, that the capacity of the amalgamated school will be sufficient to provide the required number of pupil places for the foreseeable future, including any pupils generated by expected new housing.

### **Admission Number**

The Published Admission Number (PAN) is the number of school places that must be offered in the Reception year. As part of the amalgamation proposals, it is proposed to decrease the PAN of Wavell Community Infant School from 72 to 60, and this would be the future PAN of the newly amalgamated school.

Wavell Community Infant School is not fully subscribed for Reception entry in 2023/24 continuing the trend of recent years, and this is also the case for the majority of local schools.

As the PAN of the amalgamated primary school will be 60, there will be a small overall reduction in mainstream primary school places across the Garrison. Detailed information on school forecasts is provided on the consultation section of the North Yorkshire Council website but the following is a summary of that forecast information:

Analysis looking at Wavell Infants and Juniors and their three neighboring Garrison schools (Le Cateau, Cambrai, and Carnagill) shows that total rolls have fallen by 86 pupils (1038-952) between 16/17 and 22/23, and also projects a further fall to a total of 854 pupils in 32/33, reflecting a declining birth rate.

Widening the analysis to also include a further four Catterick area schools (Colburn, Hipswell, Michael Syddall and Bolton-on-Swale) shows a current prediction that the total number of pupils for this larger group of schools will fall by 269 (1653-1384) between 22/23 and 32/33 (excluding housing impacts).

Using projections for additional pupils from expected new housing (based on the methodology of one additional primary aged child from every four new dwellings that currently have planning approval) indicates 210 additional pupils could be realised from a total of 840 dwellings over 10 years. This shows that the additional pupils expected from housing will not compensate for the underlying demographic trend and so, overall, there is a predicted fall in pupil rolls for the short and medium term.

This change in local school rolls, the future projections, including an assessment of impacts from housing, and the current under-subscription all provide confidence that reducing the

PAN to 60 will not be detrimental to school place sufficiency in the local area. However, we are consulting on this aspect of the proposal and ask for your view in the response form.

### **Staff**

A separate consultation process, including a staff meeting, is running in parallel with this consultation.

### **Who are we consulting?**

Copies of this consultation document have been sent to all parents of pupils at Wavell Infant School and Wavell Junior School and to staff at the two schools. It has also been distributed to neighbouring schools, councillors, parish councils, professional associations and the local MP.

Your views are important and your response to the consultation will be published on North Yorkshire Council's website to inform the decision-making process. Your personal details, and those of others you may refer to, will not be published.

### **What happens Next?**

If the decision is taken to consult further, then statutory notices would be published in the local press. These notices, alongside the required statutory proposals, would provide four weeks for further representations to be made. A final decision would then be taken by North Yorkshire Council's Executive and, if agreed, the schools would amalgamate from 8 April 2024.

### **Anticipated Key Dates**

All dates are subject to approvals at each stage.

Consultation opens	8 June 2023
Public meeting at the school	22 June 2023
Consultation closes	21 July 2023
North Yorkshire Council's Executive considers consultation response	22 August 2023
Statutory Notices published (4 weeks for representations to be made)	11 September – 9 October 2023
Final decision by North Yorkshire Council's Executive	17 October or 7 November 2023
Proposed date from which Wavell Junior School would technically close, and the newly named amalgamated school would begin to operate	7 April 2024 and 8 April 2024

## **How to Respond**

Your views about this proposal are welcomed. You can either complete and return the attached response sheet or submit an online response.

Paper responses should be returned to North Yorkshire Council at the address below:

FREEPOST RTKE-RKAY-CUJS  
Wavell Schools Strategic Planning  
North Yorkshire Council  
County Hall  
NORTHALLERTON  
DL7 8AE

Online responses may be submitted by following this link:

<https://consult.northyorks.gov.uk/snapwebhost/s.asp?k=168491829352>

### **The closing date for responses is 5pm on 21 July 2023**

All responses to the consultation received by this date will be considered by the Council's Executive on 22 August 2023.



## Wavell Community Infant and Junior Schools

This is a consultation on proposals to amalgamate Wavell Infant School and Wavell Junior School from 8 April 2024.

We are collecting this information for the purpose of gathering views on the proposal. Your personal data will not be published or passed to any other organisation unless a legal obligation compels us to do so.

Reading our Privacy Notice will help you understand how and why we process your personal data. [www.northyorks.gov.uk/privacy-notices](http://www.northyorks.gov.uk/privacy-notices)

Do you support the amalgamation proposal ? Yes / No / Don't know

Do you have any comments about the amalgamation proposal?  
(Please do not disclose any personal information in your answer)

Do you have any comments about the reduction in PAN (Published Admission Number) to 60?

What is your interest/status? Parent / Governor / Teacher / Community / Other	
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Other (please state)	
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Name of school: (if applicable)	
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To confirm your proximity to school and to prevent duplicate responses we are asking you to provide your name and address. This information will be removed from any analysis or reports.	
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Name:	
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Address:	
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Postcode:	
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To help us assess whether we have provided clear information, please let us know whether you found this consultation easy to understand? <b>Yes / No</b>
--

Do you have any suggestions for improvement?
--

Please send this response sheet to the following "FREEPOST" address. You <u>do not</u> need to use a postage stamp.
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FREEPOST RTKE-RKAY-CUJS  
Wavell Infant and Junior Schools  
Strategic Planning  
North Yorkshire Council  
County Hall  
NORTHALLERTON  
DL7 8AE

Or to submit your response online go to:
--

<https://consult.northyorks.gov.uk/snapwebhost/s.asp?k=168491829352>

To be received by no later than **5pm on 21 July 2023**

Appendix 2

	<b>Do you have any comments about the amalgamation proposal?...</b>	<b>Do you support the amalgamation proposal?</b>	<b>Do you support the reduction in PAN (Published Admission</b>	<b>In what capacity are you responding to this consultation?</b>	<b>Name of school?</b>	<b>Do you have any suggestions for improvement?</b>
1	Many people in our community already see the Infant School and Junior School as one school since they federated. The amalgamation will only make this more final. It will make the transition between the two school's easier therefore better for the welfare of the children and easier for us, the parents, as it will eliminate the need the apply for the Juniors when moving from year 2 to 3. I see the only negative for the amalgamation is he lump some the Junior school will use however this is only a negative because the school already has it. Many, many schools only have one lump some and have been absolutely fine. Both school's are wonderful schools and I personally have never known a more caring and helpful school. If one was to become a academy and the two school parted it would be awful for our community, the wonderful staff that work at Wavell and most of all our children. I as many other parents do, fully support this move.	Yes	Yes	Parent	Wavell Community Schools' Federation	None
2	I am in favour of the amalgamation	Yes	Yes	Governor	Wavell Infant and Nursery School	
3	How will this affect sen children	Don't know	Don't know	Parent	Wavell juniors	
4	None	Yes	Yes	Parent	Wavell Community Infant School	None
5	I think it's a great idea.	Yes	Don't know	Parent	Wavell infants school	
6		Yes	Yes	Parent		
7		Yes	Don't know	Parent	Wavell junior school and wavell infant school	

## Appendix 2

8		Don't know	Don't know	Parent	wavell community infant school	
9		No	No	Parent	Wavell School	For the management of the school to be more open and honest as to why this is happening. What is to be done with the recent ofsted report for the junior school?
10		No	Don't know	Parent	Wavell infant. School	
11	I think this a fantastic idea, it will probably help children with the transition processes and can see no reason for objection.	Yes	Don't know	Parent	Wavell community infant and nursery	
12	I am in support of this. I think it's a really good idea. I am worried about the cost with a new uniform though?	Yes	Yes	Parent	Wavell Infant and Junior School	
13		Yes	Don't know	Parent	Wavell	
14	Concerned about the halving of funding after the initial process but realise this is the same for every full Primary across the LA and probably Nationally too.	Yes	Yes	Grandparent	Wavell community infants and nursery school	
15	What will this mean in terms of SEND? Will SEND children be supported through transitions and any staffing changes? Will uniforms remain the same Will pupils enrolled need to reapply	Yes	Yes	Parent	Wavell junior	
16		Yes	Yes	Parent		
17		No	Yes	Parent	Wavell Infant and Junior	

<b>Totals</b> for 'Do you support the amalgamation proposal?'	
Yes	12
No	3
Don't Know	2

<b>Totals</b> for 'Do you support the PAN reduction? (Published Admission Number)'	
Yes	9
No	1
Don't Know	7

## Appendix 3

### **Proposal to amalgamate Wavell Community Junior School and Catterick Garrison, Wavell Community Infant School to create a single 3-11 primary school across both school sites**

#### **Part 1 – Wavell Community Junior School – Discontinuance**

### **Statutory proposals for school closures**

As set out in the Establishment and Discontinuance Regulations the information below **must** be included in a proposal to close a school:

#### **Contact details**

Proposal published by North Yorkshire Council, County Hall, Northallerton, DL7 8AE, to discontinue Wavell Community Junior School, Wavell Road, Catterick Garrison, DL9 3BJ from 7 April 2024.

Wavell Community Junior School is a 7-11 school in the Catterick Garrison area.

#### **Implementation**

It is proposed to close the school from 7 April 2024.

#### **Reason for closure**

The proposal is to close Wavell Community Junior School with effect from 7 April 2024. This proposal is related to proposals to enlarge and alter the age range of Catterick Garrison, Wavell Community Infant School across the existing Infant and Junior School sites.

Catterick Garrison, Wavell Community Infant School and Wavell Community Junior School share the same site on the north side of Catterick Garrison and serve an area around Hipswell.

Catterick Garrison, Wavell Community Infant School accommodates children aged 3-7, with Wavell Community Junior School accommodating children living in the same area from 7-11. Pupils transfer from the Infant to the Junior school at age 7. Parents are required to express a preference in advance of this transfer. The objective of the proposal, and a related proposal to enlarge and alter the age range of Wavell Community Junior School, is to create a single 3-11 school which will deliver an all-through primary education. The removal of transition at age 7 would allow an uninterrupted learning experience from age 3 through to 11, in keeping with the majority of pupils nationally. The single school would work across the existing two school sites.

The removal of transition at age 7 would allow an uninterrupted learning experience

## Appendix 3

from age 3 to 11.

Wavell Community Infant School was judged to be Good when recently inspected by Ofsted in July 2022. Wavell Community Junior School was judged Requires Improvement (RI) by Ofsted in 2018 and again following their inspection in 2021. As a consequence of being judged RI on two successive occasions, and the Department for Education's new powers to intervene in schools that are deemed as 'not making the necessary improvements' the DfE's Regional Director indicated their intention to issue Wavell Community Junior school with a Directive Academy Order (DAO).

It is recognised that there can be a hiatus in children's progress at times of transition between Key Stages. This is even more marked in infant and junior schools, when the transition between Key Stages 1 and 2 coincides with a transition between two different schools. It is considered that an amalgamated school would deliver a smoother transition between Key Stages and help to ensure that the good progress made by children at Key Stage 1 is maintained and built upon at Key Stage 2.

It is considered that learning and progress can be even better if the two schools come together as a single school. The expertise of staff currently in the schools could be better targeted across the full age range and across all key stages to raise standards, and the single school would have fully integrated arrangements for assessment of learning, including a single Ofsted inspection which would allow a judgement to be made on the provision for all children through the primary age range.

### **Pupil numbers and admissions**

There are currently 165 pupils on roll at the school as of May 2023 (not including 31fte Nursery pupils). 34 pupils in Year 3, 46 in Year 4, 42 in Year 5 and 43 in Year 6.

The school's age range is 7-11 years, and provision is available for boys and girls. There is no boarding provision. Total pupil numbers are significantly lower than the capacity of the school which is designed to accommodate up to 240 pupils.

### **Displaced pupils**

- a) No interim arrangements have been necessary.

If the proposal is approved, the area currently served by the school would be served by the enlarged Catterick Garrison, Wavell Community Infant School from 8 April 2024. The related proposal includes the enlargement and change of age range of Wavell Community Infant School. Children currently on roll at Wavell Community Junior School would automatically be transferred to the roll of the new single primary school. Due to the related proposal in respect of the Infant School there is no additional need for school places in respect of displaced pupils.

It is proposed to reduce the Published Admission Number from 72 at Wavell

## Appendix 3

Community Infant School 60 at the amalgamated primary school, leading to a small overall reduction mainstream primary school places.

- b) Not applicable in this case
- c) Not applicable in this case

### **Impact on the community**

No impact on the community is anticipated.

### **Rural primary schools**

Not applicable as none of the schools are designated as Rural primary school

### **Balance of denominational provision**

Not applicable.

### **Maintained nursery schools**

Not applicable

### **Sixth form provision**

Not applicable

### **Special educational needs provision**

Not applicable.

### **Travel**

NYCC and the schools are committed to ensuring that parents and pupils travel to school in sustainable way and are encouraged to walk or cycle to school where possible. The schools operate on adjacent sites. There would be no impact on home to school transport.

Where a child attends a school which is not their normal school or nearest school, parents are normally responsible for making transport arrangements.

Eligibility for home to school transport will be determined in line with the Council's current home to school transport policy and procedures based on each child's home address and individual circumstances.

### **Consultation**

On 30 May 2023, at a meeting of the Executive Members for the Children and Young People's Service, the Executive Member for Education, Learning and Skills gave approval for consultation on a proposal to amalgamate Catterick Garrison, Wavell

## Appendix 3

Community Infant School and Wavell Community Junior School to create a single 3-11 primary school across both school sites.

A consultation paper setting out the proposal was sent to parents of pupils on roll, staff at the school as well as other interested parties and individuals. A copy of the consultation paper is attached as Appendix 1. A list of the consultees is attached as Appendix 2. The consultation period ran from 8 June to 20 July 2023. A public meeting was held at the school on 22 June 2023, a note of that meeting is attached as Appendix 3. There have been 17 consultation responses received (Appendix 4).

### **Procedure for making representations (objections and comments)**

Within four weeks from the date of publication of this proposal, any person may object to or make comments on the proposal by sending them to Corporate Director-Children and Young People's Service, North Yorkshire Council, County Hall, Northallerton, DL7 8AE, by 2 October 2023.

- Appendix 1: Consultation Paper
- Appendix 2: List of the Consultees
- Appendix 3: Notes of the Public Meeting
- Appendix 4: Consultation Responses
- Appendix 5: Statutory Notice.



## Appendix 3

**Proposal to amalgamate Wavell Community Junior School and Catterick Garrison, Wavell Community Infant School to create a single 3-11 primary school across both school sites**

**Part 2 – Catterick Garrison, Wavell Community Infant School – Enlargement and Change of Age Range**

## **Statutory proposals for Prescribed Alterations – Change of Age Range and Enlargement**

### **Contact details**

Proposal published by North Yorkshire Council, County Hall, Northallerton, DL7 8AE, to make prescribed alterations to Catterick Garrison, Wavell Community Infant School, Wavell Road, Catterick Garrison, DL9 3BJ from 8 April 2024.

Catterick Garrison, Wavell Community Infant School is a 3-7 school in the Catterick Garrison area.

### **Implementation**

It is proposed to change the age range and enlarge Catterick Garrison, Wavell Community Infant School from 8 April 2024. The current capacity of the school is 216 and the proposed capacity will be 420. The current number of pupils registered at the school is 105. The current admission number for the school is 72 and the proposed admission number will be 60. The current age range of the school is 3-7 and the proposed age range will be 3-11.

### **Description of alteration**

The proposal is to enlarge Wavell Community Infant School and alter its upper age limit with effect from 8 April 2024. The enlarged school would have a capacity of 420 places plus 26 place nursery provision. This proposal is related to the closure of Wavell Community Junior School.

### **Objective**

The objective of the proposal, and the related proposal to close Wavell Community Junior School, is to create a 3-11 school which will deliver an all-through primary education across the existing Wavell Infant and Junior sites. The removal of transition at age 7 would allow an uninterrupted learning experience from age 3 to 11.

Wavell Community Infant School was judged to be Good when recently inspected by Ofsted in July 2022. Wavell Community Junior School was judged Requires Improvement (RI) by Ofsted in 2018 and again following their inspection in 2021. As a

## Appendix 3

consequence of being judged RI on two successive occasions, and the Department for Education's new powers to intervene in schools that are deemed as 'not making the necessary improvements' the DfE's Regional Director indicated their intention to issue Wavell Community Junior school with a Directive Academy Order (DAO).

It is recognised that there can be a hiatus in children's progress at times of transition between Key Stages. This is even more marked in infant and junior schools, when the transition between Key Stages 1 and 2 coincides with a transition between two different schools. It is considered that an amalgamated school would deliver a smoother transition between Key Stages and help to ensure that the good progress made by children at Key Stage 1 is maintained and built upon at Key Stage 2.

It is considered that learning and progress can be even better if the two schools come together as a single school. The expertise of staff currently in the schools could be better targeted across the full age range and across all key stages to raise standards, and the single school would have fully integrated arrangements for assessment of learning, including a single Ofsted inspection which would allow a judgement to be made on the provision for all children through the primary age range.

### **Effect on other schools, academies and educational institutions**

There is not expected to be any effect on other schools, academies and educational institutions, other than those covered by these proposals. Whilst there is a slight reduction in the admission numbers for the amalgamated school, and there are planning permission granted for new developments within Catterick, it is the view that there will be sufficient places within Wavell and the local area.

### **Project costs and indication of how these will be met, including how long term value for money will be achieved.**

Wavell Infant and Junior schools are adjacent. No capital works are needed for this proposal. The buildings are already connected for pupils by a corridor and there is a single reception point for parents.

### **Governance**

The two schools are separate institutions, albeit serving the same area. The Wavell Community Schools Federation brings together the governance of two schools. If the amalgamation went ahead, the Wavell Community Schools Federation would be disestablished from 7 April 2024 and a newly constituted governing board would be set up for the single school from 8 April 2024.

### **Consultation**

On 30 May 2023, at a meeting of the Executive Members for the Children and Young People's Service, the Executive Member for Education, Learning and Skills gave approval for consultation on a proposal to amalgamate Catterick Garrison, Wavell

## Appendix 3

Community Infant School and Wavell Community Junior School to create a single 3-11 primary school across both school sites.

A consultation paper setting out the proposal was sent to parents of pupils on roll, staff at the school as well as other interested parties and individuals. A copy of the consultation paper is attached as Appendix 1. A list of the consultees is attached as Appendix 2. The consultation period ran from 8 June to 20 July 2023. A public meeting was held at the school on 22 June 2023, a note of that meeting is attached as Appendix 3. There have been 17 consultation responses received (Appendix 4).

### **Related Proposals**

This proposal is related to proposal for the closure of Wavell Community Junior School and the expansion of Wavell Community Infant School, to create a single 3-11 primary school across the two existing sites.

### **Procedure for making representations (objections and comments)**

Within four weeks from the date of publication of this proposal, any person may object to or make comments on the proposal by sending them to Corporate Director-Children and Young People's Service, North Yorkshire Council, County Hall, Northallerton, DL7 8AE, by 2 October 2023.

Appendix 1: Consultation Paper - See Appendix 2 of the August Executive Report

Appendix 2: List of the Consultees - See Appendix 3 of the August Executive Report

Appendix 3: Notes of the Public Meeting - See Appendix 4 of the August Executive Report

Appendix 4: Consultation Responses - See Appendix 5 of the August Executive Report

## Appendix 4

### **NORTH YORKSHIRE COUNCIL**

Amalgamation of Catterick Garrison, Wavell Community Infant School and Wavell Community Junior School, through the technical closure of Wavell Community Junior School as a separate entity, and the enlargement and change of age range of Catterick Garrison, Wavell Community Infant School to create a single 3 -11 primary school across both sites.

#### **Part 1 – Wavell Community Junior School – Discontinuance**

Notice is given in accordance with section 15(1) of the Education and Inspections Act 2006 that North Yorkshire Council, County Hall, Northallerton, DL7 8AD, intends to discontinue Wavell Community Junior School, Wavell Road, Catterick Garrison, North Yorkshire, DL9 3BJ on 7 April 2024.

#### **Part 2 – Catterick Garrison, Wavell Community Infant School – Enlargement and Change of Age Range**

Notice is given in accordance with section 19(1) of the Education and Inspections Act 2006 that North Yorkshire Council, County Hall, Northallerton, DL7 8AE, intends to enlarge and alter the age range of Catterick Garrison, Wavell Community Infant School, Wavell Road, Catterick Garrison, North Yorkshire, DL7 8AD on 8 April 2024. The current capacity of the school is 216 and the proposed capacity will be 420. The current number of pupils registered at the school is 105. The current admission number for the school is 72 and the proposed admission number will be 60. The current age range of the school is 3-7 and the proposed age range will be 3 -11.

The proposals contained in Parts One and Two of this notice are all related.

Copies of the complete proposals can be obtained from: Strategic Planning - Children and Young People's Service, North Yorkshire Council, County Hall, Northallerton, DL7 8AD and are available on the Council's website at [www.northyorks.gov.uk](http://www.northyorks.gov.uk)

Within four weeks from the date of publication of these proposals in Parts One and Two, any person may object to or make comments on the proposal by sending them to Strategic Planning - Children and Young People's Service, North Yorkshire Council, County Hall, Northallerton, DL7 8AD, or emailing [schoolorganisation@northyorks.gov.uk](mailto:schoolorganisation@northyorks.gov.uk) by 5pm on 2 October 2023.

Signed: B. Khan  
Assistant Chief Executive  
(Legal and Democratic  
Services)

Publication Date: 4 September 2023

## APPENDIX 5:

# Equality impact assessment (EIA) form: evidencing paying due regard to protected characteristics

(Form updated April 2019)

## Proposal to amalgamate Wavell Community Junior School and Catterick Garrison, Wavell Community Infant School to create a single 3-11 primary school across both school sites

If you would like this information in another language or format such as Braille, large print or audio, please contact the Communications Unit on 01609 53 2013 or email [communications@northyorks.gov.uk](mailto:communications@northyorks.gov.uk).

যদি আপনি এই ডকুমেন্ট অন্য ভাষায় বা ফরমেটে চান, তাহলে দয়া করে আমাদেরকে বলুন।

如欲索取以另一語文印製或另一格式製作的資料，請與我們聯絡。

اگر آپ کو معلومات کسی دیگر زبان یا دیگر شکل میں درکار ہوں تو برائے مہربانی ہم سے پوچھئے۔



Equality Impact Assessments (EIAs) are public documents. EIAs accompanying reports going to Councillors for decisions are published with the committee papers on our website and are available in hard copy at the relevant meeting. To help people to find completed EIAs we also publish them in the Equality and Diversity section of our website. This will help people to see for themselves how we have paid due regard in order to meet statutory requirements.

Name of Directorate and Service Area	CYPS Strategic Planning Team
Lead Officer and contact details	Andrew Dixon, County Hall
Names and roles of other people involved in carrying out the EIA	Mark Ashton, Strategic Planning Officer
How will you pay due regard? e.g. working group, individual officer	LA Officers and School Governing Body
When did the due regard process start?	Non-statutory pre-consultation started in February 2023

**Section 1. Please describe briefly what this EIA is about.** (e.g. are you starting a new service, changing how you do something, stopping doing something?)

The proposal is to amalgamate Catterick Garrison, Wavell Community Infant School and Wavell Community Junior School.

This would result in the technical closure of Wavell Community Junior School as a separate entity and the enlargement and change of age range of Catterick Garrison, Wavell Infant School (to be known as Wavell Community Primary School), with effect from 8 April 2024.

**Section 2. Why is this being proposed? What are the aims? What does the authority hope to achieve by it?** (e.g. to save money, meet increased demand, do things in a better way.)

On 30 May 2023, at a meeting of the Executive Members for the Children and Young People's Service, approval was given to consult on amalgamating Catterick Garrison, Wavell Community Infant School and Wavell Community Junior School to create a single 3-11 primary school across both school sites.

The Wavell Community Schools Federation brings together the governance of the two schools in a single federated governing body. The Wavell Community Schools Federation governing board asked the Local Authority to amalgamate the two schools because they are satisfied it will benefit pupils by building on the work of the Federation.

The consultation paper sets out two linked factors as the reasons for the proposal to amalgamate Wavell Community Infant and Junior Schools: learning and transition. These are set out below.

**Learning:** It is considered that learning and progress can be even better if the two schools come together as a single school. The expertise of staff currently in the schools could be better targeted across the full age range and across all key stages to raise standards, and the single school would have integrated assessment. Currently each school has a separate Ofsted inspection, but the single school would have a single Ofsted inspection allowing a judgement for all through the primary age range. The career opportunities offered in an 3-11 school would be more attractive to applicants when staffing vacancies arise in the future which should aid recruitment and retention and parents would be able to see the whole school offer through to age 11 when they are making their decision for primary school.

**Transition:** Children who transfer between the infant and junior schools and then a secondary school (such as Risedale) experience two transition points. Creating an all-through primary school would reduce the number of school transfers. It is recognised that the hiatus in children's progress at times of transition between Key Stages is even more marked in infant and junior schools, when the transition between Key Stages 1 and 2 coincides with a transition between two different schools. An amalgamated school would deliver a smoother transition between Key Stages and help to ensure that the good progress made by children at Key Stage 1 is maintained and built upon at Key Stage 2.

### **Section 3. What will change? What will be different for customers and/or staff?**

The Infant and Junior schools both serve the same catchment area in the north of Catterick Garrison. Should the amalgamation proceed, the single school's catchment area around Hipswell would remain the same as would eligibility for home to school transport. Most pupils in both schools come from service families and the single primary school would continue to have strong ties to the military community on Catterick Garrison and to serve service families.

The single school would not have so many transition points which can slow down children's progress. The single school would have fully integrated arrangements for assessment of learning, including recording of progress. Parents would be able to see the whole school offer through to age 11 when they are making their decision to express a preference for primary school and so be able to make a more informed decision.

The single school would have a single Ofsted inspection, rather than the current separate inspections. Wavell Community Infant School was judged to be Good when recently inspected by Ofsted in July 2022. Wavell Community Junior School was judged Requires Improvement (RI) by Ofsted in 2018 and again following their inspection in 2021. Closing the Junior School would remove the DfE's increased powers of intervention, as a consequence of being judged RI on two successive occasions, and help to ensure that the good progress made by children at Key Stage 1 is maintained and built upon at Key Stage 2.

**Section 4. Involvement and consultation. What involvement and consultation has been done regarding the proposal and what are the results? What consultation will be needed and how will it be done?**

On 30 May 2023 the Executive Member for Education, Learning and Skills approved a public consultation on the proposal to create a single 3-11 primary school across both school sites.

During the six week period running from 8 June 2023 to 20 July 2023, NYC have undertaken the non-statutory consultation period as recommended by the Statutory Guidance.

A consultation document was distributed to the list of consultees. The document was also published on the consultation section of the NYC website.

A public meeting was held at Wavell Community Junior School on 22 June 2023. A record of the meeting was taken.

If permission is granted by the Executive to proceed to the statutory consultation stage, then Statutory Proposals will be published and there will be a further 4 week period of consultation between 11 September and 9 October 2023.

**Section 5. What impact will this proposal have on council budgets? Will it be cost neutral, have increased cost or reduce costs?**

Please explain briefly why this will be the result.

The implications for schools revenue and capital funding were set out in the report to Executive Members on 30 May 2023 and in the report to the Executive on 22 August 2023.

Capital Position: No capital works are needed for this proposal. The buildings are already connected for pupils by a corridor and there is a single reception point for parents.

Revenue Position: School budgets are funded largely by pupil numbers so amalgamating the schools would not lead to an increase in revenue funding. The combined revenue funding would be smaller because the lump-sum would cease for the Junior School on amalgamation, although transitional funding protection arrangements allow for both lump-sums to be retained from the point of amalgamation for the remainder of the financial year that amalgamation takes place and provide 85% of the two lump-sums received in the next financial year.

<b>Section 6. How will this proposal affect people with protected characteristics?</b>	<b>No impact</b>	<b>Make things better</b>	<b>Make things worse</b>	<b>Why will it have this effect? Provide evidence from engagement, consultation and/or service user data or demographic information etc.</b>
Age	X			Across the Wavell Community Schools Federation the schools currently provide education provision for pupils ages 3-11. The proposal allows for a continuation for provision of that age range.
Disability	x			Pupils – The schools in the Wavell Community Schools Federation are currently mainstream offering universal provision.

				<p>As is the case for all schools, the amalgamated school would be required to follow the statutory requirements set out in the 2014 SEND code of practice, which promotes inclusive opportunities.</p> <p>Expertise will be utilised from the Council to provide appropriate SEN support.</p> <p>In addition, the School Admissions Code makes it unlawful to discriminate against or disadvantage disabled children or those with special educational needs.</p> <p>Staff – As an organisation NYC will continue to meet the requirements of the Equality Act 2010 which obligates us to make reasonable adjustments to accommodate disabled individuals as employees or service users.</p> <p>Buildings – The school buildings are designed in accordance with equalities legislation so that the building is accessible for pupils.</p>
Sex	x			No impact is anticipated.
Race	x			No impact is anticipated.
Gender reassignment	x			No impact is anticipated.
Sexual orientation	x			No impact is anticipated.
Religion or belief	x			No impact is anticipated.
Pregnancy or maternity	x			No impact is anticipated.
Marriage or civil partnership	x			No impact is anticipated.

<b>Section 7. How will this proposal affect people who...</b>	<b>No impact</b>	<b>Make things better</b>	<b>Make things worse</b>	<b>Why will it have this effect? Provide evidence from engagement, consultation and/or service user data or demographic information etc.</b>
..live in a rural area?	X			This proposal allows for sufficient capacity at the school to accommodate all pupils living within the catchment area.
...have a low income?	X			No impact is anticipated
...are carers (unpaid family or friend)?	x			No impact is anticipated.



<b>Section 8. Geographic impact – Please detail where the impact will be (please tick all that apply)</b>	
North Yorkshire wide	
Craven district	
Hambleton district	
Harrogate district	
Richmondshire district	X
Ryedale district	
Scarborough district	
Selby district	
<b>If you have ticked one or more districts, will specific town(s)/village(s) be particularly impacted? If so, please specify below.</b>	
Hipswell parish and northern areas of Catterick Garrison.	

<b>Section 9. Will the proposal affect anyone more because of a combination of protected characteristics? (e.g. older women or young gay men) State what you think the effect may be and why, providing evidence from engagement, consultation and/or service user data or demographic information etc.</b>
No

<b>Section 10. Next steps to address the anticipated impact. Select one of the following options and explain why this has been chosen. (Remember: we have an anticipatory duty to make reasonable adjustments so that disabled people can access services and work for us)</b>	<b>Tick option chosen</b>
<b>1. No adverse impact - no major change needed to the proposal.</b> There is no potential for discrimination or adverse impact identified.	X
<b>2. Adverse impact - adjust the proposal -</b> The EIA identifies potential problems or missed opportunities. We will change our proposal to reduce or remove these adverse impacts, or we will achieve our aim in another way which will not make things worse for people.	
<b>3. Adverse impact - continue the proposal -</b> The EIA identifies potential problems or missed opportunities. We cannot change our proposal to reduce or remove these adverse impacts, nor can we achieve our aim in another way which will not make things worse for people. (There must be compelling reasons for continuing with proposals which will have the most adverse impacts. Get advice from Legal Services)	
<b>4. Actual or potential unlawful discrimination - stop and remove the proposal –</b> The EIA identifies actual or potential unlawful discrimination. It must be stopped.	
<b>Explanation of why option has been chosen.</b> (Include any advice given by Legal Services.)	
It is considered that this proposal will not affect any of the groups listed above.	

**Section 11. If the proposal is to be implemented how will you find out how it is really affecting people? (How will you monitor and review the changes?)**

Monitoring will be carried out through the Council's Education and Skills Team and through Ofsted inspections.

**Section 12. Action plan.** List any actions you need to take which have been identified in this EIA, including post implementation review to find out how the outcomes have been achieved in practice and what impacts there have actually been on people with protected characteristics.

Action	Lead	By when	Progress	Monitoring arrangements
Not applicable				

**Section 13. Summary**

Summarise the findings of your EIA, including impacts, recommendation in relation to addressing impacts, including any legal advice, and next steps. This summary should be used as part of the report to the decision maker.

The Council's Officers feel that this decision is in the best interests of children and families served by the schools in the Wavell Community Schools Federation to ensure quality primary education provision is provided in the Hipswell area of Catterick Garrison.

**Section 14. Sign off section**

This full EIA was completed by:

**Name:** Mark Ashton  
**Job title:** Strategic Planning Officer  
**Directorate:** CYPS

**Completion date:** 17/07/23

**Authorised by relevant Assistant Director (signature):** Amanda Newbold

**Date:** 20/07/23

## Appendix 6: Climate change impact assessment

The purpose of this assessment is to help us understand the likely impacts of our decisions on the environment of North Yorkshire and on our aspiration to achieve net carbon neutrality by 2030, or as close to that date as possible. The intention is to mitigate negative effects and identify projects which will have positive effects.

This document should be completed in consultation with the supporting guidance. The final document will be published as part of the decision making process and should be written in Plain English.

If you have any additional queries which are not covered by the guidance please email [climatechange@northyorks.gov.uk](mailto:climatechange@northyorks.gov.uk)

### Version 2: amended 11 August 2021

**Please note: You may not need to undertake this assessment if your proposal will be subject to any of the following:**

Planning Permission  
Environmental Impact Assessment  
Strategic Environmental Assessment

However, you will still need to summarise your findings in the summary section of the form below.

Please contact [climatechange@northyorks.gov.uk](mailto:climatechange@northyorks.gov.uk) for advice.

<b>Title of proposal</b>	<b>School Organisation</b>
<b>Brief description of proposal</b>	<b>Proposal to Amalgamate Catterick Garrison, Wavell Community Infant School and Wavell Community Junior School across the two school sites resulting in the technical closure of Wavell Community Junior School.</b>
<b>Directorate</b>	<b>Children and Young Peoples' Service</b>
<b>Service area</b>	<b>Strategic Planning</b>
<b>Lead officer</b>	<b>Andrew Dixon, Strategic Planning Manager</b>
<b>Names and roles of other people involved in carrying out the impact assessment</b>	<b>Mark Ashton, Strategic Planning Officer</b>
<b>Date impact assessment started</b>	<b>24/5/2023</b>

**Options appraisal Were any other options considered in trying to achieve the aim of this project? If so, please give brief details and explain why alternative options were not progressed.**

Catterick Garrison, Wavell Community Infant School and Wavell Community Junior School are separate institutions, albeit serving the same area. The Wavell Community Schools Federation brings together the governance of two schools under one governing body. If the amalgamation went ahead, a single 3-11 primary school would be created across the two school sites. An alternative option to the amalgamation proposal would be for the Wavell Community Schools Federation to be disestablished from 7 April 2024 and for two newly constituted governing boards to be set up for the separated Junior and Infant schools from 8 April 2024.

This alternative option was not progressed because it would involve a transition between schools at age 7 and it is recognised that there can be a hiatus in progress following a transition between schools. Additionally, in this alternative option, pupils will transfer from the infant to the junior school between Key Stages 1 and 2 and it is recognised the drop in children's progress following transition between Key Stages is more marked when the transition coincides with a transfer between two schools.

The alternative option was not progressed because of its negative impact on the Junior School. Under the current Ofsted framework, where performance is a critical factor, the drop in performance following transition makes it harder for the Junior School to represent itself positively in an Ofsted inspection and, partly because of these concerns, a number of other infant and junior schools have amalgamated in recent years.

It is considered that an amalgamated school would deliver a smoother transition between Key Stages and help to ensure that the good progress made by children at Key Stage 1 is maintained and built upon at Key Stage 2.

The alternative option was not progress because the good work of the Federation in bringing the two schools together would be lost.

The Wavell Community Schools Federation governing board met on 20 April 2023 and, after careful consideration, asked the Local Authority to consult on a proposal to amalgamate the two schools. The governing board has made the request because they are satisfied that the amalgamation will benefit pupils by building on the work of the Federation.

**What impact will this proposal have on council budgets? Will it be cost neutral, have increased cost or reduce costs?**

The implications for revenue and capital funding were set out in the report to Executive Members on 30 May 2023.

Capital Position: No capital works are needed for this proposal. The buildings are already connected for pupils by a corridor and there is a single reception point for parents.

Revenue Position: School budgets are funded largely by pupil numbers so amalgamating the schools would not lead to an increase in revenue funding. The combined revenue funding would be smaller because the lump-sum would cease for the Junior School on amalgamation, although transitional funding protection arrangements allow for both lump-sums to be retained from the point of amalgamation for the remainder of the financial year that amalgamation takes place.

Based on the amalgamation taking effect on 8 April 2024, the table below shows the retention of lump sums for that financial year and the amalgamated school retaining 85% of their lump sums for the financial year 2025/26.

Financial Year	Infant School Lump-sum (£k)	Junior School Lump-sum (£k)	Amalgamated School Lump-sum (£k)^	Total Lump-sum (£k)
2023-24	128	128		
2024-25	128	128	-	256
2025-26			217.6	217.6
2026-27			128	128

Figures may change as a consequence of any changes in DfE's National Funding Formula.

Any annual savings to the Dedicated Schools Grant arising from the closure, if approved, would remain within the ring-fenced Dedicated Schools Grant as part of the funding for all schools. Any surplus revenue or capital balances in the event of a school closure would be allocated in line with the Closing School Accounting Policy.

Where in the funding period, a school has been established or is subject to a prescribed alteration as a result of the closure of a school, a local authority may add an amount to the budget share of the new or enlarged school to reflect all or part of the unspent budget share, including any surplus carried over from previous funding periods, of the closing school for the funding period in which it closes.

Transport costs

Due to the proximity of the two schools, there are unlikely to be significant variance in the transport costs compared to current expenditure. Eligibility for Home to School Transport would be assessed against the current legislation.

<p>How will this proposal impact on the environment?</p> <p>N.B. There may be short term negative impact and longer term positive impact. Please include all potential impacts over the lifetime of a project and provide an explanation.</p>	<p><b>Positive impact</b> (Place a X in the box below where relevant)</p>	<p><b>No impact</b> (Place a X in the box below where relevant)</p>	<p><b>Negative impact</b> (Place a X in the box below where relevant)</p>	<p>Explain why will it have this effect and over what timescale?</p> <p>Where possible/relevant please include:</p> <ul style="list-style-type: none"> <li>• <b>Changes over and above business as usual</b></li> <li>• <b>Evidence or measurement of effect</b></li> <li>• <b>Figures for CO<sub>2</sub>e</b></li> <li>• <b>Links to relevant documents</b></li> </ul>	<p>Explain how you plan to mitigate any negative impacts.</p>	<p>Explain how you plan to improve any positive outcomes as far as possible.</p>
<p>Minimise <b>greenhouse gas emissions</b> e.g. reducing emissions from travel, increasing energy efficiencies etc.</p>	<p>Emissions from travel</p>		<p>X</p>	<p>The amalgamation will take place across the existing school buildings, and these two school buildings share the same site. Therefore travel for pupils will follow similar/ same patterns to the existing pattern.</p> <p>There has been a reduction in pupils in recent years and there is forecast to be a further reduction in pupils, so this might effect minor savings on greenhouse gas emissions from travel since there will be slightly less pupils travelling to Wavell School, though they will be travelling in the same way.</p>	<p>Where possible Integrated Passenger transport will choose vehicles and routes to minimise traffic. The amalgamated school will be encouraged and supported to develop a sustainable travel plan.</p>	<p>Schools will continue to encourage sustainable methods of transport where they are safe and possible. For example, by encouraging lift sharing, walking to school as part of developing their travel plans.</p>

<p><b>How will this proposal impact on the environment?</b></p> <p>N.B. There may be short term negative impact and longer term positive impact. Please include all potential impacts over the lifetime of a project and provide an explanation.</p>	<p><b>Positive impact</b> (Place a X in the box below where relevant)</p>	<p><b>No impact</b> (Place a X in the box below where relevant)</p>	<p><b>Negative impact</b> (Place a X in the box below where relevant)</p>	<p><b>Explain why will it have this effect and over what timescale?</b></p> <p><b>Where possible/relevant please include:</b></p> <ul style="list-style-type: none"> <li>Changes over and above business as usual</li> <li>Evidence or measurement of effect</li> <li>Figures for CO<sub>2</sub>e</li> <li>Links to relevant documents</li> </ul>	<p><b>Explain how you plan to mitigate any negative impacts.</b></p>	<p><b>Explain how you plan to improve any positive outcomes as far as possible.</b></p>
				<p>The issue of school transport is difficult to analyse as parental preference and parental behaviour plays a large role in the level of emissions from travel. For example, even schools with a very small catchment area would have a high carbon footprint if the majority of parents chose to drive their children to school either at their catchment school or by preference to another school further afield. Many schools operate travel plans which encourage low carbon transport options such as walking and cycling.</p> <p>Many pupils living within the Hipswell parish, which is the northern part Catterick Garrison the amalgamated school's catchment area serves, would be living in houses within the statutory 2 mile walking distance for children under 8 years of age or the statutory 3 miles walking distance</p>		

<p>How will this proposal impact on the environment?</p> <p>N.B. There may be short term negative impact and longer term positive impact. Please include all potential impacts over the lifetime of a project and provide an explanation.</p>	<p><b>Positive impact</b> (Place a X in the box below where relevant)</p>	<p><b>No impact</b> (Place a X in the box below where relevant)</p>	<p><b>Negative impact</b> (Place a X in the box below where relevant)</p>	<p>Explain why will it have this effect and over what timescale?</p> <p>Where possible/relevant please include:</p> <ul style="list-style-type: none"> <li>• Changes over and above business as usual</li> <li>• Evidence or measurement of effect</li> <li>• Figures for CO<sub>2</sub>e</li> <li>• Links to relevant documents</li> </ul>	<p>Explain how you plan to mitigate any negative impacts.</p>	<p>Explain how you plan to improve any positive outcomes as far as possible.</p>
				<p>for children aged 8 years and over from the newly amalgamated primary school on the Wavell Junior and Infant sites. This is the same as the status quo where those pupils living within Hipswell would be within the statutory walking distance for either Wavell Community Infant School or Wavell Community Junior School.</p> <p>For pupils living beyond 3 miles across the rest of the catchment area home to school transport would be provided where appropriate in line with legislation. In practice there will be a small number of pupils living to the north of the Garrison.</p>		
Emissions from construction		X		<p>N/A</p> <p>No capital works are needed for this proposal. The buildings are already connected for pupils</p>	N/A	N/A



<p>How will this proposal impact on the environment?</p> <p>N.B. There may be short term negative impact and longer term positive impact. Please include all potential impacts over the lifetime of a project and provide an explanation.</p>	<p><b>Positive impact</b> (Place a X in the box below where relevant)</p>	<p><b>No impact</b> (Place a X in the box below where relevant)</p>	<p><b>Negative impact</b> (Place a X in the box below where relevant)</p>	<p>Explain why will it have this effect and over what timescale?</p> <p>Where possible/relevant please include:</p> <ul style="list-style-type: none"> <li>• Changes over and above business as usual</li> <li>• Evidence or measurement of effect</li> <li>• Figures for CO<sub>2</sub>e</li> <li>• Links to relevant documents</li> </ul>	<p>Explain how you plan to mitigate any negative impacts.</p>	<p>Explain how you plan to improve any positive outcomes as far as possible.</p>
				<p>by a corridor and there is a single reception point for parents.</p>		
<p>Emissions from running of buildings</p>		<p><b>X</b></p>		<p>The amalgamation will take place across the existing school buildings, and these two school buildings share the same site. The school buildings are already connected and will operate as they do now. Even though there has been a reduction in pupils in recent years and there is forecast to be a further reduction in pupils, this will not effect savings on greenhouse gas emissions savings on the school buildings, since they will operate in a similar/ same way.</p>		
<p>Emissions from data storage</p>		<p><b>X</b></p>		<p>The amalgamation will take place across the existing school buildings, which already share data storage, and the data storage for these two connected school buildings will be similar in the single primary school going forward.</p>		

<p>How will this proposal impact on the environment?</p> <p>N.B. There may be short term negative impact and longer term positive impact. Please include all potential impacts over the lifetime of a project and provide an explanation.</p>	<p><b>Positive impact</b> (Place a X in the box below where relevant)</p>	<p><b>No impact</b> (Place a X in the box below where relevant)</p>	<p><b>Negative impact</b> (Place a X in the box below where relevant)</p>	<p>Explain why will it have this effect and over what timescale?</p> <p>Where possible/relevant please include:</p> <ul style="list-style-type: none"> <li>• Changes over and above business as usual</li> <li>• Evidence or measurement of effect</li> <li>• Figures for CO<sub>2</sub>e</li> <li>• Links to relevant documents</li> </ul>	<p>Explain how you plan to mitigate any negative impacts.</p>	<p>Explain how you plan to improve any positive outcomes as far as possible.</p>
Other						
<p>Minimise <b>waste</b>: Reduce, reuse, recycle and compost e.g. reducing use of single use plastic</p>		X		<p>While recycling is already encouraged with Wavell pupils and the reduction of waste is promoted and taught to Wavell pupils in school, the Junior School's consumption of resources will not cease. There will not be a general reduction in the use of resources due to the Wavell Junior School pupils being accommodated in the amalgamated school.</p>		
<p>Reduce <b>water</b> consumption</p>		X		<p>The amalgamation will take place across the two existing school buildings. Therefore the water consumption required to operate the amalgamated school as an establishment, and also to hydrate its pupils, would be similar and therefore would not be removed from the NYC carbon footprint.</p>		

<p>How will this proposal impact on the environment?</p> <p>N.B. There may be short term negative impact and longer term positive impact. Please include all potential impacts over the lifetime of a project and provide an explanation.</p>	<p><b>Positive impact</b> (Place a X in the box below where relevant)</p>	<p><b>No impact</b> (Place a X in the box below where relevant)</p>	<p><b>Negative impact</b> (Place a X in the box below where relevant)</p>	<p>Explain why will it have this effect and over what timescale?</p> <p>Where possible/relevant please include:</p> <ul style="list-style-type: none"> <li>Changes over and above business as usual</li> <li>Evidence or measurement of effect</li> <li>Figures for CO<sub>2</sub>e</li> <li>Links to relevant documents</li> </ul>	<p>Explain how you plan to mitigate any negative impacts.</p>	<p>Explain how you plan to improve any positive outcomes as far as possible.</p>
Minimise <b>pollution</b> (including air, land, water, light and noise)		X		N/A		
Ensure <b>resilience</b> to the effects of climate change e.g. reducing flood risk, mitigating effects of drier, hotter summers		X		N/A		
Enhance <b>conservation</b> and wildlife		X		N/A		
Safeguard the distinctive characteristics, features and special qualities of <b>North Yorkshire's landscape</b>		X		N/A		
Other (please state below)						

**Are there any recognised good practice environmental standards in relation to this proposal?** If so, please detail how this proposal meets those standards.

N/A

**Summary** Summarise the findings of your impact assessment, including impacts, the recommendation in relation to addressing impacts, including any legal advice, and next steps. This summary should be used as part of the report to the decision maker:

This proposal has neither positive nor negative environmental impacts. The proposal is a strategic change across two existing school buildings which will be used in a similar way to the way they are operated currently. The impacts in energy usage associated with a whole establishment ceasing to be used as two separate schools would be negligible. Because it is a strategic rather than a building change, the proposal would not achieve significant reductions in heating, lighting and water usage. Equally, there is likely to be a neutral impact associated with use of vehicles to transport the pupils to and from school. Ultimately there is an overriding consideration in this proposal to provide the best outcome for the education of children in the local area and no other educationally sustainable option has been identified.

The school buildings are owned by North Yorkshire Council and the school site they sit on is owned by the Ministry of Defence and any decision about future adaptations and modifications to the school buildings would need to take regard of the NYC Climate Change policy.

### Sign off section

This climate change impact assessment was completed by: Mark Ashton

<b>Name</b>	<b>Mark Ashton</b>
<b>Job title</b>	<b>Strategic Planning Officer</b>
<b>Service area</b>	<b>Strategic Planning</b>
<b>Directorate</b>	<b>CYPS</b>
<b>Signature</b>	<b>Mark Ashton</b>
<b>Completion date</b>	<b>20/7/2023</b>

**Authorised by relevant Assistant Director (signature):** *Amanda Newbold*

**Date:** 22/07/2023



Department  
for Education

# **Opening and closing maintained schools**

**Statutory guidance for proposers and  
decision makers**

**January 2023**

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# Summary

## About this guidance

This is statutory guidance from the Department for Education. Proposers and decision makers must have regard to it when establishing (opening) a new maintained school and/or discontinuing (closing) an existing maintained school.

The purpose of this guidance is to ensure that good quality school places are provided where they are needed, and that surplus capacity is removed where necessary. It should be read in conjunction with [part 2](#)<sup>1</sup> of and [schedule 2](#)<sup>2</sup> to the Education and Inspections Act 2006 (EIA 2006) as amended by the Education Act (EA) 2011 and [The School Organisation \(Establishment and Discontinuance of Schools\) Regulations 2013](#)<sup>3</sup> (the Establishment and Discontinuance Regulations).

This guidance only relates to schools in England.

## Expiry or review date

This guidance supersedes all previous versions. It will be kept under review and updated versions will be published if necessary.

## What legislation does this guidance refer to?

- [The School Organisation \(Establishment and Discontinuance of Schools\) Regulations 2013 \(legislation.gov.uk\)](#)
- [Education and Inspections Act 2006 \(legislation.gov.uk\)](#)
- See also: [Annex D](#)

## Who is this guidance for?

This guidance is relevant to all categories of maintained school<sup>4</sup>, unless explicitly stated otherwise, and is for those proposing to open and/or close such a school (e.g. local authorities, governing bodies, diocese or other relevant religious authority), decision

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<sup>1</sup> <https://www.legislation.gov.uk/ukpga/2006/40/part/2>

<sup>2</sup> <https://www.legislation.gov.uk/ukpga/2006/40/schedule/2>

<sup>3</sup> <https://www.legislation.gov.uk/uksi/2013/3109/contents/made>

<sup>4</sup> Community, foundation, voluntary aided, voluntary controlled, community special and foundation special

makers (local authorities and the [Schools Adjudicator](#)<sup>5</sup>), and for those affected by a proposal (e.g. dioceses, trustees, parents etc.).

Separate advice is available on making prescribed alterations to [maintained schools](#)<sup>6</sup>, [making significant changes to academies](#)<sup>7</sup>, and [academy closure by mutual agreement](#)<sup>8</sup>.

It is the responsibility of local authorities, proposers and school governing bodies to ensure that they act in accordance with the relevant legislation and have regard to statutory guidance when seeking to open or close a maintained school and they are advised to seek independent legal advice where appropriate. Similarly, local authorities and Schools Adjudicators when making decisions on such proposals, must act in accordance with the law and must have regard to statutory guidance.

## Main points

- Where a local authority identifies the need for a new school, section 6A of EIA 2006 places the local authority under a duty to seek proposals to establish an academy (free school) via the '[free school presumption](#)'<sup>9</sup> process. The local authority is responsible for providing the site for the new school and meeting all associated capital and pre-/post-opening revenue costs. The final decision on all new free school presumption proposals lies with the Department for Education's [Regional Directors](#)<sup>10</sup> (RDs) on behalf of the Secretary of State.
- Proposers wishing to establish a new school may also wish to consider [opening a free school](#)<sup>11</sup> via the Department for Education's centrally delivered route<sup>12</sup>.
- It is possible for local authorities or other proposers, in certain circumstances, to publish a proposal for a new maintained school outside of the competitions processes. This is done under section 11 of EIA 2006. It is also possible to apply to the Secretary of State for consent to publish proposals to establish a new maintained school under section 10 of EIA 2006. The differences between these 2 processes are explained in table 1 and in the section on [proposing a new school](#).
- In this guidance we use 'other proposers' to indicate proposers that do not include the local authority (e.g. a governing body, diocese or other relevant religious

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<sup>5</sup> <https://www.gov.uk/government/organisations/office-of-the-schools-adjudicator>

<sup>6</sup> <https://www.gov.uk/government/publications/school-organisation-maintained-schools>

<sup>7</sup> <https://www.gov.uk/government/publications/making-significant-changes-to-an-existing-academy>

<sup>8</sup> <https://www.gov.uk/government/publications/making-significant-changes-to-an-existing-academy>

<sup>9</sup> <https://www.gov.uk/government/publications/establishing-a-new-school-free-school-presumption>

<sup>10</sup> <https://www.gov.uk/government/organisations/regional-department-for-education-dfe-directors>

<sup>11</sup> <https://www.gov.uk/government/collections/opening-a-free-school>

<sup>12</sup> Information on the latest central free school application waves can be found on GOV.UK at:

<https://www.gov.uk/government/publications/free-school-application-guide>

authority). References in this guidance to ‘proposers’ includes both local authorities and other proposers<sup>13</sup>.

- All decisions on proposals to open or close a maintained school must be made with regard to the factors outlined in this guidance and follow the relevant statutory process.
- Both the consultation period and the representation period should be largely carried out in term time to allow the maximum numbers of people to see and respond to what is proposed.
- The decision maker will need to be satisfied that the consultation and representation period were appropriate, fair and open, and that the proposer has given full consideration to all the responses to the consultation.
- Proposers should be aware of the [guidance for decision makers](#) set out in this guidance and ensure that their proposals address the considerations that the decision maker must take into account. The decision maker must consider the expressed views of all those affected by a proposal or who have an interest in it, including cross-local authority border interests. The decision maker should not simply take account of the number of people expressing a particular view. Instead, they should give the greatest weight to responses from those stakeholders likely to be most directly affected by a proposal – especially parents<sup>14</sup> of children at the affected school(s).
- In determining proposals decision makers must ensure that the guidance on [schools causing concern](#)<sup>15</sup> (intervening in failing, underperforming and coasting schools) has been considered where necessary.
- The School Organisation Team can make the necessary updates to the [Get Information About Schools](#)<sup>16</sup> (GIAS) system.

This guidance provides information on the following:

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<sup>13</sup> This is slightly different to how “proposers” is defined in EIA 2006, where the local authority is name-checked separately and “proposers” refers to anyone else other than the local authority

<sup>14</sup> A ‘parent’ should be considered to be anyone who has parental responsibility, including parents, carers and legal guardians

<sup>15</sup> <https://www.gov.uk/government/publications/schools-causing-concern--2>

<sup>16</sup> <https://www.get-information-schools.service.gov.uk>

Legislation	Proposer	Proposal <sup>17</sup>
Section 7	Academy trusts or other proposers (local authorities must initiate the competition but cannot make proposals under section 7)	Where the free school presumption process does not yield a suitable proposal, the Secretary of State can provide consent for the local authority to run a statutory competition, inviting proposals for a voluntary, foundation, foundation special school or an academy (free) school. Academy proposals will be considered first.
Section 10	Other proposers	To open a brand new <sup>18</sup> foundation, foundation special or voluntary controlled school.
Section 10	Local authorities	To open a community, community special, foundation or foundation special school to replace one or more existing maintained schools <sup>19</sup> .

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<sup>17</sup> Schools established under section 7, 10(1) or (2), or 11(A2) must not provide education suitable only to the requirements of persons above compulsory school age

<sup>18</sup> Where the proposal is to replace an existing foundation or voluntary controlled school with a religious character, independent school or non-maintained special school, proposals should be published under section 11

<sup>19</sup> But where a) the local authority proposes for a primary school to replace a maintained infant school and a maintained junior school; or b) section 11(A1) is satisfied, section 10 does not apply and the proposals must be published under the relevant subsection of section 11 instead

Legislation	Proposer	Proposal <sup>17</sup>
Section 11	Other proposers	To open: (1A) a new voluntary aided school (2) a new foundation, voluntary controlled or foundation special school which: replaces one or more foundation or voluntary schools with a religious character <sup>20</sup> ; replaces an independent school that is not an academy <sup>21</sup> , a city technology college or a city college for the technology of the arts; in the case of a new foundation special school, replaces a non-maintained special school <sup>22</sup> .
Section 11	Local authorities	To open: (A2) a new community, community special, foundation or foundation special school, where a section 7 competition has been held but did not identify a suitable provider <sup>23</sup> (A3) a new community, community special, foundation or foundation special primary school to replace a maintained infant and a maintained junior school (1) a new maintained nursery school.
Section 15	Local authorities	To close a community, foundation, voluntary, community special, foundation special, or maintained nursery school.
Section 15	Governing body	To close a voluntary, foundation, or foundation special school.

<sup>20</sup> The new school may have the same, different or no religious character

<sup>21</sup> The independent school should continue in existence but should then close as an independent school immediately before the proposals are implemented. It should also have been registered under Chapter 1 of Part 4 of the Education and Skills Act 2008 (regulation of independent educational institutions in England) for a continuous period of at least 2 years ending with the date of the publication of the proposals under section 11

<sup>22</sup> The non-maintained special school should continue in existence but should then close as a non-maintained special school immediately before the proposals are implemented. It should also have been approved under section 342 of EA 1996 (approval of non-maintained special schools) for a continuous period of at least 2 years ending with the date of the publication of the proposals

<sup>23</sup> Because no proposal was made or none of the proposals is approved under schedule 2 to EIA or result in academy arrangements being entered into

<b>Legislation</b>	<b>Proposer</b>	<b>Proposal<sup>17</sup></b>
Section 17	Secretary of State	To close a community special or foundation special in the interests of the health, safety or welfare of the pupils.
Section 30(1) School Standards and Framework Act 1998 (SSFA) 1998	Governing body	May give at least 2 years' notice of its intention to close a foundation or voluntary school to the Secretary of State and the local authority.
Section 30(10) SSFA 1998	Site trustees	May give at least 2 years' notice in accordance with section 30(11) to terminate a foundation or voluntary school's occupation of its land <sup>24</sup> .

**Table 1: summary of opening and closing proposals**

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<sup>24</sup> This might not necessarily lead to the closure of the school. The school might instead just move sites. Section 30(10) also specifies that the notice requirements at section 30(11) only need to be followed if the land is held for the purposes of the school and the termination of the school's occupation of that land would have the result that it was not reasonably practicable for the school to continue to be conducted at its existing site

## Proposing a new school

This section sets out how to propose the establishment of a new school:

Type of proposal	Proposer	Decision maker	Right of referral to the Adjudicator <sup>25</sup>
Free School Presumption <sup>26</sup>	Academy trusts/sponsors	RD (on behalf of the Secretary of State <sup>27</sup> )	No
Section 7 (Stage 1): Any academy (free school) proposals will be considered first. If a proposal is received and considered suitable, the competition ends and the proposer/local authority/department take forward the academy (free school) proposal	Other proposers	RD (on behalf of the Secretary of State <sup>28</sup> )	No

<sup>25</sup> Where the local authority is the decision maker. Where the Schools Adjudicator is the decision maker, there is no right of appeal

<sup>26</sup> Section 6A of EIA 2006

<sup>27</sup> Paragraph 7A of schedule 2 to EIA 2006

<sup>28</sup> Paragraph 7A of schedule 2 to EIA 2006

Type of proposal	Proposer	Decision maker	Right of referral to the Adjudicator <sup>25</sup>
Section 7 (Stage 2): Where no suitable academy (free school) bid is received, proposals submitted for a new foundation, foundation special or voluntary school will be considered	Other proposers	Local authority <sup>29</sup> (but Schools Adjudicator where the local authority is involved in the foundation of a proposed foundation school with a foundation (established otherwise than under the SSFA 1998) in one of the ways set out at paragraph 10(2) of schedule 2 to EIA 2006)	No <sup>30</sup>
Section 10	Local authorities	Schools Adjudicator <sup>31</sup>	No

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<sup>29</sup> Paragraphs 5A(2) and 8 of schedule 2 to EIA 2006. Where the local authority does not make a decision within the prescribed 2 month period, they must refer the proposal to the Schools Adjudicator

<sup>30</sup> The Secretary of State can direct the local authority to refer the non-academy proposals to the Adjudicator: paragraph 12 of schedule 2 to EIA 2006

<sup>31</sup> Paragraph 10(1)b of schedule 2 to EIA 2006



Type of proposal	Proposer	Decision maker	Right of referral to the Adjudicator <sup>25</sup>
Section 10	Other proposers	Local authority (Schools Adjudicator where the local authority is involved in the foundation of a foundation school with a foundation (established otherwise than under the SSFA 1998) in one of the ways set out at paragraph 10(2) of schedule 2 to EIA 2006)	Proposers. The Diocesan Board of Education of any Church of England (CofE) diocese any part of which is comprised in the area of the local authority. The bishop of any Roman Catholic diocese any part of which is comprised in the area of the local authority
Section 11	Local authority	Schools Adjudicator <sup>32</sup>	No

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<sup>32</sup> Paragraph 10(1)b of schedule 2 to EIA 2006

Type of proposal	Proposer	Decision maker	Right of referral to the Adjudicator <sup>25</sup>
Section 11	Other proposers	Local authority <sup>33</sup> (Schools Adjudicator where the local authority is involved in the foundation of a foundation school with a foundation (established otherwise than under the SSFA 1998) in one of the ways set out at paragraph 10(2) of schedule 2 to EIA 2006))	Proposers. The Diocesan Board of Education of any CofE diocese any part of which is comprised in the area of the local authority.  The bishop of any Roman Catholic diocese any part of which is comprised in the area of the local authority

Table 2: proposals to open a new school

## The free school presumption

Where a local authority identifies the need for a new school, section 6A of EIA 2006 places the local authority under a duty to seek proposals to establish an academy (free school) via the [‘free school presumption’](#)<sup>34</sup>.

In considering the need for a new school, the local authority should take account of any existing proposals they are aware of that will meet that need.

For more information on the free school presumption process see separate guidance [here](#)<sup>35</sup>.

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<sup>33</sup> Where the local authority does not make a decision within the prescribed 2 month period, they must refer the proposal to the Schools Adjudicator

<sup>34</sup> <https://www.gov.uk/government/publications/establishing-a-new-school-free-school-presumption>

<sup>35</sup> <https://www.gov.uk/government/publications/establishing-a-new-school-free-school-presumption>

## Section 7

### School competitions

If the free school presumption competition does not yield a suitable proposal, the Secretary of State may choose a different sponsor. In exceptional circumstances the Secretary of State may provide consent for a statutory competition to be held under section 7 of EIA 2006.

Where a local authority holds a section 7 competition, the local authority must follow the statutory process set out in schedule 2 to EIA 2006 and the Establishment and Discontinuance Regulations.

The local authority should publish a specification for the new school. The specification is only the minimum requirement and proposals may go beyond this.

Proposers (academy trusts or other proposers; local authorities cannot make proposals under section 7) may submit proposals for an academy (free) school, foundation, foundation special or voluntary school into the competition. Where one or more academy (free) school proposals are received, the RD (on behalf of the Secretary of State) will consider these first. Where no, or no suitable, academy proposals are submitted, the local authority will consider non-academy proposals (as per stage 4 onwards of the [statutory process](#)). The local authority is expected to provide premises and meet the capital costs of implementing the winning proposal.

### Process

Ahead of publishing a section 7 notice (thus commencing the competition), the local authority must consult any persons/organisations they think appropriate. The local authority must then publish a notice inviting proposals. The notice must include:

- an explanation of the competition procedure (including that academy proposals will be considered first);
- a possible site for the school;
- whether or not the proposed school is to be a special school; and
- the date by which proposals must be submitted (the competition must run for a minimum of 4 weeks).

The local authority must publish the notice on their website and place a notification of the competition (including the address of the website where the notice is published) in a national newspaper covering education issues and in a local newspaper. Both the notice

and notification must include details of how copies of the competition notice may be obtained.

Within one week of the date of its publication on the website, the local authority MUST send a copy of the notice to:

- the Secretary of State ([schoolorganisation.notifications@education.gov.uk](mailto:schoolorganisation.notifications@education.gov.uk));
- the Diocesan Board of Education of any CofE diocese any part of which is comprised in the area of the relevant local authority;
- the bishop of any diocese of the Roman Catholic Church any part of which is comprised in the area of the relevant local authority; and
- any other body or person that the local authority thinks is appropriate.

Within one week of receiving a request for a copy of the competition notice, the local authority must send a copy to the person requesting it. Proposers must set out the type, character (including any religious character), ethos and admission arrangements of the proposed school. Proposers should set out the estimated premises requirements and/or capital costs of a proposal submitted in response to a competition and, where these exceed the initial cost estimate made by the local authority, the proposer should set out the reasons for the additional requirements and/or costs.

The local authority must publish all proposals on their website. Where non-academy proposals are to be considered, the local authority must publish a statement inviting any person to object to or comment on the proposals – this representation period MUST last for 4 weeks. Within one week of publishing this statement, the local authority must send a copy of any non-academy proposals, together with the statement inviting comment, to any person or body that the local authority thinks appropriate. Within one week of receiving a request for a copy of the proposals the local authority must send a copy to the person requesting it.

## **Proposing a maintained school outside competitive arrangements**

It is possible to publish proposals for a new maintained school outside of the competitive arrangements at any time. Sections 10 and 11 of EIA 2006 permit proposals to establish new schools under certain conditions either with the Secretary of State's consent (section 10 cases) or without (section 11 cases).

In all cases, proposers must follow the required [statutory process](#).

## Section 10 proposals

It is possible to apply to the Secretary of State for 'consent to publish' proposals to establish a new school under section 10 of EIA 2006.

With Secretary of State consent, local authorities may publish proposals under section 10 for a community, community special, foundation or foundation special school to replace one or more existing maintained schools. The exception to this is where the proposal is for a primary school to replace a maintained infant school and a maintained junior school – in this case proposals must be published under section 11.

With the Secretary of State's consent, other proposers<sup>36</sup> may publish proposals under section 10 for a brand new<sup>37</sup> foundation, foundation special or voluntary controlled school.

Proposers wishing to apply for consent should email [schoolorganisation.notifications@education.gov.uk](mailto:schoolorganisation.notifications@education.gov.uk) and request an application form. Each request for consent will be considered on its merits and the particular circumstances of the case.

Proposers should wait to receive confirmation of consent before following the [statutory process](#) to establish the new school.

The Schools Adjudicator will decide local authority proposals and section 7 cases where proposals include one (or more) in which the local authority is involved in the trust of a proposed foundation school. The local authority will decide proposals from other proposers<sup>38</sup>.

## Section 11 proposals

Under section 11 of EIA 2006 certain proposals for a new maintained school can be made outside of competitive process and without requiring the Secretary of State's consent.

Other proposers<sup>39</sup> e.g. a diocese or other relevant religious authority or charitable trust, may publish proposals for:

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<sup>36</sup> As per paragraph 3 of schedule 2 to EIA 2006, this does not include local authorities

<sup>37</sup> Where the proposal is to replace an existing foundation or voluntary school with a religious character, independent school or non-maintained special school, proposals should be published under section 11

<sup>38</sup> Where the local authority does not make a decision within the prescribed 2 month period, they must refer the proposal to the Schools Adjudicator

<sup>39</sup> As per paragraph 3 of schedule 2 to EIA 2006, this does not include local authorities

- a new voluntary aided school (e.g. in order to meet demand for a specific type of place such as demand from those of a particular faith); or
- a new foundation, voluntary controlled or foundation special school which:
  - replaces one or more foundation or voluntary schools with a religious character<sup>40</sup>;
  - replaces an independent school<sup>41</sup>; or
  - in the case of a foundation special school, replaces a non-maintained special school<sup>42</sup>.

Local authorities may publish proposals for:

- a new community, community special, foundation or foundation special school, where a section 7 competition has been held but did not identify a suitable provider;
- a new community, community special, foundation or foundation special primary school to replace a maintained infant and a maintained junior school; or
- a new maintained nursery school.

The [statutory process](#) must be followed to establish the new school.

## Factors to consider when proposing a new school

Proposals can be made to establish a school in an area other than that which published a competition notice (section 7) or is proposed should maintain the school (section 10 or 11). Relevant parties should read schedule 4 to the Establishment and Discontinuance Regulations.

Proposers should consider the following factors when making proposals to establish a new school.

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<sup>40</sup> The new school may have the same, different or no religious character

<sup>41</sup> The independent school should continue in existence but should then close as an independent school immediately before the proposals are implemented

<sup>42</sup> The non-maintained special school should continue in existence but should then close as a non-maintained special school immediately before the proposals are implemented

## Demand vs need

Excessive surplus capacity should be managed appropriately. Proposers may wish to discuss their plans with their local authority to understand levels of need for their proposed school.

Proposers should also demonstrate parental demand for the new school places and the type of provision being proposed, the quality and diversity of provision available in the local area, and the impact of the new places on existing educational provision in the local area.

## Proposed admission arrangements

Proposers should set out their intentions for the admission arrangements of the proposed school. Where the proposal is for a voluntary or foundation school, these should include whether the school will be designated as having a religious character and apply faith-based admissions criteria.

Proposers should ensure that they consider all expected admission applications when considering demand for the school, including those from outside the local authority area in which the school is situated.

## National Curriculum

All maintained schools must follow the National Curriculum unless they have secured an exemption for groups of pupils or the school community<sup>43</sup>.

## Integration and community cohesion

Schools have a key part to play in providing opportunities for young people from different backgrounds to learn with, from and about each other; by encouraging, through their teaching, an understanding of and respect for other cultures, faiths and communities.

Proposers should have regard to the [Integrated Communities Action Plan](#)<sup>44</sup> as well as any local integration and community cohesion strategies.

When making a proposal, the proposers should take account of the community to be served by the school and set out:

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<sup>43</sup> Under sections 90, 91, 92 and 93 of the Education Act 2002

<sup>44</sup> <https://www.gov.uk/government/publications/integrated-communities-action-plan>

- how the school will be welcoming to pupils of all faiths and none;
- how the school will address the needs of all pupils and parents;
- how the school will provide a broad and balanced curriculum and prepare children for life in modern Britain including through the teaching of spiritual, moral, social and cultural (SMSC) education;
- how the school will promote fundamental British values of democracy, the rule of law, individual liberty and mutual respect and tolerance of those with different faiths and beliefs or none; and
- how the school will encourage pupils from different communities, faiths and backgrounds to work together, learn about each other's customs, beliefs and ideas and respect each other's views.

## **Travel**

Proposers should consider how children will travel to the school and be satisfied that the proposals will not adversely impact any particular group, including those with protected characteristics or who are disadvantaged (for example, those who are eligible for free school meals or pupil premium). They should consider journey times and travel costs for families.

Local authorities have a duty to promote the use of sustainable travel and transport to school. Proposers should be satisfied that the children they expect to apply for the school will be able to travel there sustainably, where possible, e.g. by walking, cycling or using public transport.

## **Funding**

Proposers must include a statement setting out that any land, premises or necessary funding required to implement the proposal will be available and that all relevant local parties (e.g. trustees or religious authority) have given their agreement to the funding arrangements.

Proposers relying on the department as a source of capital funding should not assume that approval of the proposal will trigger the release of capital funds from the department, unless the department has previously confirmed in writing that such resources will be available.

## **School premises and playing fields**

Under the School Premises (England) Regulations 2012, all maintained schools are required to provide suitable outdoor space in order to enable physical education to be



provided to pupils in accordance with the school curriculum; and for pupils to play outside safely.

Under the School Organisation (Prescribed Alterations to Maintained Schools) (England) Regulations 2013, where proposals for a new voluntary aided school provide for the provision of playing fields, the duty to implement that part of the proposal (i.e. to provide the playing field) rests with the local authority.

For foundation, foundation special, and voluntary controlled schools, the duty to implement any proposals falls to either the governing body, or local authority, as the proposal provides for them to do so (i.e. the proposal for the new school will specify who will be providing the playing fields, which they then have a duty to actually provide).

[Non-statutory guidelines](#)<sup>45</sup> setting out suggested areas for pitches and games courts are in place.

Where the proposals for a new foundation or voluntary school are approved, the local authority must transfer any interest it has in the premises to either the trustees of the school or, where the school has no trustees, the school's governing body to be held by that body for the relevant purposes. The local authority must pay to relevant persons any reasonable costs incurred in connection with the transfer.

If any doubt or dispute arises as to the persons to whom that transfer is to be made, it must be made to such persons as the Schools Adjudicator thinks proper.

## Early years

Quality early education leads to better outcomes later in life. The department expects proposals for new primary schools to include a nursery, except in exceptional circumstances.

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<sup>45</sup> <https://www.gov.uk/government/publications/area-guidelines-and-net-capacity>

## Proposing to close (discontinue) a maintained school

This section sets out information for local authorities and governing bodies wishing to propose the closure of a maintained school.

Under section 15 of EIA 2006, a local authority can propose the closure of a community, foundation, voluntary, community special, foundation special or maintained nursery school; and the governing body of a voluntary, foundation or foundation special school may publish proposals to close its own school. The statutory process is set out in the Establishment and Discontinuance Regulations<sup>46</sup> and in [the statutory process section](#) of this guidance. Alternatively, the governing body of a foundation or voluntary school may give at least 2 years' notice of its intention to close the school to the Secretary of State and the local authority<sup>47</sup>.

The table below sets out a summary of proposals for closing a maintained school<sup>48</sup>:

Proposer	Type of proposal	Decision maker	Right of referral to the Adjudicator? <sup>49</sup>
Local authority <sup>50</sup>	Close a community, community special or maintained nursery school	Local authority	The Diocesan Board of Education of any CofE diocese any part of which is in the area of the local authority. The bishop of any Roman Catholic diocese any part of which is in the area of the local authority.

<sup>46</sup> Part 4 concerns the proposals, part 5 concerns consideration of the proposals

<sup>47</sup> Section 30 Schools Standards and Framework Act 1998

<sup>48</sup> Proposers should be aware that in ALL cases where the local authority does not make a decision within the prescribed 2 month period, they must refer the proposal to the Schools Adjudicator (see regulations 14 and 15 of the Establishment and Discontinuance Regulations)

<sup>49</sup> Where the Schools Adjudicator is the decision maker, for example because the 2 month period has expired, there is no right of appeal

<sup>50</sup> Section 15(1) EIA 2006: proposal by local authority to discontinue—(a) a community, foundation or voluntary school, (b) a community special or foundation special school, or (c) a maintained nursery school

Proposer	Type of proposal	Decision maker	Right of referral to the Adjudicator? <sup>49</sup>
Local authority	Close a foundation, foundation special or voluntary (VC or VA) school	Local authority	The Diocesan Board of Education of any CofE diocese any part of which is in the area of the local authority. The bishop of any Roman Catholic diocese any part of which is in the area of the local authority. The governing body or trustees of the school.
Governing Body <sup>51</sup>	Close a voluntary (VC or VA), foundation or foundation special school	Local authority	The Diocesan Board of Education of any CofE diocese any part of which is in the area of the local authority. The bishop of any Roman Catholic diocese any part of which is in the area of the local authority. The governing body or trustees of the school.

**Table 3: proposals to close a school**

## Reasons for closing a school

Reasons for closing a maintained school include, but are not limited to, where:

- there are surplus places elsewhere in the local area which can accommodate displaced pupils and there is no predicted demand for the school in the medium to long term;
- it is to be amalgamated with another school;
- it has been judged inadequate by Ofsted and the Secretary of State has revoked the academy order;
- it is no longer considered viable;
- it is being replaced by a new school (as sections 10 and 11 provide for).

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<sup>51</sup> Proposal by governing body to discontinue (a) a foundation or voluntary school in England, or (b) a foundation special school

## Schools causing concern

In determining proposals, decision makers must ensure that the guidance on [schools causing concern](#)<sup>52</sup> (intervening in failing or underperforming schools) has been considered where necessary.

## The presumption against the closure of rural schools

Proposers should be aware that the department expects all decision makers to adopt a presumption against the closure of rural schools. This does not mean that a rural school will never close, but that the case for closure should be strong and clearly in the best interests of educational provision in the area.

The presumption does not apply where a rural infant and junior school on the same site are being closed to establish a new primary school.

Proposers should set out whether the school is referred to in the [Designation of Rural Primary Schools \(England\) Order](#)<sup>53</sup> or, where the school is a secondary school, whether the school is identified as rural on the [Get Information about Schools](#)<sup>54</sup> database (using the Office for National Statistics' [Rural and Urban Area Classification](#)<sup>55</sup>).

In formulating any closure proposals under this section in relation to a rural primary school<sup>56</sup>, proposers must have regard to:

- the likely effect of the discontinuance of the school on the local community;
- the availability, and likely cost to the local authority, of transport to other schools;
- any increase in the use of motor vehicles which is likely to result from the discontinuance of the school, and the likely effects of any such increase; and
- any alternatives to the discontinuance of the school.

Proposers, for all rural closures, in addition to the above, should also provide evidence to show they have carefully considered:

- alternatives to closure including:
  - conversion to academy status and joining a multi-academy trust;

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<sup>52</sup> <https://www.gov.uk/government/publications/schools-causing-concern--2>

<sup>53</sup> <https://www.gov.uk/government/publications/rural-primary-schools-designation>

<sup>54</sup> <https://get-information-schools.service.gov.uk/>

<sup>55</sup> <https://www.gov.uk/government/collections/rural-urban-classification>

<sup>56</sup> "Rural primary school" means a primary school designated as such for the purposes of this section by an order made by the Secretary of State

- federation with another local school;
- the scope for an extended school to provide local community services and facilities (e.g. childcare facilities, family and adult learning, healthcare, community internet access);
- the availability, and likely cost to parents, of transport to other schools;
- whether the proposal will result in unreasonably long journey times;
- the size of the school and whether it puts the children at an educational disadvantage e.g. in terms of breadth of curriculum or resources available;
- the proportion of pupils attending the school from within the local community i.e. whether the school is being used by the local community;
- the overall and long term impact on local people and the community of the closure of the school and of the loss of the building as a community facility;
- educational standards at the school and the likely effect on standards at neighbouring schools;
- whether the school is now surplus to requirements (e.g. because there are surplus places elsewhere in the local area which can accommodate displaced pupils, and there is no predicted demand for the school in the medium or long term);
- wider school organisation and capacity of good schools in the area to accommodate displaced pupils.

## **The presumption against the closure of nursery schools**

Proposers should be aware that decision makers are expected to adopt a presumption against the closure of maintained nursery schools. This does not mean that a maintained nursery school will never close, but that the case for closure should be strong.

Where a proposal is for the closure of a maintained nursery school, the proposer should set out:

- plans to develop alternative early years provision clearly demonstrating that it will be at least equal in quantity to the provision provided by the nursery school with no loss of expertise and specialism; and
- how replacement provision is more accessible and more convenient for local parents.

When proposing to close a school which includes early years provision, proposers should set out whether the alternative early years provision will integrate preschool education with childcare services and/or with other services for young children and their families.

## Amalgamations

There are 2 ways to amalgamate 2 (or more) existing maintained schools:

- The local authority and/or governing body (depending on school category) can publish proposals to close 2, or more, schools and the local authority (or a proposer other than the local authority depending on category) can publish a proposal to open a new school. Where this is a presumption school, this will be subject to publication of a section 6A notice (see part 2 of this guidance). This will result in a new school number being issued.
- The local authority and/or governing body (depending on school category) can publish a proposal to close one school (or more) and enlarge/change the age range/transfer site of an existing school (following the statutory prescribed alterations process as necessary), to accommodate the displaced pupils. The remaining school would retain its original school number, as it is not a new school, even if its phase has changed. This is sometimes referred to as a 'merger'.

## Schools wishing to acquire, change or lose a religious character

It is not possible to add, change or remove the religious character of an existing maintained school. The school must be closed, following the usual statutory process, and a new school may be established.

Schools designated with a religious character that close will automatically have the designation revoked.

Where a new school with a religious character is proposed (including through amalgamation), the proposer will need to apply separately, to the Secretary of State, for the new school to be [designated with a religious character](#)<sup>57</sup>. This would normally be done once the proposal for the new school has been approved.

Where a new school is proposed to be designated as having a religious character, proposers should set out that the school will have a religious character and whether their admission arrangements would adopt any faith-based admissions criteria. It will need to have consulted on, and determined, its admission arrangements in accordance with the [School Admissions Code](#)<sup>58</sup>.

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<sup>57</sup> <https://www.gov.uk/guidance/religious-character-designation-guide-to-applying#application>

<sup>58</sup> <https://www.gov.uk/government/publications/school-admissions-code--2>

## Reversion of sites

Many Church of England schools occupy sites provided under the School Sites Act 1841. Section 2 of that Act enables a landowner to provide a site for the educational purposes listed (typically a school or a school house), under a statutory charitable trust. It also provides that, if the land ceases to be used for the purpose of the 1841 Act which is stated as the primary purpose in the trust deed (i.e. usually the school), it would revert to the donor or heirs by operation of law<sup>59</sup>. Section 14 of the 1841 Act allows a site to be sold or exchanged and any money arising from such a sale or exchange to be applied for the purposes of the trust deed (without triggering reverter), but it is important to keep in mind that if a school with a site provided under the 1841 Act were to close and not be replaced with a new school held on the same trusts, this would trigger the reversion (close attention must however always be paid to the detailed wording of the trust deed).

## Two years' notice of closure – voluntary and foundation schools

In addition to the statutory process for closure further to proposals made under section 15 of EIA 2006, the governing body of a voluntary or foundation school may, subject to specified provisions<sup>60</sup>, give the Secretary of State and the local authority at least 2 years' notice of their intention to close the school.

The site trustees of a foundation or voluntary school must give their governing body at least 2 years notice if they intend to terminate the school's occupation of its site, subject to specified provisions<sup>61</sup>. The minimum 2 years' notice allows the local authority and/or governing body time to make alternative arrangements for pupils. This might not necessarily lead to the closure of the school. The school might instead just move sites. Section 30(10) of SSFA 1998 also specifies that the notice requirements at section 30(11) only need to be followed if the land is held for the purposes of the school and the termination of the school's occupation of that land would have the result that it was not reasonably practicable for the school to continue to be conducted at its existing site.

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<sup>59</sup> Though section 1 of the Reverter of Sites Act 1987 has now replaced statutory reverter with a non-charitable statutory trust of the land or its proceeds of sale to be held for the donor or heirs

<sup>60</sup> As outlined in section 30 of the School Standards and Framework Act 1998. Please also note the requirements to obtain the Diocesan Board of Education's advice at section 7 of the Diocesan Boards of Education Measure 2021 (the DBE Measure 2021)

<sup>61</sup> As also outlined in section 30 of SSFA 1998. Please again also note the requirements to obtain advice at section 7 of the DBE Measure 2021

## **Closure of a community special or foundation special school in the interests of pupils**

The Secretary of State may direct<sup>62</sup> a local authority to close a community special or foundation special school if they consider it is in the interests of the health, safety or welfare of the pupils. Prior to making the direction, the Secretary of State must consult: the local authority; any other local authority who would be affected by the closure of the school; for a foundation special school with a foundation, the person who appoints the foundation governors; and any other persons the Secretary of State considers appropriate.

The Secretary of State must give notice of the direction in writing to both the governing body and the head teacher of the school. The school must be closed on the date specified by the Secretary of State.

## **‘Closures’ which do not require the statutory closure process**

Temporary school closures – a proposal to close a school is not required where a school will temporarily cease to operate due to a rebuild.

Discontinuing use of a site – where a school operating over multiple sites proposes to cease operations on one (or more) of its sites the proposal will be for a [prescribed alteration](#)<sup>63</sup> and not a school closure.

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<sup>62</sup> Section 17 of EIA 2006

<sup>63</sup> <https://www.gov.uk/government/publications/school-organisation-maintained-schools>



## The statutory process

This section sets out the stages of the statutory process. The statutory process below must be followed for opening<sup>64</sup> and closing<sup>65</sup> a maintained school.

### Related proposals

A proposal should be regarded as 'related' if its implementation (or non-implementation) would prevent or undermine the effective implementation of another proposal. Where proposals are related, this should be made clear in consultation and representation periods, in published notices, and proposals.

### Stage one: consultation

It is a statutory requirement to consult any parties the proposer thinks appropriate before publishing proposals under section 10 or 11 for new schools and for section 15 proposals to close a maintained school.

The proposer may use the consultation to consider a range of options for the future of a school (e.g. amalgamation, academy conversion, federation or closure). However, the proposer must then publish specific proposals (see stage 2 of the statutory process below). It is these specific proposals setting out details of the new school or the school to be closed which can be commented on or objected to during the statutory representation period.

It is for the proposer to determine the nature and length of the pre-publication consultation. It is best practice for consultations to be carried out in term time to allow the maximum number of people to respond. Proposers should have regard to the Cabinet Office guidance on [consultation principles](#)<sup>66</sup> when deciding how to carry out the consultation period.

In the case of the closure of rural primary schools and special schools, the Act sets out some particular groups who must be consulted. This is set out in Annex A to this guidance.

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<sup>64</sup> Under sections 10 and 11 of EIA 2006

<sup>65</sup> Under section 15 of EIA 2006

<sup>66</sup> <https://www.gov.uk/government/publications/consultation-principles-guidance>

## Stage 2: publication

A statutory proposal should be published within 12 months of the initial consultation period being completed. This is so that it can be informed by up-to-date feedback. A proposal MUST contain the information specified in either schedule 1 (for establishing a new school, as set out in Annex B to this guidance)<sup>67</sup> or schedule 2 (for closing a school, as set out in Annex C to this guidance) to the Establishment and Discontinuance Regulations.

The proposer must publish the full proposal on a website along with a statement setting out:

- how copies of the proposal may be obtained;
- that anybody can object to, or comment on, the proposal;
- the date that the representation period ends (4 weeks from publication); and
- the address to which objections or comments should be submitted.

A brief notice containing the website address of the full proposal must be published in a local newspaper.

In all cases, within one week of the date of publication on the website, the proposer MUST send a copy of the proposal and the information set above to:

- the Secretary of State ([schoolorganisation.notifications@education.gov.uk](mailto:schoolorganisation.notifications@education.gov.uk));
- the Diocesan Board of Education of any Church of England diocese in the relevant area;
- the bishop of any Roman Catholic diocese any part of which is comprised in the area of the relevant authority;
- any other body or person that the proposer thinks is appropriate (e.g. any relevant religious authority);
- where the proposal is for a new school under section 10 or 11 of EIA 2006 and the local authority is not the proposer, the local authority which it is proposed would maintain the school;
- where the proposal is to close a special school, the parents of every registered pupil at the school; and

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<sup>67</sup> Proposals published under section 11(1) (proposals to establish a new maintained nursery school) need not contain the information specified in paragraphs 7, 8, 10, 12, 18, 23, 24, and 25 of schedule 1

- where the proposal is for the closure of a maintained school, the governing body or the local authority responsible for maintaining the school (as appropriate).

Within one week of receiving a request for a copy of the proposal, the proposer must send a copy to the person requesting it.

### **Stage 3: representation**

Proposers should consult organisations, groups and individuals they feel to be appropriate during the representation period (the information in Annex A can be used for examples).

The representation period starts on the date of publication of the statutory proposal and **MUST** last for 4 weeks. During this period, any person or organisation can submit comments on the proposal, to the local authority, to be taken into account by the decision maker. It is also good practice for local authorities to forward representations to the proposer (subject to any issues of data protection or confidentiality) to ensure that they are aware of local opinion.

The decision maker will need to be satisfied that the proposer has had regard for the statutory process and must consider **ALL** the views submitted during the representation period, including all support for, objections to, and comments on the proposal.

### **Stage 4: decision**

Related opening and closing proposals must be considered together and, where applicable, referred to the Adjudicator together. Related prescribed alterations proposals should also be considered and, where possible, determined at the same time.

The local authority will be the decision maker on a school closure proposal, unless the closure proposal is 'related' to another proposal that is to be decided by the Schools Adjudicator.

The Schools Adjudicator will decide proposals for new schools made by the local authority and cases where one of the proposals has the local authority involved in the foundation of a proposed foundation school with a foundation in one of the ways set out in paragraph 10(2) of schedule 2 to EIA 2006. The local authority must refer such proposals within 2 weeks of the end of the representation period. The local authority will decide proposals for new schools from other proposers.

The Schools Adjudicator will also be the decision maker in any case where the local authority does not make a decision within a period of 2 months of the end of the

representation period. Where this happens, the local authority must, within a week of the end of that 2 month period, refer the case to the Schools Adjudicator.

Under paragraph 12 of schedule 2 to EIA 2006, the Secretary of State may also direct any section 7 proposals published by the local authority, but not yet determined, to be referred to the Schools Adjudicator.

The body or individual that takes the decision must have regard to the statutory decision makers guidance contained in this document.

When issuing a decision, the decision maker can:

- reject the proposal;
- approve the proposal without modification;
- approve the proposal with such modifications as they think desirable; or
- approve the proposal, with or without modification, subject to certain conditions<sup>68</sup> (such as the granting of planning permission) being met.

A proposal can be withdrawn by the proposer at any point before a decision is taken. When revoking a proposal prior to a decision being made, the proposer must send written notice to the local authority and (where the proposal has been referred to the Adjudicator) also to the Schools Adjudicator.

Where the local authority is the decision maker, within one week of making a decision, they MUST publish their decision and the reasons for such a decision being made on their website. They MUST arrange for notification of the decision and reasons for it to be sent to:

- the Secretary of State (via [schoolorganisation.notifications@education.gov.uk](mailto:schoolorganisation.notifications@education.gov.uk));
- the proposers;
- the Schools Adjudicator;
- the Diocesan Board of Education of any Church of England diocese any part of which is comprised in the area of the relevant authority;
- the bishop of any Roman Catholic diocese any part of which is comprised in the area of the relevant authority; and
- any other body considered appropriate (e.g. site trustees).

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<sup>68</sup> As specified in regulation 16 of the Establishment and Discontinuance Regulations. If these conditions aren't met, the decision maker must consider the proposals afresh

Where the Schools Adjudicator is the decision maker, where possible they should send notification of the decision and reasons for it, within one week of deciding, to the local authority and the Secretary of State (via [schoolorganisation.notifications@education.gov.uk](mailto:schoolorganisation.notifications@education.gov.uk)) to ensure the appropriate records can be updated and to allow for any actions required as a consequence of the decision to be completed (e.g. an admissions preference exercise following approval to close a school).

## **Rights to refer local authority decisions to the Schools Adjudicator**

For rights to refer a decision taken by the local authority to the Schools Adjudicator, see table 2 (for establishment proposals) and table 3 (for closure proposals).

Within one week of receipt of a request for a referral, a local authority must send the proposal, representations received and the minutes and papers for the meeting at which it considered the proposals to the Schools Adjudicator.

There is no right of appeal against determinations made by the Schools Adjudicator. Adjudicator decisions can be challenged only by Judicial Review in the Courts.

## **Stage 5: implementation**

There is no maximum limit on the time between the publication of a proposal and its proposed date of implementation. However, decision makers should be confident the proposers have good justification (for example an authority-wide reorganisation) if they propose a timescale longer than 3 years.

The proposer must implement a proposal in the form approved, including any modifications made by the decision maker (unless modifying or revoking, as below).

The School Organisation Team will make the necessary changes to the school(s) GIAS record(s).

For proposals to establish a new school, the proposer should contact the School Organisation Team (via [schoolorganisation.notifications@education.gov.uk](mailto:schoolorganisation.notifications@education.gov.uk)) at least one month before the proposed opening date to confirm that the new school will be opening on time. It is at this point that a GIAS record will be established, and the school will be assigned a URN.

## **Modification post determination**

If it proves necessary, due to a major change in circumstance, or it being unreasonably difficult to implement a proposal as approved, the proposer can propose modifications

(e.g. to amend the implementation date) to the decision maker before the approved implementation date. However, proposals cannot be modified to the extent that new proposals are substituted for those that have been approved.

The local authority or the Schools Adjudicator (where the decision in relation to the original proposal was decided by the Schools Adjudicator) will be the decision maker for any proposals for modifications post determination. The decision maker must notify the Secretary of State (via [schoolorganisation.notifications@education.gov.uk](mailto:schoolorganisation.notifications@education.gov.uk)) within one week of decision.

## Revocation

If the proposer does not wish to implement an approved proposal because doing so would be unreasonably difficult or circumstances have changed (so that implementation would be inappropriate) the proposer must publish a revocation proposal, to be relieved of the duty to implement. A revocation proposal must contain:

- a description of the original proposal as published;
- the date of the publication of the original proposal;
- details of who published the original proposals; and
- a statement as to why the duty to implement the original proposal should not apply.

The proposer must publish the revocation proposal on a website and a brief notice of the revocation proposal (which must include the address of the website where the proposals are published) in a local newspaper. Both must make clear:

- how copies of the revocation proposals may be obtained;
- that any person may object to or comment on the revocation proposals and the address of the local authority to which any objections or comments should be submitted; and
- the date by which such objections or comments must be submitted (which must be within 4 weeks of publication of the proposals).

Within one week of publication, the proposer must send copies of the proposal to:

- the local authority (where they are not the proposer);
- the Secretary of State (via [schoolorganisation.notifications@education.gov.uk](mailto:schoolorganisation.notifications@education.gov.uk)); and
- any other body or person that the proposer thinks appropriate.

The local authority will be the decision maker for revocation proposals with the exception of cases where the initial decision in relation to the original proposal was decided by the Schools Adjudicator. In such cases the local authority must refer the revocation proposal (together with any comments or objections) within 2 weeks of the end of the representation period to the Schools Adjudicator.

## Guidance for decision makers

This section sets out the considerations that should be made by the local authority or Schools Adjudicator when deciding proposals to establish or discontinue (close) a school. Decision makers must have regard to this guidance.

In all cases, the decision maker should be satisfied that the proposer has carried out the requirements of the statutory process satisfactorily and should have due regard to all responses received during the representation period.

## Factors to consider when determining proposals

### Demand and need

When considering proposals to establish new provision, the decision maker should be satisfied that the proposer has demonstrated demand for the provision being proposed. This should include:

- evidence of any projected increase in pupil population (such as planned housing developments) and any new provision opening in the area (including free schools), in relation to the number of places to be provided;
- the quality and diversity of schools in the relevant area and whether the proposal will meet the needs of parents, raise local standards and narrow attainment gaps;
- the popularity of other schools in the area and evidence of parental demand for a new school; and
- surplus capacity should be a serious consideration – local authorities should consider the impact of the new places on existing good educational provision in the local area, and accumulating high levels of surplus should be avoided.

When determining proposals to discontinue (close) provision, the decision maker should be satisfied that there is sufficient capacity elsewhere in the local area to accommodate displaced pupils, and the likely supply and future demand for places in the medium and long term.

The decision maker should take into account the overall quality of alternative places in the local area, balanced with the need to reduce excessive surplus capacity in the system. The decision maker should have regard for the local context in which the proposals are being made, taking into account the nature of the area, the age of the children involved and, where applicable, alternative options considered for reducing excess surplus capacity.



## Suitability

When considering any proposal for a new maintained school, the decision maker should consider the proposal on its merits and take into account all matters relevant to the proposal. Any proposal put forward by organisations which advocate violence or other illegal activity must be rejected. In order to be approved, a proposal should demonstrate that, as part of a broad and balanced curriculum, the proposed new school would promote the spiritual, moral, cultural, mental and physical development of pupils at the school and of society, as set out in the department's guidance on [Promoting fundamental British values through SMSC](#)<sup>69</sup>.

## Proposed admission arrangements

Before approving a proposal, the decision maker should confirm that the admission arrangements of the school are compliant with the [School Admissions Code](#)<sup>70</sup>. Although the decision maker cannot modify proposed admission arrangements, the decision maker should inform the proposer where arrangements seem unsatisfactory and the admission authority should be given the opportunity to revise them.

## School size

Decision makers should not make blanket assumptions that schools should be of a certain size to be good schools, although the viability and cost-effectiveness of a proposal is an important factor for consideration. Section A2 of [how to apply to set up a mainstream free school](#)<sup>71</sup> sets out the department's expectations on school size.

## Equal opportunity issues

The decision maker must have regard to the Public Sector Equality Duty (PSED), which requires them to have due regard to the need to:

- eliminate discrimination;
- advance equality of opportunity; and
- foster good relations between people with a protected characteristic and those without that characteristic.

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<sup>69</sup> <https://www.gov.uk/government/publications/promoting-fundamental-british-values-through-smsc>

<sup>70</sup> <https://www.gov.uk/government/publications/school-admissions-code--2>

<sup>71</sup> <https://www.gov.uk/government/publications/free-school-application-guide/how-to-apply-to-set-up-a-mainstream-free-school>

The decision maker must consider the impact of the proposals on the relevant protected characteristics and any issues that may arise from the proposals (e.g. where there is a proposal to establish new single sex provision in an area, there is equal access to single sex provision for the other sex). Decision makers should be satisfied that the proposer has shown a commitment to providing access to a range of opportunities which reflect the ethnic and cultural mix of the area in which a school is located, whilst ensuring that such opportunities are open to all.

## Integration and community cohesion

The decision maker should consider the impact of any proposal on local integration and community cohesion objectives and have regard to the [Integrated Communities Action Plan](#)<sup>72</sup>.

When considering publishing or deciding a proposal, the proposers and the decision maker should take account of the community to be served by the school and the views of different sections within the community. They should also consider the relevant points set out on [integration and community cohesion](#) earlier in this guidance.

## Travel

Decision makers should be satisfied that the proposal will not adversely impact any particular group, including those with protected characteristics or who are disadvantaged (for example, those who are eligible for free school meals or pupil premium). Decision makers should also consider how the proposal will support the local authority's duty to promote the use of sustainable travel and transport to school.

When closing a school, decision makers should consider whether the proposal will result in unreasonably long journey times or increased travel costs for local authorities or families, as well as any increase in the use of motor vehicles which is likely to result from the discontinuance of the school, and the likely effects of any such increase.

When opening a school, decision makers should consider how children will travel to the school and be satisfied that the proposers have taken into account that the children they expect to apply for the school will be able to travel there sustainably, where possible, e.g. by walking, cycling or using public transport. Further information is available in the statutory [home-to-school travel and transport guidance](#)<sup>73</sup>.

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<sup>72</sup> <https://www.gov.uk/government/publications/integrated-communities-action-plan>

<sup>73</sup> <https://www.gov.uk/government/publications/home-to-school-travel-and-transport-guidance>

The decision maker will need to consider the local context, for example in areas with excessive surplus places, the decision maker should consider whether the travel implications of the proposal are reasonable compared to those for alternative options for reducing excessive surplus capacity.

## Funding

The decision maker should be satisfied that any land, premises or necessary funding required to implement the proposal will be available and that all relevant local parties (e.g. trustees or religious authority) have given their agreement to the funding arrangements.

Where proposers are relying on the department as the source of capital funding, there can be no assumption that the approval of a proposal will trigger the release of capital funds from the department, unless the department has previously confirmed in writing that such resources will be available.

Where a school is closing and other local schools need to take on displaced pupils, the following will apply in terms of the revenue funding support schools will receive for taking on additional pupils. Schools' core allocations in any given year are based on the number of pupils that they had on roll at the previous autumn census. This means that schools that take on additional pupils could be funded for fewer pupils for a given year. Local authorities can use growth funding to support schools to manage the revenue costs of an increase in pupil numbers before schools receive the corresponding increase in their core funding.

The department provides local authorities growth funding through the National Funding Formula (NFF) within their schools' block. Local authorities' growth funding is allocated based on the actual growth in pupil numbers experienced in the previous year. Local authorities determine criteria for allocating growth funding to schools in their area with the agreement of their schools forum. Further information is available in the [Schools Operational Guidance](#)<sup>74</sup> on local implementation of the funding system.

<sup>74</sup> <https://www.gov.uk/government/publications/pre-16-schools-funding-local-authority-guidance-for-2023-to-2024/schools-operational-guide-2023-to-2024#growth-funding>

## Schools causing concern

In determining proposals, decision makers must ensure that the guidance on [schools causing concern](#)<sup>75</sup> (intervening in failing or underperforming schools) has been considered where necessary.

## Rural schools and the presumption against closure

Decision makers should adopt a presumption against the closure of rural schools. This does not mean that a rural school will never close, but the case for closure should be strong and a proposal must be clearly in the best interests of educational provision in the area. Where a school is not recorded as rural on GIAS, the decision maker can consider evidence provided by interested parties that a particular school should be regarded as rural.

In deciding a proposal to close a rural school, the decision maker should refer to the section on [rural schools](#) earlier in this guidance.

## Nursery schools and the presumption against closure

Decision makers should adopt a presumption against the closure of maintained nursery schools. This does not mean that a maintained nursery school will never close, but the decision maker should refer to the section on [nursery schools](#) earlier in this guidance.

## Balance of denominational provision

In deciding a proposal to close a school that has been designated with a religious character, decision makers should consider the effect that this will have on the balance of denominational provision in the area, as well as taking account of the number of pupils currently on roll, the medium and long term need for places in the area, and whether standards at the school have been persistently low.

In relation to the balance of denominational provision, if an infant and a junior school of a particular religious character in an area are to close and be replaced with a new all-through school, then there should normally be a preference for that new school to be of the same religious character as the predecessor schools.

Where one school has a religious character and the other does not, or has a different religious character, both proposers and decision makers should consider what would best meet the needs of the local community. Decision makers should consider what

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<sup>75</sup> <https://www.gov.uk/government/publications/schools-causing-concern--2>

impact the proposal will have on the balance of denominational provision in the area, the quality of the provision available and parental demand in the area for the different types of provision.

## Community services

Some schools may be a focal point for family and community activity, providing extended services for a range of users, and their closure may have wider social consequences. Where the school is providing access to extended services, provision should be made for the pupils and their families to access similar services through their new schools or other means.

## Determining revocation proposals

The local authority will be the decision maker for revocation proposals, with the exception of cases where the initial determination of the original proposal was made by the Schools Adjudicator. In such cases, the local authority must refer the revocation proposal together with any comments or objections within 2 weeks of the end of the representation period to the Schools Adjudicator. Where the local authority made the initial determination of the original proposals and the proposals were later referred to the Adjudicator, the local authority should determine any revocation proposals made.

The decision maker should be satisfied that the proposer has carried out the statutory revocation process appropriately (as set out in the [revocation](#) section of this guidance) and should have regard for any responses received during the 4 week representation period.

Local authorities must determine a revocation proposal within 2 months of the end of the representation period. Where the local authority has not determined the proposed by the end of the 2 month period, they must refer the decision to the Schools Adjudicator. The decision maker should make such persons aware of the decision as they consider appropriate. This should include:

- the Secretary of State (via [schoolorganisation.notifications@education.gov.uk](mailto:schoolorganisation.notifications@education.gov.uk));
- the governing body/proposers (as appropriate);
- the Schools Adjudicator or local authority (as appropriate);
- the Diocesan Board of Education of any Church of England diocese any part of which is comprised in the area of the relevant authority;
- the bishop of any Roman Catholic diocese any part of which is comprised in the area of the relevant authority;

- for a special school, the parents of every registered pupil at the school;
- the trustees of the school (where relevant, e.g. site trustees); and
- any other body considered appropriate (e.g. other relevant religious authority).

Where the following bodies are unsatisfied with the outcome of a decision taken on a revocation, they may refer to the Schools Adjudicator (who will take a fresh decision on the proposals) within 4 weeks of the publication of the decision:

- the Diocesan Board of Education for any diocese in the Church of England that is comprised in the area of the relevant authority;
- the bishop of any Roman Catholic diocese in the area of the relevant authority;
- the proposers; or
- the governing body or trustees of any foundation or voluntary school which is the subject of the proposals (where relevant).

Within one week of receiving the referral, the local authority must send to the Adjudicator:

- any objections or comments in relation to the proposals;
- minutes of the meeting at which the revocation proposals were considered; and
- any papers considered by the local authority at that meeting.

## **Determining requests to modify approved proposals**

Proposers may request modifications to approved proposals or ask the body which approved the proposals to specify a later date in respect of conditional approval. Where the Schools Adjudicator made the initial decision on the original proposals, the local authority must refer the case to the Adjudicator within 2 weeks of receipt of the request from the proposers.

The decision maker should be satisfied that the proposal does not modify the existing proposals to the extent that new proposals are substituted for those that were originally published.

Where approved proposals are modified, the local authority or the Schools Adjudicator (as the case may be) must notify the Secretary of State (via [schoolorganisation.notifications@education.gov.uk](mailto:schoolorganisation.notifications@education.gov.uk)) within one week of the date of the proposals being modified.

## Annex A: Consultations

In the case of the proposed closure of a rural primary school or a community special or foundation special school, prior to publishing a statutory notice and proposal, proposers must<sup>76</sup> consult:

- the registered parents of registered pupils at the school;
- in the case of a rural primary school:
  - the local authority (where they are not the proposer);
  - where the local authority are a county council, any district council for the area in which the school is situated;
  - any parish council for the area in which the school is situated;
- in the case of a special school, any local authority which maintains an Education, Health and Care plan in respect of a registered pupil at the school;
- any other interested organisation/person that the proposer thinks appropriate.

The Secretary of State considers that these bodies, along with those listed below (as applicable) should be consulted in the case of the proposed opening or closure of all schools:

- the governing body (as appropriate);
- pupils at the school;
- if a proposal involves, or is likely to affect, a school which has a particular religious character, the appropriate diocese or relevant religious authority<sup>77</sup> ;
- the trustees of the school (if any);
- teachers and other staff at the school;
- any local authority likely to be affected by the proposal, in particular neighbouring authorities where there may be significant cross-border movement of pupils;
- the governing bodies, teachers and other staff of any other school that may be affected;
- parents of any pupils at other schools who may be affected by the proposal including, where appropriate, families of pupils at feeder primary schools;

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<sup>76</sup> Under section 16(1) of EIA 2006.

<sup>77</sup> As highlighted by earlier footnotes, under the DBE Measure 2021 church school (as defined by the Measure) governing bodies must seek their Diocesan Board of Education's advice, before making closure proposals under s15(2) and site trustees have a duty to do this too.

- any trade unions who represent staff at the school, and representatives of any trade union of staff at other schools who may be affected by the proposal; and
- MPs whose constituencies include the school that is the subject of the proposal or whose constituents are likely to be affected by the proposal.



## **Annex B: Statutory proposals for establishing a new school**

As set out in schedule 1 to the Establishment and Discontinuance Regulations, the information below must be included in section 10 and 11 proposals to establish a new school:

### **Contact details**

The name and contact address of the local authority or the proposers (as the case may be).

### **Implementation**

The date on which it is proposed that the school be opened or, where it is proposed that the opening be implemented in stages, the dates of and information about each stage.

Where the proposals are to establish a voluntary, foundation or foundation special school, a statement as to whether the proposals are to be implemented by the local authority or by the proposers, and if the proposals are to be implemented by both:

- a statement as to the extent that they are to be implemented by each body; and
- a statement as to the extent to which the capital costs of implementation are to be met by each body.

### **Reason for the new school**

A statement explaining the reason why the new school is considered necessary and whether it is to replace an existing school or schools.

### **Category**

The category of school that it is proposed be established (a foundation or foundation special school and, if so, whether it is to have a foundation, a voluntary school, a community or community special school, or a local authority maintained nursery school) and, if required by section 10, a statement that the Secretary of State's consent has been obtained to publish the proposals.

## **Ethos and religious character**

A short statement setting out the proposed ethos of the school, including details of any educational philosophy, which it is proposed that the school will adhere to.

If it is proposed that the school is to have a religious character, confirmation of the religion or religious denomination in accordance with whose tenets religious education will or may be required to be provided at the school; and a statement that the proposers intend to ask the Secretary of State to designate the school as a school with such a religious character.

Where it is proposed that the school has a religious character, evidence of the demand in the area for education in accordance with the tenets of the religion.

Where it is proposed that the school adheres to a particular philosophy, evidence of the demand for education in accordance with that philosophy that is not already met in other maintained schools or academies in the area.

## **Pupil numbers and admissions**

The numbers (distinguishing between compulsory and non-compulsory school age pupils), age range, sex, and special educational needs of pupils (distinguishing between boarding and day pupils) for whom provision is to be made at the school.

## **Admission arrangements**

Except in relation to proposals for special schools, the proposed admission arrangements and over-subscription criteria for the new school. Where the school is proposed to be a foundation or voluntary school which is to have a religious character:

- the extent to which priority for places is proposed to be given to children of the school's religion or religious denomination; and
- the extent, if any, to which priority is to be given to children of other religions or religious denominations or to children having no religion or religious denomination.

## **Early years provision**

Where the proposals are to include provision for pupils aged 2 to 5:

- details of how the early years provision will be organised, including the number of full-time and part-time pupils, the number of places, the number and length of sessions in each week, and the services for disabled children that will be offered;

- how the school will integrate the early years provision with childcare services, and how the proposals for the establishment of the school are consistent with the integration of early years provision with childcare;
- evidence of parental demand for additional early years provision;
- assessment of capacity, quality and sustainability of provision in schools, and in settings outside of the maintained school sector which deliver the Early Years Foundation Stage, within 3 miles of the school; and
- the reasons why schools and settings outside the maintained school sector which deliver the Early Years Foundation Stage within 3 miles of the school, and which have spare capacity, cannot make provision for any forecast increase in the numbers of such children.

## Sixth form provision

Where it is proposed that the school will provide sixth form education, for 16 to 19 year olds in the area, how the proposals will:

- improve the educational or training achievements;
- increase participation in education or training;
- expand the range of educational or training opportunities available to them.

Where the addition of sixth-form provision to existing provision is being proposed, a change of age-range will be required, and proposers should refer to the separate guidance on [prescribed alterations](#)<sup>78</sup>.

## Special educational needs provision

Whether the school will have provision that is recognised by the local authority as reserved for children with special educational needs and, if so, the nature of such provision.

Details of the proposed policy of the school relating to the education of pupils with special educational needs.

Where the school will replace existing educational provision for children with special educational needs:

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<sup>78</sup> <https://www.gov.uk/government/publications/school-organisation-maintained-schools>

- a statement on how the proposer believes the proposal is likely to lead to improvements in the standard, quality and range of educational provision for these children;
- details of the improvements that the proposals will bring in respect of:
  - access to education and associated services including the curriculum, wider school activities, facilities and equipment with reference to the local authority's Accessibility Strategy;
  - access to specialist staff, both education and other professionals, including any external support or outreach services;
  - access to suitable accommodation; and
  - supply of suitable places.

## Single sex school

Where the school is to admit pupils of a single sex:

- evidence of local demand for single sex education and how this will be met if the proposals are approved; and
- a statement giving details of the likely effect the new school will have on the balance of provision of single sex education in the area.

## Curriculum

Confirmation that the school will meet the general requirements in relation to the curriculum contained in section 78 of the Education Act 2002 (EA 2002) and an outline of any provision that will be in addition to the basic curriculum required by section 80 of EA 2002, in particular any 14-19 vocational education.

## Relevant experience of proposers

Evidence of any relevant experience in education held by the proposers including details of any involvement in the improvement of standards in education.

## Effects on standards and contributions to school improvement

Information and supporting evidence on:

- how the school will contribute to enhancing the diversity and quality of education in the area; and
- how the school will contribute to school improvement.

## Location and costs

A statement about:

- the area or particular community or communities which the new school is expected to serve;
- the location of the site or sites including, where appropriate, the postal address or addresses;
- the current ownership and tenure (freehold or leasehold) on which the site will be held, and if the site is to be held on a lease, details of the proposed lease;
- whether the site is currently used for the purposes of another school and if so, why the site will no longer be required by the other school;
- the estimated capital costs of providing the site and how those costs will be met (including the extent to which the costs are to be met by the proposers and the local authority) and how the proposers intend to fund their share of the costs of implementing the proposals (if any);
- whether planning permission is needed under the Town and Country Planning Act 1990, and when it is anticipated that it will be obtained; and
- confirmation from the Secretary of State or local authority (as the case may be) that funds will be made available (including costs to cover any necessary site purchase).

## Travel

The proposed arrangements for travel of pupils to the school.

## Federation

Details of any proposals for the school to be established as a federated school.

## Voluntary aided schools

Where the school is to be a voluntary aided school:

- details of the trusts on which the site is to be held; and
- confirmation that the governing body will be able and willing to carry out their obligations under schedule 3 to SSFA 1998.

## **Foundation schools**

Where the school is to be a foundation or foundation special school, confirmation as to:

- whether it will have a foundation and if so, the name or proposed name of the foundation;
- the rationale for the foundation and the particular ethos that it will bring to the school;
- the details of membership of the foundation, including the names of the members;
- the proposed constitution of the governing body; and
- details of the foundation's charitable objects.

## **Independent schools entering the maintained sector**

Where a school is an independent school entering the maintained sector:

- a statement that the requirements of section 11(3) are met;
- a statement as to whether the premises will meet the requirements of the School Premises (England) Regulations 2012 and, if not:
  - details of how the premises are deficient; and
  - details of how it is intended to remedy the deficiency.

## **Annex C: Statutory proposals for school closures**

As set out in schedule 2 to the Establishment and Discontinuance Regulations the information below must be included in a proposal to close a school:

### **Contact details**

The name and contact address of the local authority or governing body publishing the proposals and the name, address and category of the school it is proposed that should be discontinued.

### **Implementation**

The date on which it is proposed to close the school or, where it is proposed that the closure be implemented in stages, the dates of and information about each stage.

### **Reason for closure**

A statement explaining the reason why closure of the school is considered necessary.

### **Pupil numbers and admissions**

The numbers (distinguishing between compulsory and non-compulsory school age pupils), age range, sex, and special educational needs of pupils (distinguishing between boarding and day pupils) for whom provision is currently made at the school.

### **Displaced pupils**

A statement and supporting evidence about the need for school places in the area including whether there is sufficient capacity to accommodate displaced pupils.

Details of the schools or further education colleges at which pupils at the school to be discontinued will be offered places, including:

- any interim arrangements;
- the provision that is to be made for those pupils who receive educational provision recognised by the local authority as reserved for children with special educational needs; and

- in the case of special schools, the alternative provision (i.e. alternative suitable schools in the area) made by local authorities other than the local authority which maintain the school.

Details of any other measures proposed to be taken to increase the number of school or further education college places available in consequence of the proposed discontinuance.

## **Impact on the community**

A statement and supporting evidence about the impact on the community of the closure of the school and any measures proposed to mitigate any adverse impact.

## **Rural primary schools**

Where proposals relate to a rural primary school designated as such by an order made for the purposes of section 15, a statement that the local authority or the governing body (as the case may be) considered section 15(4).

## **Balance of denominational provision**

Where the school has a religious character, a statement about the impact of the proposed closure on the balance of denominational provision in the area and the impact on parental choice.

## **Maintained nursery schools**

Where proposals relate to the discontinuance of a maintained nursery school, a statement setting out:

- the local authority's assessment of the quality and quantity of the alternative provision (i.e. alternative suitable schools in the area) compared to the school proposed to be discontinued and the proposed arrangements to ensure the expertise and specialism continues to be available; and
- the accessibility and convenience of replacement provision for local parents.

## **Sixth form provision**

Where the school proposed to be discontinued provides sixth form education, the effect for 16 to 19 year olds in the area that the closure will have in respect of:



- their educational or training achievements;
- their participation in education or training; and
- the range of educational or training opportunities available to them.

## **Special educational needs provision**

Where existing provision that is recognised by the local authority as reserved for pupils with special educational needs is being discontinued, a statement as to how the local authority or the governing body (as the case may be) believe the proposals are likely to lead to improvements in the standard, quality and/or range of the educational provision for these children.

## **Travel**

Details of length and journeys to alternative provision (i.e. alternative suitable schools in the area).

The proposed arrangements for travel of displaced pupils to other schools including how the proposed arrangements will mitigate against increased car use.

## Annex D: Further Information

This guidance primarily relates to:

- [The Education and Inspections Act 2006](#), as amended by the [Education Act 2011](#)
- [The School Standards and Framework Act 1998](#), as amended by the [Education Act 2002](#)
- [The School Organisation \(Establishment and Discontinuance of Schools\) Regulations 2013](#)
- [Rural primary schools designation](#)
- [Rural and Urban Area Classification](#)
- [The Religious Character of Schools \(Designation Procedure\) Regulations 1998](#)
- [How to apply for religious designation](#)
- [Schools Adjudicator](#)
- [School Admissions Code](#)

It also relates to:

- [School Governance \(Constitution\) \(England\) Regulations 2012](#)
- [School Governance \(Roles, Procedures and Allowances\) \(England\) Regulations 2013](#)
- [Governance Handbook](#)
- [School Premises \(England\) Regulations 2012](#)
- [The School Companies Regulations 2002](#) as amended by the [2003 Regulations](#) and the [2014 Regulations](#)
- [The School Organisation \(Prescribed Alterations to Maintained Schools\) \(England\) Regulations 2013](#)
- [Change your charity's governing document](#)
- [Academies Act 2010](#)
- [The free school presumption](#) - departmental advice for local authorities and new school proposers
- [Making significant changes to an academy](#)
- [Closure of an academy by mutual agreement](#)
- [Regional Department for Education Directors](#)
- [Consultation principles](#)



Department  
for Education

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Department  
for Education

# **Making significant changes (‘prescribed alterations’) to maintained schools**

**Statutory guidance for proposers and  
decision makers**

**January 2023**

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# Summary

## About this guidance

This is from the Department for Education. It only relates to schools in England.

This means that local authorities, governing bodies and the Schools Adjudicator must have regard to this guidance<sup>1</sup> when exercising functions under the School Organisation (Prescribed Alterations to Maintained Schools) (England) Regulations 2013, referred to in this guidance as the Prescribed Alterations Regulations<sup>2</sup>. This means that they must follow this guidance unless there is a good reason not to. It applies to all categories of maintained schools unless explicitly stated. A maintained school means a community, foundation or voluntary school; a community or foundation special school; or a maintained nursery school. This guidance is not relevant to [pupil referral units](#)<sup>3</sup>. Separate advice on [making significant changes to an academy](#)<sup>4</sup> and [opening and closing a maintained school](#)<sup>5</sup> is available.

The purpose of this guidance is to ensure that good quality school places can be provided quickly where they are needed; local authorities and governing bodies do not take decisions that will have a negative impact on other schools in the area; and that changes can be implemented effectively where there is a strong case for doing so. It is expected that, where possible, additional new places will only be provided at schools that have an overall Ofsted rating of 'good' or 'outstanding'. Schools which do not fall within the above categories should only be expanded where there are no other viable options.

Local authorities and governing bodies need to consider how the Prescribed Alterations Regulations apply in conjunction with this guidance. It is the responsibility of local authorities and governing bodies to ensure that they act in accordance with the [relevant legislation](#). If they are unsure of how the legislation applies to the individual circumstances of their case, they should consider seeking independent legal advice as the department cannot advise on individual cases.

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<sup>1</sup> [Regulation 7 of the Prescribed Alterations Regulations](#)

<sup>2</sup> <https://www.legislation.gov.uk/uksi/2013/3110/contents/made>.

<sup>3</sup> <https://www.gov.uk/government/publications/alternative-provision>.

<sup>4</sup> <https://www.gov.uk/government/publications/making-significant-changes-to-an-existing-academy>.

<sup>5</sup> <https://www.gov.uk/government/publications/school-organisation-maintained-schools>.



## Expiry or review date

This guidance supersedes all previous versions. It will be kept under review and updated versions will be published if necessary.

## What legislation does this guidance refer to?

This guidance primarily relates to:

- The School Organisation (Prescribed Alterations to Maintained Schools) (England) Regulations 2013

For a full list please see the [relevant departmental advice and statutory guidance](#) section.

## Who is this guidance for?

This guidance is for:

- Proposers and decision makers (local authorities, governing bodies and the Schools Adjudicator)
- For information purposes for those affected by a proposal (trustees of the school, diocese or relevant diocesan board, any other relevant faith body, parents etc.).

## Terminology

Definitions of common terms used in this guidance:

- **Schools with a religious character** – All schools designated as having a religious character in accordance with the [School Standards and Framework Act 1998](#)<sup>6</sup> ('SSFA').
- **Foundation trust** – For the purpose of this guidance the term 'foundation trust' refers to a foundation complying with the requirements set out in section 23A of the SSFA.
- **Parent(s)** – A parent should be considered to be whoever has parental responsibility, including parents, carers and legal guardians.

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<sup>6</sup> <https://www.legislation.gov.uk/ukpga/1998/31/contents>.

## Main points

Where a local authority proposes to expand a school that is eligible for intervention<sup>7</sup>, they should copy the proposal to the relevant Department for Education [Regional Director](#)<sup>8</sup> (RD) at the point of publication.

To enable the department to monitor potentially contentious proposals, the proposer should copy any proposal, which falls within the definitions set out in the [contentious proposals](#) section below, to the School Organisation mailbox as soon as it is published [schoolorganisation.notifications@education.gov.uk](mailto:schoolorganisation.notifications@education.gov.uk).

Local authorities and governing bodies proposing to make significant changes to a school which has been designated as having a religious character should engage any trustees of the school<sup>9</sup>, and in the case of Church schools the diocese or relevant diocesan board, or any other relevant faith body where appropriate, at the earliest opportunity.

Where the school occupies land held by a charitable trust, trustees should always be consulted on whether any proposed alterations to a school are compatible with the terms of the trust.

Where a local authority is the decision maker, it must make a decision within a period of 2 months of the end of the representation period. Where a decision is not made within this time frame, the local authority must refer the proposal to the Schools Adjudicator for a decision.

Where neighbouring local authority areas are likely to be impacted by proposals, the proposer should ensure they are included in the process. Where a governing body is the proposer, they should ensure the home local authority has been involved in the process at an early stage.

It is not possible for any school to gain, lose or change a religious character through a change of category. Information on the process to be followed is available in the [opening and closing maintained schools guidance](#)<sup>10</sup>.

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<sup>7</sup> [Section 59\(2\) of the Education and Inspections Act 2006](#).

<sup>8</sup> <https://www.gov.uk/government/organisations/regional-department-for-education-dfe-directors/about>.

<sup>9</sup> Although the term trustee is usually used in the department's documents to refer to those who sit on the board of directors of an academy trust, trustees in this document means any person (other than the governing body) holding property on trust for the purpose of the school. In the case of schools designated as having a religious character, this could be the Church of England, the Catholic Church or any other religious body.

<sup>10</sup> <https://www.gov.uk/government/publications/school-organisation-maintained-schools>.

Once a decision has been made the proposer (governing body or local authority) must make changes to the school's record in the department's system [Get Information About Schools](#)<sup>11</sup> (GIAS) within one week of the change being implemented.

Where a school wishes to change their name, the governing body will need to amend the instrument of government in line with regulation 30 of [The School Governance \(Constitution\) \(England\) Regulations 2012](#)<sup>12</sup>. Once that is done, either the school or the local authority will need to update the school record in the department's GIAS system.

Smaller changes that do not meet the thresholds set out in the relevant sections below do not need to follow the [prescribed alterations' statutory process](#). Nevertheless, proposers should adhere to the usual principles of public law, see the [changes that can be made outside of the statutory process](#) section.

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<sup>11</sup> <https://get-information-schools.service.gov.uk>.

<sup>12</sup> <http://www.legislation.gov.uk/uksi/2012/1034/contents/made>.

# Prescribed alteration changes

## Enlargement of premises (expansion)

This section applies to mainstream schools. Details of how [special schools can increase their intake](#)<sup>13</sup> are covered below.

Under section 14 of the [Education Act 1996](#)<sup>14</sup>, local authorities have a statutory duty to ensure that there are sufficient schools for primary and secondary education in their areas. The department expects local authorities to manage the school estate efficiently and to reduce or find alternative uses for surplus capacity (for example, increasing the provision of early education and childcare) to avoid detriment to schools' educational offer or financial position. Local authorities are encouraged to consider the use of modular construction solutions for any physical building expansion and to consider all options for the reutilisation of space including via remodelling, amalgamations, or closure where this would be the best course of action.

Where additional places are needed, including where there is a local demand for a particular category of places (for example in schools designated as having a religious character), the local authority can propose an enlargement of the capacity<sup>15</sup> of premises.

The [prescribed alterations' statutory process](#) must be followed to enlarge premises as set out in the [Prescribed Alterations Regulations](#)<sup>16</sup> if:

- the proposed enlargement is permanent (longer than 3 years) and **would increase the capacity of the school** by:
  - more than 30 pupils; **and**
  - 25% or 200 pupils (whichever is the lesser).
- the proposal involves making permanent any temporary enlargement (which was intended to be in place for no more than 3 years) that meets the above threshold.

Small scale expansions that do not meet the thresholds above do not need to follow the formal statutory process [below](#). Furthermore, in many cases, small increases in the

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<sup>13</sup> The number of pupils admitted into the school at a particular time.

<sup>14</sup> <https://www.legislation.gov.uk/ukpga/1996/56/contents>.

<sup>15</sup> Net capacity as calculated using the department's Guidance Assessing the Net Capacity of Schools which can be found [assessing the net capacity of schools.pdf \(nationalarchives.gov.uk\)](#), and read in conjunction with the [School capacity survey: guide for local authorities - GOV.UK \(www.gov.uk\)](#).

<sup>16</sup> <https://www.legislation.gov.uk/uksi/2014/3110/contents/made>.

number of places can be achieved solely by increasing the school's published admissions number<sup>17</sup> (PAN); please see the [School Admissions Code](#)<sup>18</sup>.

## Examples of when you would/would not need to publish 'enlargement' proposals

A secondary school with a capacity of 750 (5 form of entry – 30 pupils per class, 5 year groups) **could** enlarge its premises to bring the capacity to 900 pupils, creating space that would allow the addition of one form of entry (30 extra pupils x 5 year groups = increase of 150 pupils), **without** having to publish statutory proposals. Although the increase would be by 'more than 30' pupils, it is less than '200', and also less than '25%' of the current capacity (i.e. less than 187).

A small primary school with a capacity of 50 **could** enlarge its premises to increase its capacity by up to 29 pupils **without** having to publish statutory proposals, because although it would be more than '25%', it is less than 30.

A school of any size enlarging its premises to enable it to add 300 places **would** need to follow the statutory process as the increase would be **both** 'more than 30' and '200' (it may or may not be more than '25%' but that is irrelevant as the 200 threshold would be met).

A primary school with a capacity of 210 enlarging its premises to enable it to add 105 places (1.5 forms of entry  $45 \times 7 = 315$ ), **would** need to follow the statutory process as the increase would be 'more than 30' and **more than** '25%' (it would be less than 200 but this is irrelevant as the 25% threshold would be met).

## The quality of new places created through expansion

We expect local authorities to consider a range of performance indicators and financial data before deciding whether a school should be expanded. Where schools are underperforming, we would not expect them to expand, unless there is a strong case that this would help to raise standards. We expect local authorities to create new places in schools that have an overall Ofsted rating of 'good' or 'outstanding'. If, however, there are no other feasible ways to create new places in the area, the local authority should notify their Pupil Place Planning adviser<sup>19</sup>. In cases where there is a proposal to expand a

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<sup>17</sup> All admission authorities must set a published admission number (PAN) for each 'relevant age group' when they determine their admission arrangements. So, if a school has an admissions number of 120 pupils for Year 7, that is its PAN for Year 7.

<sup>18</sup> <https://www.gov.uk/government/publications/school-admissions-code--2>.

<sup>19</sup> [Advisers.PPP@education.gov.uk](mailto:Advisers.PPP@education.gov.uk)

school that is rated inadequate, the local authority should also send a copy of the proposal to the relevant RD so that they can ensure appropriate intervention strategies are in place.

The table below sets out who can propose an enlargement of premises and what process must be followed:

Proposer	Type of proposal	Process	Decision maker	Right of referral to the adjudicator
Local authority for community	Enlargement of premises that meets the threshold	Statutory process	Local authority	Church of England (CofE) diocese, Roman Catholic (RC) diocese
Local authority for voluntary or foundation	Enlargement of premises that meets the threshold	Statutory process	Local authority	CofE diocese, RC diocese, Governing body/Trustees

**Table 1: Enlargement of premises process**

## Expansion onto an additional site ('or satellite sites')

Where proposers seek to expand onto an additional site they will need to ensure that the new provision is genuinely a change to an existing school and not in reality the establishment of a new school. Where a local authority decides that a new school is needed to meet basic need, they should refer to the guidance for opening new schools<sup>20</sup>.

Judgements about whether a proposal represents a genuine expansion will need to be taken on a case-by-case basis, but proposers and decision makers will need to consider this non-exhaustive list of factors which are intended to expose the extent to which the new site is integrated with the existing site, and the extent to which it will serve the same community as the existing site. The more integration, the more likely the change is an expansion:

- **The reasons for the expansion**

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<sup>20</sup> <https://www.gov.uk/government/publications/school-organisation-maintained-schools>.

- What is the rationale for this approach and this particular site?
- **Admission and curriculum arrangements**
  - How will the new site be used (e.g. which age groups/pupils will it serve)?
  - What will the admission arrangements be?
  - Will there be movement of pupils between sites?
- **Governance and administration**
  - How will whole school activities be managed?
  - Will staff be employed on contracts to work on both sites? How frequently will they do so?
  - What governance, leadership and management arrangements will be put in place to oversee the new site (e.g. will the new site be governed by the same governing body and the same school leadership team)?
- **Physical characteristics of the school**
  - How will facilities across the 2 sites be used (e.g. sharing of the facilities and resources available at the 2 sites, such as playing fields)?
  - Is the new site in an area that is easily accessible to the community that the current school serves?

Where proposers seek to establish an additional site within another local authority area, they should consult with the local authority for that area with regards to the details of the proposal and any subsequent objections and comments on the proposed site.

Where changes are likely to impact on a neighbouring local authority's ability to effectively manage the school estate in its area, the department expects that the proposer will involve that local authority during the decision making process. An example of where another local authority area may be affected is where pupils regularly cross between the local authorities' areas to go to school.

Local authorities should copy any proposal to expand a school onto a satellite site to [schoolorganisation.notifications@education.gov.uk](mailto:schoolorganisation.notifications@education.gov.uk) for monitoring purposes.

## Expansion of existing grammar schools

Legislation prohibits the establishment of new grammar schools<sup>21</sup>. Expansion of any existing grammar school onto a satellite site can only happen if the new site is genuinely

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<sup>21</sup> Except where a grammar school is replacing one or more existing grammar schools.

part of the existing school. Decision makers must consider the factors listed above when deciding if an expansion is a legitimate enlargement of an existing school.

## Changes to the published admissions number (PAN) where an enlargement of premises has not taken place

Admission authorities<sup>22</sup> must set a PAN for each 'relevant age group' when determining their admission arrangements. If an admission authority of a mainstream school wishes to increase or decrease PAN, without increasing the overall physical capacity of the buildings, this would be classed as an admissions change, not a prescribed alteration. The statutory process described in this guidance would not need to be followed (please see the [School Admissions Code](#)<sup>23</sup> for further details of the processes admission authorities must follow).

## Change of age range

Local authorities and governing bodies can propose the following age range changes. This section is for changes that are expected to be in place for more than 2 years (as these are considered permanent increases). Temporary changes (expected to be in place for no more than 2 years) do not require the statutory process to be followed.

**Local authorities** can propose a change of age range of one year group or more for community schools (including the adding or removal of sixth form or nursery provision) or an alteration of the upper age limit of a foundation or voluntary school to add sixth form provision by following the [prescribed alterations' statutory process](#).

**Governing bodies** of foundation and voluntary schools can propose an age range change of 3 year groups or more (including adding or removing a sixth form) by following the [prescribed alterations' statutory process](#).

Before making such a proposal, the governing body should consult with local authorities, and where the school is designated as having a religious character the trustees of the school, dioceses or relevant diocesan boards, or any other relevant faith body, to understand the place management needs of the area. Where the school occupies land held by a charitable trust, the governing body should also consult with the trustees to ensure the terms under which the land is held do not restrict the age-range in any way.

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<sup>22</sup> The local authority in the case of community and voluntary controlled schools or the governing body in the case of voluntary aided and foundation schools.

<sup>23</sup> <https://www.gov.uk/government/publications/school-admissions-code--2>.



**Governing bodies** of community schools can propose the alteration of their upper age limit to add sixth form provision following the [prescribed alterations' statutory process](#).

Where a proposed age range change would also require an expansion of the school's premises, the local authority or governing body must also ensure that they act in accordance with the requirements for proposals for the [enlargement of premises](#).

In cases where the age-range of the school has changed, this should be altered on GIAS. For example, if the age-range is changed so that the school no longer caters for pupils below compulsory school age, the lower age range of the school would need to be increased so as not to include that age group.

The table below sets out who can propose a change of age range and what process must be followed:

<b>Proposer</b>	<b>Type of proposal</b>	<b>Process</b>	<b>Decision maker</b>	<b>Right of referral to the adjudicator</b>
Governing body of voluntary and foundation	Alteration of upper or lower age range by 3 years or more	Statutory process	Local authority	CofE diocese, RC diocese, Governing body/Trustees
Local authority for community	Alteration of upper or lower age range by one year or more, including the adding or removal of sixth form or nursery provision	Statutory process	Local authority	CofE diocese, RC diocese
Local authority for voluntary and foundation	Alteration of upper age range so as to add sixth form provision	Statutory process	Local authority	CofE diocese, RC diocese, Governing body/Trustees

Proposer	Type of proposal	Process	Decision maker	Right of referral to the adjudicator
Governing body of voluntary and foundation	Alteration of upper age range so as to add sixth form provision	Statutory process	Local authority	CofE diocese, RC diocese, Governing body/Trustees
Governing body of community	Alteration of upper age range so as to add sixth form provision	Statutory process	Local authority	CofE diocese, RC diocese
Governing body of voluntary and foundation	Alteration of upper age range so as to remove sixth form provision	Statutory process	Local authority	CofE diocese, RC diocese, Governing body/Trustees

Table 2: Change of age range process

## Adding a sixth form

This section applies to mainstream schools. Details of [how special schools can add post-16 provision](#) are covered below.

The department wants to ensure that all temporary (which is anticipated will be in place for no more than 2 years) and permanent provision is of the highest quality and provides genuine value for money. There is a departmental expectation that proposals for the addition of sixth form provision will only be put forward for mainstream secondary schools that are rated as 'good' or 'outstanding' by Ofsted. Proposers should also consider the supply of other local post-16 provision in the area and assess if there is a genuine need for the additional provision.

In deciding whether new sixth form provision would be appropriate, proposers and decision makers should consider the following guidelines:

- **Quality:** The quality of pre-16 education should be good or outstanding (as rated by Ofsted) and the school should have a history of positive Progress 8 scores (above 0);
- **Size:** The proposed sixth form should provide at least 200 places;

- **Subject Breadth:** The proposed sixth form should – either directly or through partnership – offer a minimum of 15 A level subjects. Local authorities may wish to consider the benefits of delivering a broader A level curriculum through partnership arrangements with other school sixth forms. Working with others can offer opportunities to:
  - a. Improve choice and attainment for pupils;
  - b. Deliver new, improved or more integrated services;
  - c. Make efficiency savings through sharing costs;
  - d. Develop a stronger, more united voice; and
  - e. Share knowledge and information.

Schools proposing a partnership arrangement should include evidence of how this will operate on a day-to-day basis, including timetabling and the deployment of staff;

- **Demand:** There should be a clear demand for additional post-16 places in the local area (including evidence of a shortage of post-16 places and a consideration of the quality of Level 3 provision in the area). The proposed sixth form should not create excessive surplus places or have a detrimental effect on other high quality post-16 provision in the local area;
- **Financial viability:** The proposed sixth form should be financially viable (there must be evidence of financial resilience should student numbers fall). The average class size should be at least 15, unless there is a clear educational argument to run smaller classes – for example to build the initial credibility of courses with a view to increasing class size in future.

Not all changes in age range to add a sixth form will necessitate a change to the school's admissions arrangements, for example a school may set up sixth form provision solely for its own pupils. However, if the intention is to also admit external applicants to the sixth form, the school will need to adopt a sixth form PAN and may also wish to add academic entry requirements on changing its age-range.

The addition of post-16 provision requires a change of age-range, therefore where a decision maker is considering a proposal to add post-16 provision, they should refer to the section on changing an age range.

## Closing an additional site

For schools that are already operating on a satellite site, proposals to close any site of the school must follow the [prescribed alterations' statutory process](#) where the main entrance at any of the school's remaining sites is one mile or more from the main entrance of the site which is to be closed.

The table below sets out who can propose the closure of an additional site and what process must be followed:

Proposer	Type of proposal	Process	Decision maker	Right of referral to the adjudicator
Local authority for community	Closure of one or multiple sites	Statutory process	Local authority	CofE diocese, RC diocese
Governing body for voluntary or foundation	Closure of one or multiple sites	Statutory process	Local authority	CofE diocese, RC diocese, Governing body/Trustees

**Table 3: Closure of an additional site process**

## Transfer to a new site

Where the main entrance of the proposed new site for a school would be more than 2 miles from the main entrance of the current school site, or if the proposed new site is within the area of another local authority:

- Local authorities can propose the transfer to an entirely new site for community schools and maintained nursery schools following the [prescribed alterations' statutory process](#).
- Governing bodies of voluntary and foundation can propose a transfer to a new site following the [prescribed alterations' statutory process](#).

The table below sets out who can propose a transfer to a new site and what process must be followed:

Proposer	Type of proposal	Process	Decision maker	Right of referral to the adjudicator
Local authority for community and maintained nursery	Transfer to new site	Statutory process	Local authority	CofE diocese, RC diocese
Governing body of voluntary foundation	Transfer to new site	Statutory process	Local authority	CofE diocese, RC diocese, Governing body/Trustees

**Table 4: Transfer to a new site process**

## Changes of category

**Governing bodies** of all categories of maintained schools, apart from governing bodies of foundation special and maintained nursery schools, may propose to change category by following the statutory process. The process for the addition or removal of a foundation is described in the [statutory process: foundation proposals](#) section below.

For a proposal to change the category of a school to voluntary aided, the decision maker should be satisfied that the governing body and/or the foundation are able and willing to meet their financial responsibilities for building work. The decision maker may wish to consider whether the governing body has access to sufficient funds to enable it to meet 10% of its capital expenditure for at least 5 years from the date of implementation, taking into account anticipated building projects.

When making a proposal to change category, proposers will need to consider whether the current terms on which the school's land is held on trust allows for the change in category proposed. Further information can be found in [Schedule 5 to the Prescribed Alterations Regulations](#)<sup>24</sup>.

The table below sets out who can propose a change of category and what process must be followed:

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<sup>24</sup> <https://www.legislation.gov.uk/uksi/2013/3110/schedule/5/made>.

<b>Proposer</b>	<b>Type of proposal</b>	<b>Process</b>	<b>Decision maker</b>	<b>Right of referral to the adjudicator</b>
Governing body of voluntary	Voluntary controlled to voluntary aided, Voluntary aided to voluntary controlled	Statutory process	Local authority	CofE diocese, RC diocese, Governing body/Trustees
Governing body of voluntary	Voluntary controlled or voluntary aided to foundation school	Statutory process	Governing body	For proposals at a voluntary aided school when decided by the governing body: Local authority, CofE diocese, RC diocese
Governing body of foundation	Foundation school to voluntary controlled or voluntary aided	Statutory process	Local authority	CofE diocese, RC diocese, Governing body/Trustees
Governing body of community	Community to voluntary controlled or voluntary aided <sup>25</sup>	Statutory process	Local authority	CofE diocese, RC diocese
Governing body of community	Community to foundation school	Statutory process	Governing body	N/A

**Table 5: Changes of category process**

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<sup>25</sup> Where this change would result in a change of religious character, this would not be permitted. Instead the governing body should follow the [opening and closing maintained schools guidance](#).

The following proposals to change the category of a mainstream school are not permitted under section 18 of the [Education and Inspections Act 2006](#)<sup>26</sup>:

- from foundation or voluntary school to community school;
- from mainstream school to community special or foundation special school;
- from community special or foundation special school to mainstream school;
- from foundation special to community special;
- from maintained nursery school to any other kind of maintained school; and
- from any other kind of maintained school to maintained nursery school.

## Single sex school becoming co-educational (or vice versa)

Proposers can seek to change their school from single sex to co-educational (or vice versa) but they should be able to show that this would better serve their local community. A co-educational school cannot change its nursery or post-16 provision to single sex. When making a decision, local authorities will need to consider the demand for and balance of school places for boys and girls in line with the [Equality Act 2010](#)<sup>27</sup>.

The table below sets out who can change a school from single sex to co-educational (or vice versa) and what process must be followed:

Proposer	Type of proposal	Process	Decision maker	Right of referral to the adjudicator
Local authority for community	To co-ed or single sex provision	Statutory process	Local authority	CofE diocese, RC diocese
Governing body of foundation or voluntary	To co-ed or single sex provision	Statutory process	Local authority	CofE diocese, RC diocese, Governing body/Trustees

**Table 6: Single sex school becoming co-educational (or vice versa) process**

<sup>26</sup> <https://www.legislation.gov.uk/ukpga/2006/40/contents>.

<sup>27</sup> <https://www.legislation.gov.uk/ukpga/2010/15/contents>.

## Boarding provision

The introduction of boarding provision may require the statutory process to be followed (depending on the type of school in question – see table below).

**Local authorities** can propose the establishment, removal or decrease by 50 pupils or 50% whichever is the greater of boarding provision for community schools by following the [prescribed alterations' statutory process](#).

**Governing bodies** of voluntary and foundation schools can propose the removal or decrease by 50 pupils or 50% whichever is the greater of boarding provision by following the [prescribed alterations' statutory process](#).

The table below sets out who can propose to add, remove or decrease boarding provision and what process must be followed:

Proposer	Type of proposal	Process	Decision maker	Right of referral to the adjudicator
Local authority for community	Add, remove or decrease (decrease by 50 pupils or 50% whichever is greater) boarding provision	Statutory process	Local authority	CofE diocese, RC diocese
Governing body of foundation or voluntary	Remove or decrease (decrease by 50 pupils or 50% whichever is greater) boarding provision	Statutory process	Local authority	CofE diocese, RC diocese, Governing body/Trustees

**Table 7: Boarding provision process**

In making a decision on a proposal to remove or decrease boarding provision from a school, the decision maker should consider whether there is a state funded boarding school within reasonable distance from the school and whether there are satisfactory alternative boarding arrangements for those currently in the school and those who may need boarding places in the foreseeable future, including the children of service families.



## Remove selective admission arrangements at a grammar school

The table below sets out who can propose the removal of selective admission arrangements<sup>28</sup> and what process must be followed:

Proposer	Type of proposal	Process	Decision maker	Right of referral to the adjudicator
Governing body of voluntary or foundation	Remove selective admission arrangements	Statutory process	Local authority	CofE diocese, RC diocese, Governing body/Trustees
Governing body of community	Remove selective admission arrangements	Statutory process	Local authority	CofE diocese, RC diocese

**Table 8: Remove selective admission arrangements at a grammar school process**

## Amalgamations

The local authority and/or governing body (depending on school category) can publish a proposal to close one school (or more) and enlarge/change the age range/transfer site (following the statutory process as/when necessary) of an existing school, to accommodate the displaced pupils. The remaining school would retain its original school number, as it is not a new school, even if its phase has changed.

Alternatively, local authorities may propose to close all the schools involved and replace them with a new school. For more information, please consult the separate guidance on [opening and closing a maintained school](#)<sup>29</sup>.

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<sup>28</sup> In accordance with [s. 109\(1\) of the School Standards and Framework Act 1998](#).

<sup>29</sup> <https://www.gov.uk/government/publications/school-organisation-maintained-schools>.

## Changes to special schools and special educational needs (SEN) provision

### Change of age range at special schools

Where the proposed alteration is a permanent one that is anticipated to be in place for more than 2 years:

- **Local authorities** can propose a change of age range of one year or more for community special schools by following the [prescribed alterations' statutory process](#).
- **Governing bodies** can propose a change of age range of one year or more for foundation special schools and community special schools by following the [prescribed alterations' statutory process](#).

Proposer	Type of proposal	Process	Decision maker	Right of referral to the adjudicator
Local authority for community special	Alteration of upper or lower age range by one year or more	Statutory process	Local authority	CofE diocese, RC diocese
Governing body of foundation special	Alteration of upper or lower age range by one year or more	Statutory process	Local authority	CofE diocese, RC diocese, Governing body/Trustees
Governing body of community special	Alteration of upper or lower age range by one year or more	Statutory process	Local authority	CofE diocese, RC diocese

**Table 9: Change of age range at special schools process**

## Change in number of pupils in a special school

The [School Admissions Code](#)<sup>30</sup> does not apply to special schools. For a special school, the 'number of pupils' means the maximum number of pupils the school is set up to provide for (which is not necessarily the same as the number of pupils actually attending the school).

Governing bodies and local authorities may seek to increase the number of places by following the [prescribed alterations' statutory process](#) if the increase is by:

- 10%; or
- 20 pupils (or 5 pupils if the school is a boarding-only school),  
(whichever is the smaller number).

The exception to this is where a special school is established in a hospital. In such a circumstance, the prescribed alterations process is not applicable.

Governing bodies of both categories of maintained special school, and local authorities for community special schools, may seek to decrease the number of places, by following the [prescribed alterations' statutory process](#). As above, this does not apply to a special school established in a hospital.

The table below sets out who can propose a change in the number of pupils in a special school and what process must be followed:

Proposer	Type of proposal	Process	Decision maker	Right of referral to the adjudicator
Governing body of foundation special	Increase by 10% or 20 pupils (5 for boarding special) or decrease numbers	Statutory process	Local authority	CofE diocese, RC diocese, Governing body/Trustees

<sup>30</sup> <https://www.gov.uk/government/publications/school-admissions-code--2>.

Proposer	Type of proposal	Process	Decision maker	Right of referral to the adjudicator
Governing body of community special	Increase by 10% or 20 pupils (5 for boarding special) or decrease numbers	Statutory process	Local authority	CofE diocese, RC diocese
Local authority for community special	Increase by 10% or 20 pupils (5 for boarding special) or decrease numbers	Statutory process	Local authority	CofE diocese, RC diocese
Local authority for foundation special	Increase by 10% or 20 pupils (5 for boarding special)	Statutory process	Local authority	Governing body/Trustees, CofE diocese, RC diocese

**Table 10: Change in number of pupils in a special school process**

## Expansion of a special school into a satellite site

In addition to the factors stated in the [expansions onto a satellite site](#) section above, where the proposal is for a special school to establish a satellite site (particularly where this involves sharing a site with a mainstream school), the decision maker may also want to consider:

- the suitability of a mainstream school, where applicable, to support the proposed provision and the practical implications of sharing a site;
- who is to be responsible for delivering the provision and for the safeguarding of the pupils; and
- how transport arrangements will be made.

## Special school transferring to a new site

Where the main entrance of the proposed new site for a school would be more than 2 miles from the main entrance of the current school site, or if the proposed new site is within the area of another local authority:

- **Local authorities** can propose the transfer to an entirely new site for community special schools by following the [prescribed alterations' statutory process](#).
- **Governing bodies** of foundation special and community special schools can propose a transfer to a new site following the [prescribed alterations' statutory process](#).

Proposer	Type of proposal	Process	Decision maker	Right of referral to the adjudicator
Local authority for community special	Transfer to new site	Statutory process	Local authority	CofE diocese, RC diocese
Governing body for foundation special	Transfer to new site	Statutory process	Local authority	CofE diocese, RC diocese, Governing body/Trustees
Governing body for community special	Transfer to new site	Statutory process	Local authority	CofE diocese, RC diocese

Table 11: Special school transferring to a new site process

## Removal of foundation and/or reduce majority of foundation in a special school

The process for the addition or removal of a foundation is described [below](#).

Proposer	Type of proposal	Process	Decision maker	Right of referral to the adjudicator
Governing body of foundation special	Remove foundation and/or reduce majority of foundation governors on governing body	Statutory process	Governing body	N/A

**Table 12: Removal of foundation and/or reduce majority of foundation in a special school process**

### Single sex special school becoming co-educational (or vice versa)

As with mainstream schools, proposers can seek to change their school from single sex to co-educational (or vice versa) but they should be able to show that this would better serve their local community. When making a decision, local authorities will need to consider the demand for and balance of school places for boys and girls in line with the [Equality Act 2010](#)<sup>31</sup>.

Proposer	Type of proposal	Process	Decision maker	Right of referral to the adjudicator
Local authorities for community special	To co-ed or single sex provision	Statutory process	Local authority	CofE diocese, RC diocese
Governing body of foundation special	To co-ed or single sex provision	Statutory process	Local authority	CofE diocese, RC diocese, Governing body/Trustees
Governing body of community special	To co-ed or single sex provision	Statutory process	Local authority	CofE diocese, RC diocese

<sup>31</sup> <https://www.legislation.gov.uk/ukpga/2010/15/contents>.

**Table 13: Single sex special school becoming co-educational (or vice versa) process**

## Boarding provision in special schools

**Local authorities** can propose the establishment or removal of boarding provision for community special schools or, where the school makes provision for day and boarding pupils, the increase or decrease of boarding provision by 5 pupils or more by following the [prescribed alterations' statutory process](#).

**Governing bodies** can propose to add or remove boarding provision or, where the school makes provision for day and boarding pupils, to increase or decrease boarding provision by 5 pupils or more following the [prescribed alterations' statutory process](#).

Proposer	Type of proposal	Process	Decision maker	Right of referral to the adjudicator
Local authority for community special	Add, remove or change (increase or decrease by 5 pupils or more) boarding provision	Statutory process	Local authority	CofE diocese, RC diocese
Governing body of foundation special	Add, remove or change (increase or decrease by 5 pupils or more) boarding provision	Statutory process	Local authority	CofE diocese, RC diocese, Governing body/Trustees
Governing body of community special	Add, remove or change (increase or decrease by 5 pupils or more) boarding provision	Statutory process	Local authority	CofE diocese, RC diocese

**Table 14: Boarding provision in special schools process**

## Special schools adding post-16 provision

Where a special school wishes to add 16-19 provision, the guidelines set out for mainstream schools do not apply. The procedure is the same as for any other change of age range (as described above).

Schools wishing to add post-19 provision should consult: [High needs funding: due diligence process for special post-16 institutions](#)<sup>32</sup>.

## Mainstream school: establish/remove/alter SEN provision

Many mainstream schools include dedicated provision for pupils with SEN, either SEN units or resourced provision:

- SEN units are special provisions within a mainstream school where the pupils with SEN are taught within separate classes for at least half of their time.
- Resourced provision are places that are reserved at a mainstream school for pupils with a specific type of SEN, taught for at least half of their time within mainstream classes, but requiring a base and some specialist facilities around the school.

When considering any reorganisation of provision that the local authority recognises as reserved for pupils with special educational needs, including that which might lead to children being displaced, proposers should demonstrate how the proposed alternative arrangements are likely to lead to improvements in the standard, quality and/or range of educational provision for those children.

Changes might be made to add or remove a SEN unit or resourced provision or to change the type of special educational provision a SEN unit or resourced provision supports.

The table below sets out who can propose to establish, remove or alter SEN provision and what process must be followed. In this table, 'SEN provision' means provision which is recognised by the local authority as reserved for children with SEN and 'alter' means change the type or types of SEN provision:

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<sup>32</sup> <https://www.gov.uk/government/publications/high-needs-funding-due-diligence-process-for-new-special-post-16-providers/high-needs-funding-due-diligence-process-for-special-post-16-institutions-for-academic-year-2018-to-2019>.



<b>Proposer</b>	<b>Type of proposal</b>	<b>Process</b>	<b>Decision maker</b>	<b>Right of referral to the adjudicator</b>
Local authority for community	Establish, remove or alter SEN provision	Statutory process	Local authority	CofE diocese, RC diocese
Local authority for voluntary and foundation	Establish or remove SEN provision	Statutory process	Local authority	CofE diocese, RC diocese, Governing body/Trustees
Governing body of foundation and voluntary	Establish, remove or alter SEN provision	Statutory process	Local authority	CofE diocese, RC diocese, Governing body/Trustees

**Table 15: Mainstream school: establish/remove/alter SEN provision process**

## **Change the types of need catered for by a special school**

The table below sets out who can propose a change to the type of need catered for by a special school and what process must be followed:

<b>Proposer</b>	<b>Type of proposal</b>	<b>Process</b>	<b>Decision maker</b>	<b>Right of referral to the adjudicator</b>
Local authority for community special	Change type of SEN provision	Statutory process	Local authority	CofE diocese, RC diocese
Local authority for foundation special	Change type of SEN provision	Statutory process	Local authority	CofE diocese, RC diocese, Governing body/Trustees
Governing body of community special	Change type of SEN provision	Statutory process	Local authority	CofE diocese, RC diocese

<b>Proposer</b>	<b>Type of proposal</b>	<b>Process</b>	<b>Decision maker</b>	<b>Right of referral to the adjudicator</b>
Governing body of foundation special	Change type of SEN provision	Statutory process	Local authority	CofE diocese, RC diocese, Governing body/Trustees

**Table 16: Change the types of need catered for by a special school process**

## Contentious proposals

When proposing changes, local authorities and governing bodies should act reasonably, and in line with the principles of public law, to ensure that the changes do not have a negative impact on the education of pupils in the area.

To enable the department to monitor potentially controversial proposals, local authorities and governing bodies should notify [schoolorganisation.notifications@education.gov.uk](mailto:schoolorganisation.notifications@education.gov.uk) of the publication of any proposals which would:

- involve [expansion onto a separate 'satellite' site](#); or
- where objections have been raised that the proposed change could potentially undermine the quality of education in the local area by creating additional places where there is surplus capacity.

## Changes that can be made outside of the statutory process

Local authorities and governing bodies of maintained schools can make limited changes to their schools without following the statutory process, including some temporary changes (e.g. enlargement of premises anticipated to be in place for no more than 3 years, or a change of age range anticipated to be in place for no more than 2); local authorities and governing bodies are nevertheless required to adhere to the usual principles of public law. Local authorities and governing bodies MUST:

- act rationally and within their powers;
- take into account all relevant and no irrelevant considerations; and
- follow a fair procedure.

The department expects that in making these changes, local authorities and governing bodies will work together and will:

- liaise with the trustees of the school, and in the case of schools designated as having a religious character, the diocese or relevant diocesan board, or any other relevant faith body, to ensure that a proposal is aligned with wider place planning/organisational arrangements, and that any necessary consents have been gained;
- not undermine the quality of education provided or the financial viability of other 'good' and 'outstanding' schools in the local area;
- not create additional places in a local planning area where there is already surplus capacity in schools, taking the quality and diversity of the provision into account as well as cross boundary impacts; and
- ensure open and fair consultation with parents, any affected educational institutions in the area (e.g. primary, secondary, special schools, sixth form and further education (FE) colleges as required) and other interested parties. The [consultation principles guidance](#)<sup>33</sup> can be referenced for examples of good practice.

Before making any changes, governing bodies should ensure that:

- they have consulted with the local authority to ensure the proposal is aligned with local place planning arrangements;

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<sup>33</sup> <https://www.gov.uk/government/publications/consultation-principles-guidance>.

- they have secured any necessary funding;
- they have identified suitable accommodation and sites;
- they have secured planning permission and/or agreement on the transfer of land where necessary<sup>34</sup>;
- they have the consent of the site trustees or other land owner where the land is not owned by the governing body;
- where a school is designated as having a religious character they have the consent of the trustees of the school, the diocese or relevant diocesan board, or any other relevant faith body, as appropriate; and
- the admission authority is content for the PAN to be changed where this forms part of expansion plans, in accordance with the [School Admissions Code](#)<sup>35</sup>.

Once a decision on the change has been made, the change should be recorded in the department's [GIAS](#)<sup>36</sup> system. These changes should be made within a week of the date of implementation of the change and can be input in advance, once a decision is made.

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<sup>34</sup> Including, where necessary, approval from the Secretary of State for change to the use of playing field land under [section 77\(1\) of the SSFA 1998](#).

<sup>35</sup> <https://www.gov.uk/government/publications/school-admissions-code--2>.

<sup>36</sup> <https://get-information-schools.service.gov.uk>.

## Statutory process: prescribed alterations

The statutory process for making prescribed alterations to schools (other than alterations arising from foundation proposals, which are covered in [statutory process: foundation proposals](#) section) has 5 stages:

Stage	Description	Timescale	Comments
Stage 1	Publication (statutory proposal/notice)	-	Where the proposed implementation timescale is longer than 3 years, the proposer should demonstrate good reason
Stage 2	Representation (formal consultation)	Must be 4 weeks	As set out in the 'Prescribed Alterations' regulations
Stage 3	Decision	Local authority must decide a proposal within 2 months	
Stage 4	Referral (if applicable)	If a decision has been made, any referral to the adjudicator must be made within 4 weeks of the decision. If the local authority has not decided a proposal within 2 months, it must refer the proposal to the Schools Adjudicator	

Stage	Description	Timescale	Comments
Stage 5	Implementation	No prescribed timescale	It must be as specified in the published statutory notice, subject to any modifications decided by the decision maker

**Table 17: Prescribed alterations statutory process**

Although there is no longer a statutory ‘pre-publication’ consultation period for prescribed alteration changes, there is a strong expectation that governing bodies and local authorities will consult interested parties in developing their proposal prior to publication, to take into account all relevant considerations. Governing bodies should have the consent of the site trustees and where a school is designated as having a religious character the trustees of the school, the diocese or relevant diocesan board, or any other relevant faith body.

When considering making a prescribed alteration, it is best practice to take timing into account, for example:

- by holding consultations and public meetings (either formal or informal) during term time, rather than school holidays and, where appropriate, extend any pre-publication consultation period if it overlaps school holidays etc;
- by planning where any public and stakeholder meetings are held to maximise response;
- by taking into account the admissions cycle for changes that will impact on the school’s admission arrangements.

A number of changes can impact admissions, necessitating e.g. reductions in PAN, new relevant age groups for admission or the adoption of revised admission criteria. Changes to admission arrangements can be made by the admission authority in one of 2 ways:

- the consultation on changing the admission arrangements (as set out in the [School Admissions Code](https://www.gov.uk/government/publications/school-admissions-code--2)<sup>37</sup>) takes place sufficiently in advance of a decision on the prescribed alteration so that the change to admissions can be implemented at the same time as the proposals; or

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<sup>37</sup> <https://www.gov.uk/government/publications/school-admissions-code--2>.

- a variation is sought, where necessary, in view of a major change in circumstances, from the [Schools Adjudicator](#)<sup>38</sup> so that the changes to the admission policy can be implemented at the same time as the prescribed alteration is implemented.

Decision makers should, so far as is possible, co-ordinate with the admission authority, if different, to ensure they avoid taking decisions that will necessitate a reduction in PAN or removal of a relevant age group for admission after parents have submitted an application for the following September (i.e. 31 October for secondary admissions or 15 January for primary admissions).

## Publication

A statutory proposal must contain sufficient information for interested parties to make a decision on whether to support or challenge the proposed change. [Annex A](#) sets out the minimum that this should include. The proposal should be accessible to all interested parties and should therefore use 'plain English'.

Where the proposal for one change is linked to another, this should be made clear in any notices published. Where a proposal by a local authority is 'related' to a proposal by other proposers (e.g. where one school is to be enlarged because another is being closed), the 2 notices could be published together and cross-refer to the other.

The full proposal must be published on a website (e.g. the school or local authority's website) along with a statement setting out:

- how copies of the proposal may be obtained;
- that anybody can object to, or comment on, the proposal;
- the date that the representation period ends; and
- the local authority's address to which objections or comments should be submitted.

A brief notice (including the website address) must be published in a local newspaper. If the proposal is published by a governing body, then notification must also be posted in a conspicuous place on the school premises and at or near all of the main entrances to the school.

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<sup>38</sup> <https://www.gov.uk/government/organisations/office-of-the-schools-adjudicator>.



Within one week of the date of publication on the website, the proposer must send a copy of the proposal and the information set out in the paragraph above to:

- the governing body/local authority (as appropriate);
- the parents of every registered pupil at the school – where the school is a special school;
- if it involves or is likely to affect a school which has been designated as having a religious character:
  - the Diocesan Boards of Education for any diocese of the CofE any part of which is comprised in the area of the local authority;
  - the bishop(s) of a diocese of the RC Church any part of which is comprised in the area of the local authority; or
  - the relevant faith group in relation to the school; and
- any other body or person that the proposer thinks is appropriate e.g. any affected educational institutions in the area, or neighbouring local authorities.

Proposals affecting a special school should go to any local authority that has commissioned a place at the school (i.e. all relevant authorities who have made an out of county/borough placement there).

Within one week of receiving a request for a copy of the proposal, the proposer must send a copy to the person requesting it.

There is no maximum limit on the time between the publication of a proposal and its proposed date of implementation. However, proposers will be expected to show good reason (for example an authority-wide reorganisation) if they propose a timescale longer than 3 years.

## **Representation (formal consultation)**

The representation period must last for 4 weeks from the date of the publication. During this period, any person or organisation can submit comments on the proposal to the local authority to be taken into account by the decision maker. It is also good practice for representations to be forwarded to the proposer to ensure that they are aware of local opinion.

## Decision

The local authority will be the decision maker in all cases<sup>39</sup> except where a proposal is 'related' to another proposal that must be decided by the [Schools Adjudicator](#)<sup>40</sup>, or where the proposals are referred to the Adjudicator as described below.

Decision makers will need to be satisfied that the appropriate fair and open representation period has been carried out and that the proposer has given full consideration to all the responses received during any pre-publication consultation. Decision makers should not simply take account of the numbers of people expressing a particular view. Instead, they should give the greatest weight to responses from those stakeholders likely to be most affected by a proposal – especially parents of children at the affected school(s). Decisions must be made within a period of 2 months of the end of the representation period, or the proposals must be referred to the Schools Adjudicator.

When issuing a decision, the decision maker can:

- reject the proposal;
- approve the proposal without modification;
- approve the proposal with modifications, having consulted whichever of the local authority and/or governing body that has not proposed the modification; or
- approve the proposal, with or without modification (having consulted on any modifications as mentioned above) subject to certain conditions<sup>41</sup> (such as the granting of planning permission) being met.

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<sup>39</sup> With the exception of proposals relating to changing category to foundation, acquiring a foundation trust and/or acquiring a foundation majority (see the [statutory process: foundation proposals](#) section below).

<sup>40</sup> <https://www.gov.uk/government/organisations/office-of-the-schools-adjudicator>.

<sup>41</sup> The condition must be the occurrence of a prescribed event. The prescribed events are those listed in [paragraph 8 of Schedule 3 to the Prescribed Alterations Regulations](#). These are:

- (a) the grant of planning permission under Part 3 of the Town and Country Planning Act 1990;
- (b) the acquisition of any site required for the implementation of the proposals;
- (c) the acquisition of playing fields required for the implementation of the proposals;
- (d) the securing of any necessary access to a site referred to in Paragraph (b) or playing fields referred to in Paragraph (c);
- (e) the entering into an agreement for any necessary building project supported by the Department for Education;
- (f) in the case of mainstream schools, the agreement to any change of the admission arrangements relating to the school or any other school or schools, as specified in the approval;
- (g) the making of any scheme relating to any charity connected with the school;
- (h) the formation of any federation (within the meaning of section 24(2) of the Education Act 2002 of which it is intended that the school should form part, or the fulfilling of any other condition relating to the school forming part of a federation;

A proposal can be withdrawn by the proposer at any point before a decision is taken. When doing so the proposer must send written notice to the local authority or the governing body (as appropriate); or the Schools Adjudicator (if the proposal has been referred to them). A notice must be placed on the website where the original proposal was published.

Within one week of making a decision the local authority must publish their decision and the reasons for it on the website where the original proposal was published and send copies to:

- the local authority (where the Schools Adjudicator is the decision maker);
- the Schools Adjudicator (where the local authority is the decision maker);
- the governing body (as appropriate);
- the trustees of the school (if any);
- the Diocesan Board of Education for any diocese of the CofE any part of which is comprised in the area of the local authority;
- the bishop(s) of a diocese of the RC Church any part of which is comprised in the area of the local authority;
- the parents of every registered pupil at the school – where the school is a special school; and
- any other body that they think is appropriate (e.g. other relevant diocese or diocesan board, faith organisation and any affected educational institutions in the area).

If the [Schools Adjudicator](#)<sup>42</sup> is the decision maker they must notify the persons above of their decision, together with the reasons, within one week of making the decision. Within one week of receiving this notification the local authority must publish the decision, with reasons, on the website where the original proposal was published.

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(i) where the proposals are to alter the upper age limit of the school, the decision of the Secretary of State to establish a new further education institution under section 16 or 33C of the Further and Higher Education Act 1992;

(j) where the proposals in question depend upon any of the events specified in Paragraphs (a) to (i) occurring by a specified date in relation to proposals relating to any other school or proposed school, the occurrence of such an event; and

(k) where proposals are related to proposals for the establishment of new schools or discontinuance of schools, and these proposals depend on the occurrence of events specified in regulation 16 of the School Organisation (Establishment and Discontinuance of Schools) Regulations 2013 the occurrence of such an event.

<sup>42</sup> <https://www.gov.uk/government/organisations/office-of-the-schools-adjudicator>.

## Related proposals

Where proposals appear to be related to other proposals, the decision maker must consider the related proposals together. A proposal should be regarded as related if its implementation (or non-implementation) would prevent or undermine the effective implementation of another proposal.

## Conditional approval

For many types of proposal, decision makers may make their approval conditional on certain prescribed kinds of events<sup>43</sup>. The decision maker must set a date by which the condition should be met but can modify the date if the governing body that made the proposal asks for this before the date expires, for example because the condition will be met later than originally thought. If the decision maker is the Schools Adjudicator they must consult the local authority before doing this.

The proposer should inform the decision maker when a condition is met. If a condition is not met by the date specified, the proposal should be referred back to the decision maker for fresh consideration.

## Education standards and diversity of provision

Decision makers should consider the quality and diversity of schools in the relevant area and whether the proposal will meet or affect the needs of parents, raise local standards and narrow attainment gaps.

## Equal opportunities issues

The decision maker must comply with the Public Sector Equality Duty (PSED), which requires them to have 'due regard' to the need to:

- eliminate discrimination, harassment, victimisation and any other conduct that is prohibited by or under the Equality Act 2010;
- advance equality of opportunity between people who share a relevant protected characteristic and people who do not share it; and
- foster good relations between people who share a relevant protected characteristic and people who do not share it.

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<sup>43</sup> Under [paragraph 8 of Schedule 3 to the Prescribed Alterations Regulations](#). See footnote 41.

Further information on the considerations can be found on the [Equality and Human Rights Commission](#)<sup>44</sup> website.

## Community cohesion

Schools have a key part to play in providing opportunities for young people from different backgrounds to learn with, from and about each other; by encouraging through their teaching, an understanding of, and respect for, other cultures, faiths and communities. When considering a proposal, the decision maker should consider its impact on community cohesion. This will need to be considered on a case-by-case basis, taking account of the community served by the school and the views of different groups within the community.

## Travel and accessibility

Decision makers should be satisfied that proposed changes will not adversely impact any particular group, including those with protected characteristics or who are disadvantaged (for example, those who are eligible for free school meals or pupil premium). Decision makers should also consider how the proposal will support the local authorities' duty to promote the use of sustainable travel and transport to school.

The decision maker should consider whether a proposal will result in unreasonably long journey times or increased travel costs for local authorities or families, as well as any increase in the use of motor vehicles which is likely to result from the change, and the likely effects of any such increase.

Decision makers should be satisfied that the proposers have taken into account whether the proposal will result in a significant increase in the number of children who are unable to travel sustainably, for example due to a lack of suitable walking, cycling or public transport routes. Further information is available in the statutory [Home-to-school travel and transport guidance](#)<sup>45</sup> for local authorities.

## Funding

The decision maker should be satisfied that any land, premises or necessary funding required to implement the proposal will be available and that all relevant local parties (e.g. trustees of the school, diocese or relevant diocesan board) have given any agreements required for this. A proposal cannot be approved conditionally upon funding being made available (unless the proposal is conditional on funding for any necessary

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<sup>44</sup> <https://www.equalityhumanrights.com/en/advice-and-guidance/public-sector-equality-duty>.

<sup>45</sup> <https://www.gov.uk/government/publications/home-to-school-travel-and-transport-guidance>.

building project supported by the Department<sup>46</sup>). In such circumstances consideration of the proposal should be deferred until it is clear that the capital necessary to implement the proposal will be provided.

Where proposers are relying on the department as the source of capital funding, there can be no assumption that the approval of a proposal will trigger the release of capital funds from the department, unless the department has previously confirmed in writing that such resources will be available.

In terms of the revenue funding support that schools will receive for taking on additional pupils, schools' core allocations in any given year are based on the number of pupils that they had on roll at the previous autumn census. This means that schools that expand to educate additional pupils could be funded for fewer pupils for a given year. Local authorities can use growth funding to support schools to manage the revenue costs of an increase in pupil numbers before schools receive the corresponding increase in their core funding.

The department provides local authorities growth funding through the National Funding Formula (NFF) within their schools' block. Local authorities' growth funding is allocated based on the actual growth in pupil numbers experienced in the previous year. Local authorities determine criteria for allocating growth funding to schools in their area with the agreement of their schools forum. We expect any additional school places required to meet basic need would be funded in accordance with those local criteria.

Further information is available in the [Schools Operational Guidance](#) on local implementation of the funding system<sup>47</sup>.

## Right of referral

For prescribed alterations to maintained schools, the following bodies may refer a decision made by a local authority decision maker to the Schools Adjudicator, within 4 weeks of the decision being made:

- a Diocesan Board of Education for any diocese of the CofE any part of which is comprised in the area of the local authority;

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<sup>46</sup> [Paragraph 8\(e\) of Schedule 3 to the School Organisation \(Prescribed Alterations to Maintained Schools\) \(England\) Regulations 2013.](#)

<sup>47</sup> <https://www.gov.uk/government/publications/pre-16-schools-funding-local-authority-guidance-for-2023-to-2024/schools-operational-guide-2023-to-2024#growth-funding>.

- a bishop of the RC Church any part of which is comprised in the area of the local authority; and
- the governing body or trustees of a foundation, foundation special or voluntary school that is subject to the proposal.

On receipt of a request for a referral, a local authority decision maker must then send the proposal and representations received to the Schools Adjudicator within one week of receipt. There is no right of appeal on determinations made by the Schools Adjudicator.

## Implementation

A proposal must be implemented in the form that it was approved, including any modifications made by the decision maker.

## Modification post determination

Governing bodies can seek modifications from the decision maker before the approved implementation date. However, proposals cannot be modified to the extent that new proposals are substituted for those that have been published.

Details of the modification must be published on the website where the original proposals were published.

## Revocation of proposals

If the proposer no longer wants to implement an approved proposal, they must publish a revocation proposal. If the decision maker agrees, this removes the duty to implement as set out in the [School Organisation \(Prescribed Alterations to Maintained Schools\) \(England\) Regulations 2013](#)<sup>48</sup>.

## Land and buildings

### Foundation, foundation special or voluntary controlled schools

Where a local authority is required to provide a site for a foundation, foundation special or voluntary controlled school, the local authority must<sup>49</sup>:

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<sup>48</sup> <http://www.legislation.gov.uk/uksi/2013/3110/contents/made>.

<sup>49</sup> <http://www.legislation.gov.uk/uksi/2013/3110/schedule/3/paragraph/17/made>.

- transfer their interest in the site and in any buildings on the site which are to form part of the school's premises to the trustees of the school, to be held by them on trust for the purposes of the school; or
- if the school has no trustees, to the governing body, to be held by that body for the purposes of the school.

In the case of a dispute as to the persons to whom the local authority is required to make the transfer, the adjudicator will make a decision.

### **Voluntary aided schools**

Where a local authority is required to provide a site for a voluntary aided school<sup>50</sup>, or where they choose to use their discretionary powers to provide assistance by means of providing a site<sup>51</sup>, they must transfer their interest in the land to the trustees of the school or to the school's foundation bodies if the school has no trustees, and must pay to the persons to whom the transfer is made the reasonable costs in connection with the transfer.

### **School premises and playing fields**

Under the School Premises (England) Regulations 2012, all schools maintained by local authorities (except pupil referral units) are required to have suitable outdoor space in order to enable physical education to be provided to pupils in accordance with the school curriculum; and for pupils to play outside safely.

[Guidelines](#)<sup>52</sup> setting out suggested areas for pitches and games courts are in place although these are non-statutory.

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<sup>50</sup> Paragraph 4 of Schedule 3 to the [School Standards and Framework Act 1998](#).

<sup>51</sup> Paragraph 8 of Schedule 3 to the [School Standards and Framework Act 1998](#).

<sup>52</sup> <https://www.gov.uk/guidance/school-land-and-property-protection-transfer-and-disposal>.



## Statutory process: foundation proposals

### Changing category to foundation, acquiring a foundation trust and/or acquiring a foundation majority

The foundation of a foundation trust school is called a 'foundation trust'. A foundation trust must have a charitable purpose of advancing the education of pupils at the school and must promote community cohesion.

The term 'acquire a foundation majority' means acquiring an instrument of government whereby a majority of governors on the governing body must be foundation governors<sup>53</sup>. Governing bodies can propose:

Proposer	Type of proposal	Process	Decision maker	Right of referral to the adjudicator
Governing body of voluntary	Voluntary controlled or voluntary aided to foundation school and acquire a foundation, Voluntary controlled or voluntary aided to foundation school, acquire a foundation and majority foundation governors on the governing body	Statutory process	Governing body	For proposals at a voluntary aided school when decided by the governing body: Local authority, CofE diocese, RC diocese

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<sup>53</sup> 'Foundation governor' is defined in regulation 9 of the School Governance (Constitution) (England) Regulations 2012.

<b>Proposer</b>	<b>Type of proposal</b>	<b>Process</b>	<b>Decision maker</b>	<b>Right of referral to the adjudicator</b>
Governing body of foundation	Acquire foundation, Acquire a majority of foundation governors on the governing body	Statutory process	Governing body	N/A
Governing body of community	Community to foundation school, Community to foundation school and acquire foundation, Community to foundation school and acquire majority of foundation governors on governing body	Statutory process	Governing body	N/A

**Table 18: Changing category to foundation, acquiring a foundation trust and/or acquiring a foundation majority process**

Where a school’s governing body considers changing category to foundation and/or acquiring a foundation (including a foundation trust) and/or acquiring a foundation majority, the following 5-stage statutory process must be followed. These 3 types of proposals are collectively called ‘foundation proposals’.

Stage	Description	Timescale	Comments
Stage 1	Initiation/consent	-	The governing body must in some cases get consent (as described below) before publishing proposals for a change of category to foundation/acquisition of a foundation trust/acquisition of a foundation majority
Stage 2	Publication	-	Having gained consent where required
Stage 3	Representation (formal consultation)	Must be 4 weeks	As set out in the Prescribed Alterations Regulations
Stage 4	Decision	The governing body must decide within 12 months of the date of publication	Unless the local authority has triggered referral of the proposal to Schools Adjudicator at Stage 3
Stage 5	Implementation	No prescribed timescale	Must be as specified in the statutory notice, subject to any modifications made by the decision maker

**Table 19: Foundation proposals statutory process**

## **Initiation/consent**

For a proposal to change the category of a school to a foundation school, the governing body should inform the local authority in writing of a proposed motion to consult, at least 7 days in advance of a meeting.

Before the governing body can publish a proposal to change category from a voluntary school to a foundation school, or a proposal for some types of foundation school to acquire a foundation majority, the existing trustees and whoever appoints the foundation governors must give their consent.

## Publication

A statutory proposal must contain sufficient information for interested parties to make a decision on whether to support or challenge the proposed change. [Schedule 1 to the Prescribed Alterations Regulations](#)<sup>54</sup> specifies information that the statutory proposal must contain.

## Representation (formal consultation)

The representation period starts on the date of the publication of the proposal and must last 4 weeks. During this period, any person or organisation can submit comments on the proposal to the governing body, to be taken into account when the decision is made.

During the representation period, the local authority has the power to require the referral of a proposal to acquire a foundation majority, or a proposal that would result in the school becoming a foundation school with a foundation or a foundation special school with a foundation, to the [Schools Adjudicator](#)<sup>55</sup> for decision, if they consider it will have a negative impact on standards at the school. The local authority does not have this power in respect of a proposal solely to change category to foundation<sup>56</sup>.

Where a proposal is referred to the [Schools Adjudicator](#)<sup>57</sup>, the governing body must forward any objections or comments it has received to the Schools Adjudicator within one week of the end of the representation period.

## Decision

Unless a proposal has been referred to the Schools Adjudicator (as set out above), the governing body will be the decision maker and must make a decision on the proposal within 12 months of the date of publication of the proposal.

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<sup>54</sup> <https://www.legislation.gov.uk/ukxi/2013/3110/schedule/1/part/1/made>.

<sup>55</sup> <https://www.gov.uk/government/organisations/office-of-the-schools-adjudicator>.

<sup>56</sup> However, where such a proposal is related to a proposal to acquire a foundation, then the whole set of proposals will be referred to the Schools Adjudicator.

<sup>57</sup> <https://www.gov.uk/government/organisations/office-of-the-schools-adjudicator>.

Where a proposal to acquire a foundation or a foundation majority is linked to a proposal to change category to a foundation school, they will be decided together.

When issuing a decision, the decision maker can:

- reject the proposal;
- approve the proposal without modification;
- approve the proposal with modifications, having consulted the local authority (or, if the decision maker is the Schools Adjudicator, the governing body); or
- approve the proposal with or without modifications (consulting as above before deciding on any modifications) but conditional upon:
  - the making of any scheme relating to any charity connected with the school; and
  - the establishment of a foundation<sup>58</sup>.

Where the local authority has required a governing body to refer a proposal to the Schools Adjudicator for decision, any related proposal(s) (including a change of category to foundation) will fall to be decided by the Schools Adjudicator.

Decision makers should consider the impact of changing category to foundation school and acquiring or removing a foundation trust on educational standards at the school. In assessing standards at the school, the decision maker should take account of recent reports from Ofsted and a range of performance data. Recent trends in applications for places at the school (as a measure of popularity) and the local reputation of the school may also be relevant context for a decision.

If a proposal is not considered strong enough to significantly improve standards at a school that requires it, the decision maker should consider rejecting the proposal. Foundation trusts have a duty<sup>59</sup> to promote community cohesion and decision makers should carefully consider the foundation trust's plans for partnership working with other schools, agencies or voluntary bodies (if the foundation trust is already in existence at the time of the decision).

### **Foundation schools acquiring a foundation trust**

For proposals for schools to become foundation trust schools the decision maker should be satisfied that the following criteria are met for the proposal to be approved:

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<sup>58</sup> As defined in [section 23A of the School Standards and Framework Act 1998](#).

<sup>59</sup> Under [section 23A of the School Standards and Framework Act 1998](#).

- the proposal is not seeking for a school to alter, acquire, or lose a designated religious character. These alterations cannot be made simply by acquiring a foundation trust;
- the necessary work is underway to establish the foundation trust as a charity and as a corporate body; and
- that none of the proposed foundation trustees are disqualified from exercising the function of foundation trustee, either by virtue of:
  - disqualifications from working with children or young people;
  - not having obtained a criminal record check certificate<sup>60</sup>;
  - the Charities Act 2011<sup>61</sup> which disqualifies certain persons from acting as charity trustees.

### Suitability of partners

Decision makers will need to be satisfied of the suitability of foundation trust partners and members. Foundation trust partners are partnership governors that only exist in foundation schools that have no foundation or equivalent body, and they act instead of foundation governors. They are eligible to be appointed if the person nominating them believes that they have the skills needed to contribute to the effective governance and success of the school. Decision makers should use their own discretion and judgement in determining on a case-by-case basis whether the reputation of a foundation trust partner is in keeping with the charitable objectives of a foundation trust, or could bring the school into disrepute. However, the decision maker should make a balanced judgement, considering the suitability and reputation of the current/potential foundation trust.

The following sources may provide information on the history of potential foundation trust partners:

- [The Health and Safety Executive Public Register of Convictions](#)<sup>62</sup>
- [The Charity Commission's Register of Charities](#)<sup>63</sup>; and
- [The Companies House web check service](#)<sup>64</sup>.

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<sup>60</sup> Under [section 113A of the Police Act 1997](#).

<sup>61</sup> Section 178: <http://www.legislation.gov.uk/ukpga/2011/25/contents>.

<sup>62</sup> Appearance on this database should not automatically disqualify a potential trust member; decision makers will wish to consider each case on its merits: <https://www.hse.gov.uk/enforce/convictions.htm>.

<sup>63</sup> <https://register-of-charities.charitycommission.gov.uk>.

<sup>64</sup> <https://wck2.companieshouse.gov.uk/wcframe?name=accessCompanyInfo>.

Within one week of making a decision the governing body must publish a copy of the decision (together with reasons) on the website where the original proposal was published and send copies to:

- the local authority;
- the Diocesan Board of Education for any diocese of the CofE any part of which is comprised in the area of the local authority, if the proposal relates to a change of category from voluntary aided to foundation school; and
- the bishop(s) of a diocese of the RC Church any part of which is comprised in the area of the local authority, if the proposal relates to a change of category from voluntary aided to foundation school.

Where a proposal has been decided by the governing body and relates to changing the category of a voluntary aided school to foundation (with or without the acquisition of a foundation /foundation majority), the following bodies have the right to request referral to the [Schools Adjudicator](#)<sup>65</sup>:

- the local authority;
- the Diocesan Board of Education for any diocese of the CofE any part of which is comprised in the area of the local authority; and
- the bishop(s) of a diocese of the RC Church any part of which is comprised in the area of the local authority.

If one of those bodies requests referral, the governing body must submit the proposals, and any objections or comments received, to the Schools Adjudicator within one week of receiving the request.

### **Conditional approval**

Decision makers may make their approval conditional on certain prescribed kinds of events<sup>66</sup>. The decision maker must set a date by which the condition should be met but a governing body can modify the date before the date expires, for example if the condition will be met later than originally thought. Before changing the date for a condition to be met for a change of category to foundation school and/or the acquisition of a foundation, a governing body must consult the local authority.

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<sup>65</sup> <https://www.gov.uk/government/organisations/office-of-the-schools-adjudicator>. The specific circumstances in which a referral can be made are prescribed under [paragraph 15 of Schedule 1 to the Prescribed Alterations Regulations](#).

<sup>66</sup> Under [paragraph 16 of Schedule 1 to the Prescribed Alterations Regulations](#).

The proposer should inform the decision maker when a condition is met. If a condition is not met by the date specified, the proposal should be referred back to the decision maker for fresh consideration.

## Implementation

The governing body must implement any approved proposal by the approved implementation date, including any modifications made by the decision maker.

Within one week of implementation the governing body must provide information to the Secretary of State<sup>67</sup> about foundation proposals that have been implemented. Copies of the statutory proposals and decision record should be submitted to [schoolorganisation.notifications@education.gov.uk](mailto:schoolorganisation.notifications@education.gov.uk) in order for the school record to be updated on GIAS.

## Modification post determination

Modifications can be made to a proposal by the governing body after determination but before implementation. The local authority must be consulted before any modification is made to a proposal for a change of category to foundation school or for the acquisition of a foundation. The details of the modification must be published on the website where the original proposal was published.

## Revocation

If the proposer no longer wants to implement an approved proposal, they must publish a revocation proposal as set out in the [Prescribed Alterations Regulations](#)<sup>68</sup>. If they decide, following that procedure, that the proposed changes should not be implemented, they will be relieved of the duty to implement.

## Governance and staffing issues

[Schedule 4 to the Prescribed Alterations Regulations](#)<sup>69</sup> provides further information on the requirements about:

- the revision or replacement of the school's instrument of government;
- reconstitution or replacement of the governing body;
- current governors continuing in office;

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<sup>67</sup> <http://www.legislation.gov.uk/uksi/2013/3110/schedule/1/paragraph/18/made>.

<sup>68</sup> <https://www.legislation.gov.uk/uksi/2013/3110/contents/made>.

<sup>69</sup> <https://www.legislation.gov.uk/uksi/2007/1289/schedule/4/made>.



- surplus governors;
- transfer of staff; and
- transitional admission arrangements.

### Land transfer issues

When making a proposal to acquire a foundation, proposers will need to consider whether the current terms on which the school’s land is held on trust allows for the change in category proposed. Requirements as to land transfers when a school acquires a foundation are prescribed in [Schedule 5 to the Prescribed Alterations Regulations](#)<sup>70</sup>.

If in doubt, or if a variation in the foundation trust is clearly necessary, promoters and the relevant site trustees are advised to make early contact with the Charity Commission to apply for the terms of the trust to be varied under the relevant trust law.

### Removing a foundation trust and/or removing a foundation majority

Governing bodies of foundation schools can propose to remove a foundation trust and/or remove a foundation majority by following the statutory process:

Proposer	Type of proposal	Process	Decision maker	Right of referral to the adjudicator
Governing body of foundation	Removal of foundation and/or reduction in majority of foundation governors on governing body	Statutory process	Governing body	N/A

**Table 20: Removing a foundation trust and/or removing a foundation majority process**

There are 5 or 6 statutory stages (depending on the proposal and circumstances) to remove a foundation and/or to remove a foundation majority. This procedure applies only if the school was established under the Education and Inspections Act 2006 or acquired its foundation under that Act. It does not apply to a foundation that was established under

<sup>70</sup> <https://www.legislation.gov.uk/ukxi/2013/3110/schedule/5/made>.

the School Standards and Framework Act 1998. It may be triggered in 2 different ways – either by a majority or a minority of the governing body:

Stage	Description	Timescale	Comments
Stage 1	Initiation	-	<p><b>Majority</b> A meeting of the whole governing body votes to publish a proposal to remove a foundation/remove the foundation majority,</p> <p>or</p> <p><b>Minority</b> A minority (of not less than a third of the governors) notify the clerk of the governing body of their wish for the governing body to publish a proposal to remove a foundation/remove the foundation majority</p>
Stage 2	Land Issues (applicable only to removal of foundation)	If not resolved within 3 months, disputes must be referred to the Schools Adjudicator	In cases of removing foundation, the governing body, trustees and the local authority must resolve issues related to land and assets before a proposal is published

Stage	Description	Timescale	Comments
Stage 3	Consultation	<p><b>Majority</b> A minimum of 4 weeks is recommended or</p> <p><b>Minority</b> No consultation required</p>	<p><b>Majority</b> It is for the governing body to determine the length of consultation</p>
Stage 4	Publication	<p><b>Minority</b> Where there are no land or asset issues – publish within 3 months of receipt of notice by governing body clerk</p> <p>Where there are land issues, publish within one month of receipt of School Adjudicator’s determination</p>	-
Stage 5	Representation	6 week representation period	-
Stage 6	Decision	Within 3 months of publication	A proposal initiated by a minority of governors may not be rejected unless at least 2/3 of the governing body vote in favour of the rejection

Stage	Description	Timescale	Comments
Stage 7	Implementation	No prescribed timescale	Must be as specified in the statutory notice, subject to any modifications made by the decision maker

**Table 21: Remove a foundation and/or foundation majority statutory process**

## Initiation

A proposal for removing a foundation trust and/or removing a foundation majority can be triggered by:

- the governing body<sup>71</sup> or a committee deciding to publish a proposal. The decision to publish must be confirmed by the whole governing body at a meeting held at least 28 days after the meeting at which the initial decision was made; or
- at least 1/3<sup>72</sup> of the governors requesting in writing to the clerk of the governing body, that a proposal be published. No vote of the governing body is required as they are obliged to publish a proposal. To prevent on-going challenges there are a number of prescribed circumstances<sup>73</sup> in which there is no obligation to follow the wishes of the minority of governors.

## Land and assets (when removing a foundation)

Before publishing proposals to remove a foundation, the governing body must reach agreement with the trustees and local authority on prescribed issues relating to the school's land and assets<sup>74</sup>. Where such issues remain unresolved within 3 months of the initial decision (majority) or receipt of notice by the clerk (minority), they must be referred to the [Schools Adjudicator](#)<sup>75</sup> for determination.

<sup>71</sup> [Regulation 4 of the School Organisation \(Removal of Foundation, Reduction in Number of Foundation Governors and Ability of Foundation to Pay Debts\) \(England\) Regulations 2007.](#)

<sup>72</sup> [Regulation 5 of the School Organisation \(Removal of Foundation, Reduction in Number of Foundation Governors and Ability of Foundation to Pay Debts\) \(England\) Regulations 2007.](#)

<sup>73</sup> [Regulation 5\(4\) of the School Organisation \(Removal of Foundation, Reduction in Number of Foundation Governors and Ability of Foundation to Pay Debts\) \(England\) Regulations 2007.](#)

<sup>74</sup> The issues on which they must agree are set out in regulation 6(1) of the School Organisation (Removal of Foundation, Reduction in Number of Foundation Governors and Ability of Foundation to Pay Debts) (England) Regulations 2007

<sup>75</sup> <https://www.gov.uk/government/organisations/office-of-the-schools-adjudicator>.

On the removal of the foundation, all publicly provided land held by the foundation for the purposes of the school will transfer to the governing body<sup>76</sup>. Where the land originated from private sources (for example, where land was gifted on trust), the land will transfer to the governing body in accordance with a transfer agreement, providing for consideration to be paid by the governing body to the trustees where appropriate. This also applies to a transfer of publicly provided land if the trustees sold other land to buy the land or to build buildings on it. However, there may be land which has benefited from investment from public funds which remains with the trustees under the transfer agreement.

Alternatively, there may have been investment by trustees in the publicly provided land or from public funding in the land provided by the trustees. In either of these cases, it may be appropriate for either the trustees or the public purse to be compensated. The possibility of stamp duty land tax may also need to be taken into account.

The Schools Adjudicator will announce its determination in writing to both parties.

## Consultation

Where a minority of governors initiated the process, this stage does not apply.

Where a majority of governors initiated the process, before publishing a proposal the governing body must consult:

- families of pupils at the school;
- teachers and other staff at the school;
- the trustees and, if different, whoever appoints foundation governors;
- the local authority;
- the governing bodies of any other foundation or foundation special schools maintained by the same local authority for which the foundation acts as a foundation;
- any trade unions who represent school staff;
- if the school has been designated as having a religious character, the appropriate diocesan authority or other relevant faith group in relation to the school;

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<sup>76</sup> By virtue of [regulation 17\(1\) of the School Organisation \(Removal of Foundation, Reduction in Number of Foundation Governors and Ability of Foundation to Pay Debts\) \(England\) Regulations 2007](#).

- the Secretary of State if the proposals affect the provision of full-time education suitable to children and young people between the beginning of the academic year when they turn 15 and the date they turn 19; and
- any other person the governing body consider appropriate.

## Publication

Where the decision to publish a proposal was made by a majority of governors, the governing body at this stage must decide whether to go ahead with publishing the proposal.

Where the decision to publish a proposal was made by a minority of governors and there are no land issues to be determined, the governing body must publish the proposal within 3 months of the receipt of the notice by the clerk. If land issues were referred to the [Schools Adjudicator](#)<sup>77</sup>, the proposal must be published within one month of receipt of its determination.

Proposals to remove a foundation or to alter the instrument of government so that foundation governors cease to be the majority of governors must contain the information set out in [The School Organisation \(Removal of Foundation, Reduction in Number of Foundation Governors and Ability of Foundation to Pay Debts\) \(England\) Regulations 2007](#)<sup>78</sup>.

At the same time as publishing the proposals, the governing body must send copies of the proposals to the trustees, the Secretary of State, and the local authority. The Secretary of State's copy should be emailed to [schoolorganisation.notifications@education.gov.uk](mailto:schoolorganisation.notifications@education.gov.uk).

## Representation

The representation period starts on the date of the publication of the proposal and must last 6 weeks. During this period, any person or organisation can submit comments on the proposal to the governing body to be taken into account when the decision is made.

Unlike the foundation acquisition process, there is no power for the local authority to refer a proposal to the Schools Adjudicator to remove a school's foundation or to remove a foundation majority. However, governing bodies must bear in mind that failure to follow the requirements of the statutory process could lead to a complaint to the Secretary of

<sup>77</sup> <https://www.gov.uk/government/organisations/office-of-the-schools-adjudicator>.

<sup>78</sup> <https://www.legislation.gov.uk/uksi/2007/3475/contents/made>.

State under section 496/497 of the Education Act 1996, and/or ultimately be challenged through judicial review.

## Decision

The governing body is the decision maker for a proposal to remove a foundation or a foundation majority and must determine the proposal within 3 months of the date of its publication.

If a proposal was published following a decision of the whole governing body, then it may be determined by a majority vote of those governors present at the meeting to decide the proposals<sup>79</sup>.

If a proposal was initiated by a minority of governors, then the governing body may not reject the proposal unless 2/3 or more of the governors indicate that they are in favour of its rejection<sup>80</sup>.

When deciding a proposal for the removal of a foundation, the governing body should consider the proposal in the context of the original decision to acquire the foundation, and consider whether the foundation has fulfilled its expectations. Where new information has come to light regarding the suitability of foundation trust partners to act as a partnership governor in a foundation school that has no foundation or equivalent body, this should be considered.

All decisions must be taken in accordance with the processes prescribed in the School Governance (Roles, Procedures and Allowances) (England) Regulations 2013<sup>81</sup>.

The governing body must notify the relevant local authority, trustees and the Secretary of State of their decision. Notification to the Secretary of State should be sent via [schoolorganisation.notifications@education.gov.uk](mailto:schoolorganisation.notifications@education.gov.uk).

## Implementation

The governing body is under a statutory duty to implement any approved proposal, as published (and as modified in the final decision), by the approved implementation date.

Removal of a foundation must be implemented in accordance with regulations 14-18, and removal of foundation majority must be implemented as per regulations 14-16 of the

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<sup>79</sup> As per the [School Governance \(Roles, Procedures and Allowances\) \(England\) Regulations 2013](#).

<sup>80</sup> As per [regulation 11\(2\) of the School Organisation \(Removal of Foundation, Reduction in Number of Foundation Governors and Ability of Foundation to Pay Debts\) \(England\) Regulations 2007](#).

<sup>81</sup> Except as otherwise provided by the [School Organisation \(Removal of Foundation, Reduction in Number of Foundation Governors and Ability of Foundation to Pay Debts\) \(England\) Regulations 2007](#).

School Organisation (Removal of Foundation, Reduction in Number of Foundation Governors and Ability of Foundation to Pay Debts) (England) Regulations 2007.

An implementation period begins when the proposal is decided and ends on the date set out in the proposal (as published or as subsequently modified) as the date by which implementation is to occur. During this period, the local authority and governing body are required to ensure that a new instrument of government is made for the school, so enough time must be built into the timeframe for this to happen. The governing body must then be reconstituted in accordance with the new instrument of government and the [School Governance \(Constitution\) \(England\) Regulations 2012](#)<sup>82</sup>.

When removing a foundation or a foundation majority, a governor may continue as a governor in the corresponding category (e.g. staff governor, parent governor) if that category remains under the new instrument of government. A member of a current governing body who continues as a governor on these grounds holds office for the remainder of the term for which they were originally appointed or elected. Where a school with a religious character has no foundation trust, the governing body must appoint partnership governors with a view to ensuring that the religious character of the school is preserved and developed in accordance with the School Governance (Constitution) (England) Regulations 2012. There is nothing to prevent a former foundation governor being reappointed by the governing body as a partnership governor, if eligible.

Where there are more governors for the category than are provided for by the new instrument of government, it must be decided which governors in that category are best placed to contribute to the effective governance and success of the school. For foundation governors, this decision must be made by those who appointed them. For governors without a foundation, this decision must be made by the governing body. The others must cease to hold office.

The terms of the trust on which land is held for a voluntary or foundation school often include very specific provisions regarding the conduct of the school and the use of any fund held by the foundation for the use of the school and premises.

### **Modification of proposals**

The governing body may approve a proposal subject to modifications. Modifications can only be made to the implementation date and the proposed constitution of the governing body. If the proposal was initiated by a minority of governors, a modification can only be made at a vote of at least 2/3 of the governors.

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<sup>82</sup> <https://www.legislation.gov.uk/ukxi/2012/1034/contents/made>.



## Further information

### Relevant departmental advice and statutory guidance

This guidance primarily relates to:

- [The School Organisation \(Prescribed Alterations to Maintained Schools\) \(England\) Regulations 2013](#)
- [The School Organisation \(Removal of Foundation, Reduction in Number of Foundation Governors and Ability of Foundation to Pay Debts\) \(England\) Regulations 2007](#)
- [The School Organisation \(Requirements as to Foundations\) \(England\) Regulations 2007](#)
- [Education and Inspections Act 2006](#) (especially Parts 2 and 3)
- [School Standards and Framework Act 1998](#)
- [The School Organisation \(Establishment and Discontinuance of Schools\) Regulations 2013](#)

It also relates to:

- [The School Governance \(Constitution\) \(England\) Regulations 2012](#)
- [The School Governance \(Constitution and Federations\) \(England\) \(Amendment\) Regulations 2014](#)
- [The School Governance \(Miscellaneous Amendments\) \(England\) Regulations 2015](#)
- [The School Governance \(New Schools\) \(England\) Regulations 2007](#)
- [The School Governance \(Roles, Procedures and Allowances\) \(England\) Regulations 2013](#)
- [Childcare Act 2006](#)
- [The School Premises \(England\) Regulations 2012](#)
- [Making significant changes to existing academies guidance](#)
- [Closure of an academy by mutual agreement guidance](#)
- [Establishing a new school: free school presumption guidance](#)
- [Opening and closing maintained schools guidance](#)
- [School admissions code](#)

- [Education Act 1996](#)
- [Equality Act 2010](#)
- [Police Act 1997](#)
- [Charities Act 2011](#)
- Guidance from the Equality and Human Rights Commission on the [Public Sector Equality Duty](#)

## Other departmental resources

Contact details for Regional Directors offices:

- East Midlands – [RG.EM@education.gov.uk](mailto:RG.EM@education.gov.uk)
- East of England – [RG.EOE@education.gov.uk](mailto:RG.EOE@education.gov.uk)
- London – [RG.LONDON@education.gov.uk](mailto:RG.LONDON@education.gov.uk)
- North West – [RG.NW@education.gov.uk](mailto:RG.NW@education.gov.uk)
- South East – [RG.SE@education.gov.uk](mailto:RG.SE@education.gov.uk)
- South West – [RG.SW@education.gov.uk](mailto:RG.SW@education.gov.uk)
- West Midlands – [RG.WM@education.gov.uk](mailto:RG.WM@education.gov.uk)
- Yorkshire and Humber – [RG.YH@education.gov.uk](mailto:RG.YH@education.gov.uk)

## **Annex A: Information to be included in a prescribed alteration statutory proposal**

A statutory proposal for making a prescribed alteration to a school must contain sufficient information for interested parties to make a decision on whether to support the proposed change. A proposal should be accessible to all interested parties and therefore use 'plain English'.

Proposers will need to be mindful of the factors that will inform the decision makers assessment when determining the proposal.

As a minimum, the department would expect a proposal to include:

- school and local authority details;
- description of alteration and evidence of demand;
- objectives (including how the proposal would increase educational standards and parental choice);
- the effect on other educational institutions within the area;
- project costs and indication of how these will be met, including how long term value for money will be achieved;
- implementation plan; and
- a statement explaining the procedure for responses: support; objections and comments.



Department  
for Education

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